Long Term Care (LTC) Portal Enhancement - Nursing Facility Providers

Beginning May 27, 2011, six new messages may be seen by providers when managing forms and assessments in the Provider Action Required Workflow. The additional messages are GN-9248, NF-0044, NF-0046, NF-0047, NF-0080, and NF-0081. Providers should also note that the Suggested Actions for all other messages have been revised for clarity.

The following link contains all messages with the most up to date Suggested Action instructions:

Provider Action Required Messages and Instructions

For more information, contact the LTC Help Desk at 1-800-626-4117, Option 1.
# Contents

Learning Objectives

Medicaid Team

National Provider Identifier (NPI)

The LTC Online Portal

- Benefits of Using the LTC Online Portal
- LTC Online Portal Security
- How to Create an LTC Online Portal Administrator Account
- My Account
- Login to the LTC Online Portal

LTC Online Portal Basics

- Blue Navigational Bar Links
  - Home
  - Submit Form
  - Form Status Inquiry (FSI)
  - Current Activity
  - Drafts
  - Printable Forms
  - Help
- Yellow Form Actions Bar
  - Print
  - Use as template
  - Correct this form
  - Add Note
  - Inactivate Form
- Form Actions Available When Assessment is Set to Status “Awaiting LTC Medicaid Information”
  - Save LTCMI
  - Populate LTCMI
- Form Actions Available When Assessment is Set to Status “Waiting for PASARR Verification”
  - Check for PASARR
forms to be submitted ................................................................................................................................. 43

form 3618 .......................................................................................................................................................... 43
purpose of form 3618 ........................................................................................................................................ 43
repercussions of submitting form 3618 late ........................................................................................................ 44
how to submit form 3618 .................................................................................................................................. 44
form 3619................................................................................................................................................................ 46
purpose of form 3619 .......................................................................................................................................... 46
repercussions of submitting form 3619 late ........................................................................................................ 47
how to submit form 3619 .................................................................................................................................. 47
hospice form 3071—election/cancellation/discharge notice ................................................................................ 50
how to submit form 3071 .................................................................................................................................. 51
hospice form 3074—medicaid/medicare physician certification of terminal illness ........................................... 53
how to submit form 3074 .................................................................................................................................. 54

mds assessments .................................................................................................................................................. 56
validating the appropriateness of an admission assessment ............................................................................ 57
submission and retrieval of mds assessment ..................................................................................................... 58
mds dually-coded assessments .......................................................................................................................... 59
long term care medicaid information (ltcmi) ..................................................................................................... 59
submission of ltcmi ............................................................................................................................................ 59
finding assessments using form status inquiry ................................................................................................. 59
if you cannot locate your mds using fsi or current activity .............................................................................. 60
how to submit long term care medicaid information (ltcmi) ........................................................................... 61
circumstances for ltcmi submission .................................................................................................................. 63
ltcmi pasarr verification ..................................................................................................................................... 63
ltcmi fields .......................................................................................................................................................... 65
Sequencing of Forms and Assessments ................................................................. 79
  Admission as a Full Medicaid Recipient ..................................................... 79
  Recipient Transitioning to Full Medicaid ................................................. 80
  Full Medicare Transitioning to Medicaid ................................................ 80
  Current Resident Admitted to Hospice ..................................................... 81
  Current Hospice Residents ...................................................................... 82
  Resident Returns (Prior Discharge - Return Not Anticipated) .................. 82
  Resident Returns (Prior Discharge - Return Anticipated) ....................... 83

PASARR (Preadmission Screening and Resident Review) ................................ 84
  Entering PASARR ..................................................................................... 84
  How to Submit a PASARR Screening ....................................................... 85

MDS Purpose Code E (Missed Assessment) ................................................... 87
  NF/Hospice Provider Tips for When to Submit an MDS PC E .................. 88
  PC E Start and End Date Limitations (MDS 2.0): .................................. 89
  PC E Start and End Date Limitations (MDS 3.0): .................................. 89

MDS Purpose Code M .................................................................................. 90
  What is a Purpose Code M and How Do You Complete a Purpose Code M? 91
  PC M Start and End Date Limitations (MDS 2.0): .................................. 91
  PC M Start and End Date Limitations (MDS 3.0): .................................. 92

Validations Requiring Provider Monitoring .................................................. 93

Provider Workflow Process ......................................................................... 96
  Finding Forms and Assessments with “Provider Action Required” Status 96
    Using FSI ............................................................................................... 96
    Using Current Activity .......................................................................... 101
  Provider Workflow Rejection Messages .................................................. 102

Corrections .................................................................................................. 119
  LTCMI Corrections ................................................................................... 119
  Form 3618 and 3619 Corrections .............................................................. 121
  Forms 3071 and 3074 Corrections ............................................................ 123

Counteracting Forms .................................................................................. 124

Modifications ............................................................................................... 125
  MDS 2.0 ................................................................................................. 125
  MDS 3.0 ................................................................................................. 126

Inactivations ................................................................................................. 128
  MDS Assessment ..................................................................................... 128
  PASARR Screening and Forms 3618 and 3619 Inactivations ................. 128
    How to Inactivate .................................................................................. 129
Forms 3618 and 3619 Submission Validation Rules and Edits ..........................................................130
  Form 3618 Resident Transaction Notice Edits ..............................................................................131
  Form 3619 Medicare/SNF Patient Transaction Notice Edits .........................................................133

Form and Assessment Statuses ..................................................................................................135

Nonemergency Ambulance ........................................................................................................137
  Prior Authorization Requirements ..............................................................................................137
  Nonemergency Prior Authorization Process ..............................................................................138
  Nonemergency Prior Authorization and Retroactive Eligibility .................................................139
  Prior Authorization Types and Definitions .................................................................................139
    One-Time ......................................................................................................................................139
    Short Term ..................................................................................................................................139
    Long Term ....................................................................................................................................139
    Supporting Documentation ........................................................................................................139
    Appeals .......................................................................................................................................139

RUG Training Requirements ......................................................................................................140

Reminders .......................................................................................................................................141

Preventing Medicaid Waste, Abuse, and Fraud ........................................................................143
  How to Report Waste, Abuse, and Fraud ....................................................................................143

HIPAA Guidelines and Provider Responsibilities ......................................................................144

Resource Information ................................................................................................................145
  Types of Calls to Refer to TMHP ................................................................................................145
  Types of Calls to Refer to DADS PCS .......................................................................................145
  Helpful Telephone Numbers ......................................................................................................145
  Informational Websites ..............................................................................................................147

Minimum Data Set (MDS) Quick Reference Guide ..................................................................149
  MDS Telephone Numbers ..........................................................................................................149
  MDS Informational Websites ......................................................................................................149

Acronyms .........................................................................................................................................151

Appendix A: Medicaid Eligibility Verification – Resident with Medicaid Eligibility .................153
Appendix B: Medicaid Eligibility Verification – Resident with Pending Medicaid Eligibility ....154
Appendix C: LTC Online Portal Review .......................................................................................155
Appendix D: Pending Denial Review ............................................................................................157
Appendix E: LTC Word Search .....................................................................................................159
Appendix F: LTC Jumble ................................................................................................................161
Learning Objectives

After attending the Long Term Care (LTC) Nursing Facility/Hospice Workshop, you will be able to:

• Understand the Medicaid team roles.
• Identify National Provider Identifier (NPI) requirements.
• Obtain an LTC Online Portal administrator account.
• Understand basic LTC Online Portal features.
• Understand Medical Necessity (MN) and the MN Determination Process, including the fair hearing process.
• Identify the forms and screenings to be submitted and their sequencing, including when and how to submit them.
• Understand the Long Term Care Medicaid Information (LTCMI) section submission process.
• Understand the Preadmission Screening and Resident Review (PASARR) submission process.
• Understand and differentiate between the Minimum Data Set (MDS) purpose code E and M.
• Understand the provider workflow process which includes dividing into two sections: corrections and updates in provider workflow.
• Understand how to correct, modify, or inactivate forms or assessments - and the consequences of doing so.
• Identify form and assessment statuses and how to resolve issues.
• Understand how to properly request prior authorization for nonemergency ambulance transport.
• Understand Resource Utilization Group (RUG) training requirements.
• Recognize how to prevent Medicaid waste, abuse, and fraud.
• Understand Health Insurance Portability and Accountability Act (HIPAA) guidelines and provider responsibilities.
• Identify additional resources.
Medicaid Team

The following groups and individuals make up the Medicaid Team. Together, they make it possible to deliver Medicaid services to Texans.

- **Centers for Medicare & Medicaid Services (CMS)** – federal agency that oversees the Medicaid Program on a federal level – guidelines, rules, and regulations.
- **Providers** – the crucial players in a quality health-care program. The focus is on providing the best care possible while being reimbursed for allowed services rendered.
- **Recipients** – those served by Texas Medicaid.
- **Texas Department of Aging and Disability Services (DADS)** – administers a comprehensive array of services for persons who are aging or disabled and for persons who have Intellectual and Developmental Disabilities (IDD). Additionally, DADS licenses and regulates providers of these services.
- **Texas Health and Human Services Commission (HHSC)** – oversees operations of the entire health and human services system in Texas. It operates the Medicaid acute-care program, Children’s Health Insurance Program (CHIP), State of Texas Access Reform (STAR)+PLUS, and several other related programs. HHSC’s Office of Eligibility Services (OES) Medicaid for the Elderly and People with Disabilities (MEPD) workers determine eligibility for Medicaid.
- **Texas Medicaid & Healthcare Partnership (TMHP)** – contracted by the state as the claims administrator to process claims for providers under traditional Medicaid and Primary Care Case Management (PCCM). TMHP processes and approves claims for traditional Long Term Care (LTC); TMHP does not pay LTC claims, this is done by the Office of the Texas Comptroller. Responsibilities also include:
  - Determination of Medical Necessity.
  - Provider education.
  - Provide timely processing of claims and represents the Department of Aging and Disability Services (DADS) at Fair Hearings.
  - Provide yearly manuals, quarterly LTC bulletins, and Remittance and Status (R&S) Reports.
  - Maintain the TMHP Call Center/Help Desk Monday through Friday, 7:00 a.m.–7:00 p.m., Central Time.
  - Conduct training sessions for providers, which includes technical assistance on the TexMedConnect online application.
- **Texas State Legislature** – The state legislature allocates budgetary dollars for Texas Medicaid.
National Provider Identifier (NPI)

The Health Insurance Portability and Accountability Act (HIPAA) of 1996 established the NPI as the 10-digit standard unique identifier for health-care providers and requires covered health-care providers, clearinghouses, and health plans to use this identifier in HIPAA-covered transactions.

NPI is required on all claims submitted electronically, through third-party software, or through TexMedConnect. Paper-based submissions of the 1290 claim form also require a valid NPI. On the LTC Online Portal, NPI is used for security purposes, and links providers to their forms and assessments so that only those associated with that NPI are viewable. Without an NPI, nursing facilities would not be able to locate their forms and assessments on the LTC Online Portal.

To obtain an NPI, go to https://nppes.cms.hhs.gov/NPPES.

It is important the NPI be on MDS submissions (MDS 2.0 field W1, MDS 3.0 field A0100A). NPI is required on claims, forms, and MDS assessment submissions using the following methods:

Electronic

• TexMedConnect
• LTC Online Portal
• Third-party software vendor

Paper

• 1290 Claim Form
The LTC Online Portal

Providers must use the LTC Online Portal to submit forms, screenings, and the LTCMI section of the MDS Assessment, with the exception of the 3071/3074 Hospice forms. They may be mailed to:

Texas Medicaid & Healthcare Partnership
LTC Unit
PO Box 200765
Austin, TX 78720-0765

Benefits of Using the LTC Online Portal

- Web-based application.
- 24/7 system availability.
- TMHP provides LTC Online Portal technical support by telephone at 1-800-626-4117, Option 3, from 7:00 a.m.–7:00 p.m., Central Time, Monday through Friday—excluding holidays.
- Edits are in place to verify the validity of data entered.
- Provides error messages that must be resolved before submission.
- Providers have the ability to monitor the status of their forms and assessments by using Form Status Inquiry (FSI) or Current Activity.
- Allows providers to submit additional information.

LTC Online Portal Security

In order to utilize the LTC Online Portal, providers must request access to the LTC Online Portal. Your facility may already have an account. You may need to contact your facility’s administrator for user access. An administrator account is required for LTC Online Portal access, but it is strongly recommended to have multiple administrator accounts, in case one administrator is unavailable.

The administrator account is the primary user account for a provider/contract number.

The administrator account provides the ability to add/remove permissions (access to LTC Online Portal features) for other user accounts on the same provider/contract number.

A user account can be created by an administrator. User account permissions and limitations are set by the holder of an administrator account. This allows administrators to set the level of access according to employees’ responsibilities.

If you already have either an administrator or user account, go to www.tmhp.com/Pages/LTC/Ltc_home.aspx. Click the “Log In to LTC Online Portal” button.
Third-party vendors are allowed to submit the LTCMI section of an MDS assessment directly on the LTC Online Portal on behalf of a provider. Providers using a third-party software vendor are still required to obtain LTC Online Portal access for rights to submit the LTCMI section of the assessment. For questions related to this functionality, providers are directed to contact their third-party software vendors.

If you do not have an account, you can create one by following the steps below. In order to do so, you will need to have:

- **Provider contract number** - assigned by DADS when the provider signs the contract to provide Medicaid services.
- **Vendor number** - four-digit number assigned by DADS when the provider signs the contract to submit forms on the LTC Online Portal.
- **Vendor password** - provider must call the Electronic Data Interchange (EDI) Help Desk at **1-888-863-3638** to obtain their vendor password. This password is formally known as the MicroECS password. Please note that it may take three to five business days to receive the password, which is randomly generated by TMHP.

### How to Create an LTC Online Portal Administrator Account

1. Go to [www.tmhp.com](http://www.tmhp.com).
2. Click “providers” in the green bar located at the top of the screen.
3. Click “Long Term Care” in the yellow bar.
4. Click “I would like to...” in the blue bar located at the top of the screen.

5. Click the **Activate my account** link.

6. From here you have two choices:
   a. To create a new TMHP User Account without an existing provider/vendor account, click the **New Username and Enroll** link.
      - if selected, go to step 8. (Provider Type step)
   b. To create a new TMHP User Account with an existing provider/vendor account, click the **New Username and Activate Existing Provider** link.
      - if selected, go to step 7.
7. The following page will appear. Follow the instructions listed at the top of the page and click the **Create a Provider/Vendor Administrator Account** link at the bottom of the page.
8. Provider Type: Choose **NF/Waiver Programs** from the drop-down box.

   **Note:** The Provider Types listed are the only two choices in the drop-down box that are applicable for this guide.

   – Use **NF/Waiver Programs** to submit 3618, 3619, LTCMI, and PASARR Screenings.
   – Use **Long Term Care** to access TexMedConnect (for submitting claims, accessing R&S Reports, performing Medicaid Eligibility Service Authorization Verification [MESAV]) and to submit Hospice Forms 3071 and 3074.

9. Enter your provider number, vendor number, and vendor password.

10. Click the “Next” button.

11. Check the “General Terms and Conditions” box at the bottom of the screen to indicate agreement.
12. Click the “Create Provider Administrator” button to create your User name and Password.

**Note:** The User name and Password are used for future logins to your account. Make a copy for your records.

---

**My Account**

My Account is used to perform various maintenance activities for your account, such as: setting up user accounts, changing passwords, and other administrative tasks.

To access My Account:

1. Go to [www.tmhp.com](http://www.tmhp.com).
2. Click “providers” in the green bar located at the top of the screen.

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[Image of My Account page]
3. Click the “Log in to My Account” button in the blue bar located at the top of the screen.

   **Note:** You may be prompted to enter your LTC Online Portal User ID and password.

4. The “My Account” page will appear.

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**Login to the LTC Online Portal**

1. Go to [www.tmhp.com](http://www.tmhp.com).
2. Click “providers” in the green bar located at the top of the screen.
3. Click “Long Term Care” in the yellow bar.

4. Click the “Log In to LTC Online Portal” button.

5. Enter your User name and password.

6. Click the “OK” button. After login, Form Status Inquiry (FSI) will display by default:
LTC Online Portal Basics

Blue Navigational Bar Links

All portal features based on your security level will be found in the blue navigational bar located at the top of the portal screen.

Options found in the blue navigational bar may include: Home, Submit Form, Form Status Inquiry, Current Activity, Drafts, Printable Forms, or Help.

Home

When the blue navigational bar above is displayed, the Home feature at the far left will take you to My Account. From the My Account page, providers can perform various maintenance activities for an account such as: setting up user accounts, changing passwords, and other administrative tasks. Providers may click the TMHP.com link located on the far left side of the “My Account” page, to go back to the www.tmhp.com home page.

Using the TMHP home page providers may:

- Access the LTC Online Portal.
- Access TexMedConnect.
- Submit a prior authorization.
- Access provider manuals and guides.
- Access bulletins and banner messages.
Submit Form

The Submit Form feature allows providers to submit forms and PASARR Screenings:

![Submit Form Feature](image)

**Note:** Hospice providers will have the 3071 and 3074 form types available for submission.

<table>
<thead>
<tr>
<th>3071: Recipient Election/Cancellation/Discharge Notice</th>
</tr>
</thead>
<tbody>
<tr>
<td>3074: Physician Certification of Terminal Illness</td>
</tr>
</tbody>
</table>

**To submit a form or screening:**

1. Login to the LTC Online Portal.
2. Click the **Submit Form** link located in the blue navigational bar.
3. Type of Form: Choose from the drop-down box.
4. If desired, enter additional information about an existing recipient. This will auto populate the form or screening with the recipient’s demographical information (except gender).
5. Click the “Enter Form” button and the form will display for data entry.
6. Enter all required information as indicated by the red dots.

**Note:** Additional information about required fields (marked with a red dot) can be found in the “Other Basic Information” section.
7. From here you have two choices:
   a. Click the “Save as Draft” button, in the yellow Form Actions bar, to save the form or screening until you are ready to submit. The form or screening does not have to be complete to save the draft.

   or

   b. Click the “Submit Form” button, located at the bottom of the screen, to submit the form or screening.
Form Status Inquiry (FSI)

The FSI feature provides a query tool for monitoring the status of forms and assessments that have been successfully submitted.

FSI allows providers to retrieve submissions in order to:

• Access forms and assessments to research and review statuses.
• Provide additional information to an assessment.
• Retrieve forms and assessments to make corrections or perform inactivations.
• Resolve any assessments set to “Provider Action Required.”

Note: FSI can retrieve information from the previous seven years. The search is based on the TMHP Received Date. There is a 50-record line limit for search results; therefore, you may need to narrow your search to retrieve specific records.

1. Click the Form Status Inquiry link in the blue navigational bar.

2. Type of Form: Choose from the drop-down box.

Type of Form labels will display in the Type of Form drop-down box on FSI in addition to the PASARR Screening and Forms 3618, 3619, 3652, 3071, and 3074:

- MDS 2.0: Minimum Data Set (Comprehensive)
- MDSQTR 2.0: Minimum Data Set (Quarterly)
- MDS 3.0: Minimum Data Set (Comprehensive)
- MDSQTR 3.0: Minimum Data Set (Quarterly)

Note: This choice will determine the type of form or assessment that will display in the FSI results page. For example, if a provider chooses Type of Form: MDS 3.0: Minimum Data Set (Comprehensive), the results will only display MDS 3.0: Minimum Data Set (Comprehensive) assessments. No MDS 2.0 assessments or MDS 3.0 Quarterly assessments will display in the search results.

3. Enter data for all required fields as indicated by the red dots. Narrow results by entering specific criteria in the additional fields: “DLN,” “Last Name,” “First Name,” “SSN,” “Medicaid Number,” “Form Status,” “To” and “From” Dates, “Purpose Code,” and “Reason for Assessment.” Dates are searched against the TMHP Received Date (date of successful submission).

Note: The narrowing search criteria fields that display when performing a Form Status Inquiry will vary based on the Type of Form chosen.
Example:

- When performing a Form Status Inquiry on PASARR Screenings, providers will have the option to search for screenings that are in status “Form Complete.” PASARR Screenings are set to “Form Complete” status when a system check has determined that there is a previous, valid PASARR on file. However, the “Form Complete” choice does not display in the Form Status drop-down box when performing a Form Status Inquiry on any of the other choices found in the Type of Form drop-down box because this status is not applicable to any of the other forms and assessments.

- When performing a Form Status Inquiry on Type of Form 3618, 3619, 3071, or 3074, the Purpose Code and Reason for Assessment fields will not display because they are only applicable when performing a Form Status Inquiry on MDS assessments.

4. Click the “Search” button, and the LTC Online Portal will return any matching submissions (records).

5. Click the View Detail link at the left of the DLN to display the details of the assessment.

Descriptions of the column headings seen above are for results for Type of Forms: MDS and MDSQTR assessments:

- **View Detail**: The hyperlink used to open the document.
- **DLN**: The unique document locator number assigned to each successful submission.
- **TMHP Received Date**: The actual date the assessment was successfully submitted on the LTC Online Portal.

**Exception**: If your MDS assessment is set to status “Awaiting LTC Medicaid Information,” the date shown in the TMHP received date column is the date that the MDS was loaded onto the LTC Online Portal. Once the LTCMI is successfully submitted, the date will change to the submission date.

- **SSN** (MDS 2.0: AA5a, MDS 3.0 A0600A), **Medicaid #** (MDS 2.0: AA7, MDS 3.0: A0700), **Medicare #** (MDS 2.0: AA5b, MDS 3.0: A0600B), **First Name and Last Name** (MDS 2.0: AA1a and AA1c, MDS 3.0: A0500A and A0500C): Information used to identify the resident associated with the submission.
- **Status**: The status of the assessment at the time of the search.
- **RUG**: The assigned Resource Utilization Group (RUG) value.
- **RN Signature Date**: Date assessment was completed, as identified in field 2.0: R2b and 3.0: Z0500B.
- **Purpose Code**:
  - Purpose Code E - Missed Assessment.
  - Purpose Code M - Used when three-month prior retroactive eligibility has been established.
- **Contract Number**: The nine-digit provider number.
- **Vendor Number**: The four-digit site identification number.
- **Reason for Assessment** (MDS 2.0: AA8a, MDS 3.0: A0310A):

<table>
<thead>
<tr>
<th>MDS 2.0 Reason for Assessment Codes</th>
<th>MDS 3.0 Reason for Assessment Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>01. Admission assessment (required by day 14).</td>
<td>01. Admission assessment (required by day 14).</td>
</tr>
<tr>
<td>02. Annual assessment.</td>
<td>02. Quarterly review assessment.</td>
</tr>
<tr>
<td>03. Significant change in status assessment.</td>
<td>03. Annual assessment.</td>
</tr>
<tr>
<td>04. Significant correction of prior full assessment.</td>
<td>04. Significant change in status assessment.</td>
</tr>
<tr>
<td>05. Quarterly review assessment.</td>
<td>05. Significant correction to prior comprehensive assessment.</td>
</tr>
<tr>
<td>10. Significant correction of prior quarterly assessment.</td>
<td>06. Significant correction to prior quarterly assessment.</td>
</tr>
</tbody>
</table>

- **Delete**: Providers are able to delete unwanted or unnecessary comprehensive or quarterly MDS 2.0 or 3.0 assessments that are set to status “Awaiting LTC Medicaid Information.”

To delete an assessment:

1. Click the **Delete** link. The provider will receive the following message:

2. From here you have two choices:
   a. Click the “OK” button to delete the assessment. The following confirmation message will display:

   - MDS assessment was successfully deleted.

   or

   b. Click the “Cancel” button if the assessment should not be deleted.

   **Note**: Use caution when deleting assessments. Once deleted, the MDS assessment will no longer be available on the LTC Online Portal, but the assessment will not be deleted from CMS. If an MDS assessment is deleted from the LTC Online Portal, TMHP will not re-extract the assessment unless it is modified through CMS.
**Current Activity**

The Current Activity feature allows providers to view form and assessment submissions or status changes within the last 14 calendar days. After 14 days, providers must utilize the FSI query tool to locate a form or assessment. Current Activity will display MDS 3.0 Comprehensive and MDS 3.0 quarterly assessments in addition to MDS 2.0 assessments, PASARR Screenings, and Forms 3071, 3074, 3618, and 3619.

1. Click the **Current Activity** link in the blue navigational bar.

2. Click the appropriate vendor number (if applicable).

3. The results will display a summary of all form and assessment submissions or status changes within the last 14 calendar days.

**Note:** 2.0 assessments will be grouped together by form type under column headings MDS 2.0 and MDSQTR 2.0 and 3.0 assessments will be grouped together by form type under column headings MDS 3.0 and MDSQTR3.0.

4. Click the DLN link to display the details of the form or assessment.

Providers are able to sort the Current Activity results in a variety of ways. By clicking on the heading of a column, the provider can choose to sort results by DLN, Received Date, SSN, Medicaid Number, Medicare Number, Name, or Status. When the provider clicks on a column heading for the first time, it is sorted in ascending order. By clicking on the column heading a second time, the sort will change to descending order. Sorting will apply only
within the form or assessment type where the header being clicked is located. MDS 2.0, MDSQTR 2.0, MDS 3.0, and MDSQTR 3.0 are separate groups and column headings. Performing a sort on the MDS 2.0 group column heading will only sort MDS 2.0 assessments.

Drafts

The Drafts feature allows access to all drafts saved under the vendor/contract number to which the user is linked.

To access a saved draft:

1. Click the Drafts link in the blue navigational bar.

   **Note:** The initial Drafts page will display a list of all vendor/contract numbers to which the user is linked.

2. Click the appropriate vendor number hyperlink under Vendor Numbers. A list of drafts saved for the selected vendor/contract number will display.
3. From here you have two choices:
   a. Click the **Open** link to open the draft to edit and submit.

   or

   b. Click the **Remove** link to permanently delete the draft.

   **Note:** The following confirmation prompt message will appear:

   ![Confirmation Prompt](image-url)

   › Click the “OK” button to delete the draft.

   or

   › Click the “Cancel” button to keep the draft.

   **Note:** Once a draft has been removed, it cannot be retrieved.

### Printable Forms

The Printable Forms feature allows the provider to view blank forms or assessments, print blank forms or assessments, or interactively complete forms or assessments by saving to your desktop.

**Note:** All of the forms or assessments listed in the Printable Forms page are interactive with the exception of Forms 3071 and 3074.

1. Click the **Printable Forms** link in the blue navigational bar.
2. Choose a document by clicking the corresponding link. A new window and application called Adobe Reader® will open and display the blank document in Portable Document Format (PDF).

Note: Once open, you may begin entering information into the document and save it to your desktop.
3. Click the “Print” Icon.

   - To print the entire document:
     a. Printer: Choose the appropriate printer name from drop-down box.
     b. Print Range: Click the “All” radio button.
     c. Click the “OK” button.

   - To print certain pages, instead of the entire document:
     a. Printer: Choose the appropriate printer name from drop-down box.
     b. Print Range: Click the “Pages” radio button.
     c. Enter the pages to print. (Example: 1-5 will print all pages 1 through 5; 1, 3, 7 will print only pages 1, 3, and 7.) This is useful for printing only the LTCMI, instead of the entire MDS assessment.
     d. Click the “OK” button.
Help

The Help feature at the far right in the blue navigational bar will display a Help page consisting of links to online guides that will assist with questions you may have about the LTC Online Portal.

Yellow Form Actions Bar

Options found in the yellow Form Actions bar may include: Print, Use as template, Correct this form, Add Note, or Inactivate Form. Options will vary depending on your security, the type of document (e.g., PASARR Screening, MDS assessment, or Form 3618, 3619, 3071, or 3074), as well as document status. The yellow Form Actions bar is available when an individual document is being viewed in detail.

Print

The Print feature is applicable to all document types: Forms 3071, 3074, 3618, 3619, and 3652, Minimum Data Set (MDS), and PASARR Screening. Click the “Print” button to print completed documents. When printing the MDS 3.0 assessment, the resident’s name will appear on the top left corner of each page. The name will be populated based on the information entered in fields A0500A, B, C, and D on an MDS 3.0 assessment.
Use as template

The Use as template feature is only available for Forms 3071, 3074, 3618, and 3619 and for PASARR Screenings. It allows a provider to complete a new form or screening by using the information in a completed form or screening as a template. Various fields will auto populate; be sure to check for accuracy. Be careful not to confuse this feature with a similar named feature in TexMedConnect.

Once you have found and are displaying the form or screening utilizing FSI or Current Activity:

1. Click the “Use as template” button; the data in the document will be used to create a new document.

   **Note:** Not all fields will be copied over.

2. Enter data into remaining fields not auto populated.

3. Click the “Print” button located in the yellow Form Actions bar to print the document in progress, if you want a hard copy for your records.

From here you have two choices:

a. Click the “Submit Form” button located at the bottom right of the screen, if you are ready to submit for processing.

or

b. Click the “Save as Draft” button located in the yellow Form Actions bar to save the document until you are ready to submit.
The Save as Draft button will only display in the yellow Forms Actions bar in the following circumstances:

- If the provider is entering a PASARR Screening or a Form 3618, 3619, 3071, or 3074 from the Submit Form link.
- If the provider is creating a new form or screening from a template of a previously submitted form or screening using the Use as template button.
- If the provider opens a draft form or assessment from the Drafts link.

**Correct this form**

The Correct this form feature is available for Forms 3071, 3074, 3618, and 3619 and for the LTCMI section of the MDS. PASARR Screenings are not correctable. Corrections are not allowed if a document is set to status “Form Inactivated,” “Invalid/Complete,” “SAS Request Pending,” or “Corrected.” Click the “Correct this form” button to correct a previously submitted LTCMI or form.

Providers may correct the LTCMI section of MDS 2.0 assessments until October 1, 2012.

*Note:* The steps to correct a form or an LTCMI are covered in the “Corrections” section.
Add Note

The Add Note feature is only available for PASARR Screenings, MDS assessments, and Forms 3618 and 3619.

Add Note, located in the yellow Form Actions bar, may be used to provide additional MN information not captured upon original submission. Information is added to the History trail of the document, not to the document itself (e.g., not added to Comments in the LTCMI section of the assessment).

If the status is set to “Pending Denial (need more information)” and a note is added, the document is set to status “Pending Review,” and the additional information will be reviewed by a TMHP nurse.

To add a note to a submitted document:

1. Locate the submission using FSI or Current Activity.
2. Click the “Add Note” button; a text box will open.
3. Enter additional information (up to 500 characters).
4. Click the “Save” button to save your note or “Cancel” button to erase your note, located under the text box.

Note: If unsure why an assessment or screening is set to status “Pending Denial (need more information),” call the TMHP Help Desk (1-800-626-4117, Option 2) to speak with a nurse. If “Add Note” is chosen for any assessment or screening in “Pending Denial (need more information)” status, the assessment or screening will be reviewed again for medical necessity. If the nurse is unable to approve the assessment or screening with the additional information provided, the assessment or screening will be sent to the TMHP Medical Director for review and determination of MN.

Inactivate Form

The Inactivate Form feature is used when Forms 3618 and 3619 and PASARR Screenings cannot be corrected and a new form or screening must be submitted. It can also be used if a 3618/3619 was submitted in error. However, inactivations are not allowed if a document is set to status “Corrected” or to successfully processed Forms 3618 and 3619. These are forms that are set to status “Processed/Complete” or that contain the message code GN-9004 anywhere in the history of the form. To cancel a successfully processed form that should not have been submitted, providers must submit the appropriate counteracting form. If an attempt is made to inactivate a successfully processed form, the following message will be displayed to the provider:

“This form has been successfully processed at DADS and cannot be inactivated. If this form is invalid (should not have been submitted), submit the appropriate form to counteract this form. Otherwise, correct this form and resubmit.”
Note: Additional information regarding counteracting forms is available in the “Counteracting Forms” section of this User Guide.

Once inactivated, the form or screening will not be available for further processing, but it may be used as template. Forms 3071 and 3074 cannot be inactivated, and MDS assessments must be inactivated through CMS in accordance with the RAI User’s Manual. Additional information will be given on inactivations in the “Inactivations” section of this User Guide.

Note: PASARR Screenings can never be corrected; therefore, a PASARR Screening must be inactivated and a new screening submitted if a correction is necessary.

Note: The steps to inactivate will be covered in the “Inactivations” section of this Users Guide.
Form Actions Available When Assessment is Set to Status “Awaiting LTC Medicaid Information”

Save LTCMI

The Save LTCMI feature allows providers to save the LTCMI section so that any entered LTCMI data is not lost. To save information entered onto an LTCMI, click the “Save LTCMI” button located in the yellow Form Actions bar. Once an LTCMI is saved, a message will display at the top of the screen with a date and time indicating that the LTCMI has been saved, and it will automatically unlock the assessment, allowing other users to access it. The assessment will remain in “Awaiting LTC Medicaid Information” status until it is successfully submitted. The assessment can then be accessed by all users who have the same vendor/contract number access as the person who originally saved the information. The LTCMI will not be saved to Drafts.

Note: The Save LTCMI button will be disabled for original MDS 2.0 Comprehensive and Quarterly assessments on April 1, 2012 and for MDS 2.0 corrections on October 1, 2012.

Populate LTCMI

The Populate LTCMI feature allows providers to use a resident’s previously submitted assessment to populate information on a new LTCMI. However, it will only populate information from an assessment with the same vendor/contract number, and it will only populate information if the previous LTCMI was submitted within the last six months. The following error will be displayed if there is not a previous assessment available:

Two important reminders:

1. If information has been entered onto the LTCMI and saved prior to clicking the “Populate LTCMI” button, the Populate LTCMI button will not be available.

2. All information will populate into the current LTCMI except for fields S1e (Purpose Code), S1f (Missed Assessment or Prior Start Date), S1g (Missed Assessment or Prior End Date), and S10 (Comments).

To populate information on a new LTCMI, click the “Populate LTCMI” button located in the yellow Form Actions bar. Be sure to review the auto populated information for accuracy, and add any new information if needed. Once the LTCMI is complete and accurate, the provider may choose to save the information by clicking the “Save LTCMI” button, or the assessment may be submitted to TMHP by clicking the “Submit Form” button.

Note: The Populate LTCMI button will be disabled for original MDS 2.0 Comprehensive and Quarterly assessments on April 1, 2012 and for MDS 2.0 corrections on October 1, 2012.
Form Actions Available When Assessment is Set to Status “Waiting for PASARR Verification”

Check for PASARR

After assessments are submitted, click the “Check for PASARR” button and the system will automatically check for the previously submitted approved PASARR.

The Check for PASARR feature will only display for MDS 3.0 assessments that are set to status “Waiting for PASARR Verification.” If there is no PASARR Screening on the LTC Online Portal for the individual, it is the provider’s responsibility to perform a check for an MN approved PASARR on the LTC Online Portal for any MDS 3.0 assessment that is set to status “Waiting for PASARR Verification.”

Assessments are automatically placed in the “Waiting for PASARR Verification” status when a “Y” is entered into one of the following fields: Intellectual Disability, Developmental Disability, and Mental Illness, and an approved PASARR Screening was not previously submitted on the LTC Online Portal.

To perform this check, click the “Check for PASARR” button after a PASARR Screening has been submitted and MN approved.

1. If the PASARR check passes, the assessment will be set to status “PASARR Approved” and will continue through the workflow.
2. If the PASARR check fails, the assessment will remain in “Waiting for PASARR Verification” status and the following note will display in the History trail of the assessment.

**Note:** The Check for PASARR button is not available for MDS 2.0 assessments. This topic is covered in more detail in the LTCMI PASARR Verification section of this User Guide.

### Other Basic Information

#### Required Fields

Within the LTC Online Portal, red dots indicate required fields. Fields without the red dot are optional.
History

Every screening, form, and assessment will have a History trail of statuses. After opening a form or assessment, scroll to the bottom. The History trail will display a list of every processing status that has been held by the form or assessment along with any appropriate details. Any notes added by the provider or any comments from TMHP or DADS will also be located in the History trail.

UnLock Form

Upon opening, the document becomes automatically locked by the viewer and will remain locked for 20 minutes of no activity or until the viewer clicks the “UnLock Form” button. The UnLock Form button will unlock the document so that a different user can make changes. If a document is locked, others will not be able to make changes or add additional information. You may be asked to unlock a document if you are seeking assistance from TMHP or DADS.

To unlock a document click the “UnLock Form” button located at the top right corner of the screen.
Error Messages

Upon submission, if required information is missing or information is invalid, error message(s) will display and you will not be able to continue to the next step until resolved. You may need to scroll to the top of the screen since any error message(s) will be displayed at the top. You may click the error message hyperlink to automatically go to the field(s) containing the error.

Entering Dates

To enter dates, you have the option to click on the calendar icon next to any of the date fields to activate the dynamic calendar. Choose the date desired. Or, you may enter in the date using the mm/dd/yyyy format.
Timeout

The LTC Online Portal will timeout after 20 minutes of no activity. To prevent this timeout from occurring when completing the “Section LTCMI” tab of an MDS assessment, complete and submit within 20 minutes or click on a different tab (e.g., Section A) to reset the timer, then click the “Section LTCMI” tab to return to and complete the LTCMI.

RUG Value

The Resource Utilization Group (RUG) is used to classify relative direct care resource requirements for Nursing Facility residents. Once an individual assessment is open, the RUG value can be found next to the DLN.
Medical Necessity and the MN Determination Process

TMHP is responsible for reviewing submitted assessments to determine MN.

Definition of Medical Necessity

40 TAC §19.101 (73) states:

Medical Necessity is the determination that a recipient requires the services of licensed nurses in an institutional setting to carry out the physician’s planned regimen for total care. A recipient’s need for custodial care in a 24-hour institutional setting does not constitute a medical need. A group of health care professionals employed or contracted by the Medicaid claims administrator contracted with HHSC makes individual determinations of medical necessity regarding nursing facility care. These health care professionals consist of physicians and registered nurses.

40 TAC §19.2403(e) states:

A recipient may establish permanent medical necessity status after completion date of any MDS assessment is approved for medical necessity no less than 184 calendar days after the recipient’s admission to the Texas Medicaid Nursing Facility Program.

The Difference Between Licensed Nurse Needs and Custodial Care

Licensed nurse needs are defined as skills provided by licensed nursing personnel to assess, plan, supervise, and provide treatment on a regular basis. These include, but are not limited to, observation; promotion and maintenance of health; prevention of illness and disability; management of health care during acute and chronic phases of illness; guidance and counseling of individuals and families; and referral to physicians, other health-care providers, and community resources, when appropriate.

Custodial care is identified as care given by nurses’ aides or lay caregivers that provides safety or assistance with activities of daily living such as bathing, toileting, eating, dressing, and ambulation/mobility.
General Qualifications for Medical Necessity Determinations

40 TAC §19.2401 states:

Medical necessity is the prerequisite for participation in the Medicaid (Title XIX) Long-term Care program. This section contains the general qualifications for a medical necessity determination. To verify that medical necessity exists, an individual must meet the conditions described in paragraphs (1) and (2) of this section.

(1) The individual must demonstrate a medical condition that:

   (A) is of sufficient seriousness that the individual's needs exceed the routine care, which may be given by an untrained person; and
   (B) requires licensed nurses' supervision, assessment, planning, and intervention that are available only in an institution.

(2) The individual must require medical or nursing services that:

   (A) are ordered by the physician;
   (B) are dependent upon the individual's documented medical conditions;
   (C) require the skills of a registered nurse or licensed vocational nurse;
   (D) are provided either directly by or under the supervision of a licensed nurse in an institutional setting; and
   (E) are required on a regular basis.

Note: Medical necessity is not the only prerequisite to qualify for Medicaid eligibility.
1. MN determinations are made on PASARR Screenings and MDS assessments. The flowchart above provides a high-level overview of the process used for MN determination. Providers can utilize the LTC Online Portal to check the status of MN determination.

2. Submissions are reviewed by the TMHP nurse for MN determination within three business days of successful submission.

   In order to expedite processing, TMHP automatically checks submitted assessments with a Medicaid Number to determine if the resident already has Permanent MN (PMN). If the resident has PMN, the assessment is automatically approved. The assessment History trail will state “Client has permanent MN.”

   For residents who do not have PMN, TMHP systems automatically review specific criteria on the assessments. If the criteria are appropriately met, the assessment is automatically approved. If not, the provider will see “The Form has failed Auto MN Approval” displayed in the History trail. The assessment will then be sent to a nurse for manual MN review. The status will be set to “Pending Review” on the FSI search results; however, the last message showing in the History trail will be “The Form has failed Auto MN Approval.”

3. Once reviewed, the submission is either approved (meeting MN) or placed in a “Pending Denial (need more information)” status for up to 21 calendar days. FSI or Current Activity can be used to view the status of MN determination whether “approved,” “denied,” or “pending denial (need more information)” status.
4. The Director of Nursing (DON) or other licensed nurse within the facility must either add additional information clarifying nursing/medical needs through the “Add Note” feature or by calling TMHP and speaking with a TMHP nurse.

5. If the TMHP nurse determines that MN has been met, the assessment is approved.

6. If the TMHP nurse still cannot determine any licensed nursing need after additional information has been provided, the assessment is sent to the TMHP physician for an MN determination.

7. If the TMHP physician determines that MN has been met, the assessment is approved.

8. If MN is denied by the TMHP physician, notification of denied MN is sent to:
   – The resident in a letter via mail.
   – The physician of record in a letter via mail.

9. The resident’s physician may submit additional information within 14 business days of the date on the denial letter by faxing additional medical information to the TMHP LTC department (40 TAC §19.2407). Or, the DON or other licensed nurse within the facility may provide additional information by calling and speaking with a TMHP nurse. The recipient’s assessment and the additional (or new) medical information will be reviewed by the TMHP nurse and either approved or sent to the TMHP physician for a second MN determination. The TMHP physician will either approve the assessment or uphold the original decision to deny.

10. If the NF or resident’s physician does not provide additional information clarifying nursing/medical needs within 21 calendar days of “Pending Denial (need more information),” the assessment is sent to the TMHP physician for review, and the steps 6-10 will apply.

11. The resident may initiate the appeal process when notified by TMHP that medical necessity was denied by the TMHP physician. If a hearing is requested, additional information may be submitted at any time by the provider or by the resident’s physician either via telephone call to the TMHP nurses or via fax.

   **Note:** *The NF is responsible for checking the status of their submitted forms and assessments using FSI or Current Activity and supplying additional information, if needed.*

**Request for Fair Hearing**

A fair hearing is an informal, orderly, and readily available proceeding held before an impartial health and human services enterprise hearing officer. At the hearing, a resident/applicant (appellant), or their representative, including legal counsel, may present the case as they wish to show that any action, inaction, or agency policy affecting the case should be reviewed.

The resident, the resident’s responsible party, or in the case of no responsible party, the DON or the NF administrator may request a fair hearing on behalf of the resident within 90 days from the effective date of the decision or from the notice of adverse action date, whichever is later by calling TMHP at 1-800-626-4117, Option 5. When a resident receives a letter denying MN and giving the resident the right to request a fair hearing, the resident must request a fair hearing within ten days of the date of the letter for Medicaid payment to continue until the fair hearing decision. Medicaid payment will only continue if the resident was already receiving services. If the resident requests a fair hearing later than ten days of the date of the letter, Medicaid payment will not be made for days past day ten. The resident can request a fair hearing up to 90 calendar days after the date of the letter.

Form 4803, Acknowledgement and Notice of Fair Hearing, serves as a notice of the fair hearing. It is sent to the appellant to acknowledge the receipt of a request for a hearing and to set a time, date, and place for the hearing. Form 4803 will be sent to all known parties and required witnesses at least ten calendar days in advance of the hearing.
The fair hearing is held at a reasonable place and time. They are normally scheduled in the order in which requests are received and are held via teleconference.

Appellants may present their own case, or bring a friend, relative, or attorney to present their case. DADS/Health and Human Services enterprise does not pay attorney fees. Appellants may request additional time to prepare for their case by contacting the hearing officer.

Appellants may request an interpreter at no cost. However, appellants must notify the hearing officer at least two days before the hearing if they are going to require an interpreter.

Before and during the hearing, appellants and their representatives have the right to examine the documents, records, and evidence that DADS will use. To see medical evidence before the hearing, the appellant must make a written request to the hearing officer. The appellant may bring witnesses and present facts and details about the case. The appellant may also question or disagree with any testimony or evidence that is presented by the department.

Appellants have the right to know all the information the hearing officer examines in making the decision. The laws and policies which apply to the appellant's case and the reasons for DADS' action will be explained.

The hearing officer will issue a final written order. The decision by the hearing officer is DADS' final administrative decision. If the appellant believes the hearing officer did not follow applicable policy and procedures, the appellant can submit a request for administrative review within 30 days of the date of the decision. The appellant submits the request for administrative review to the hearing officer, who will forward the request to the appropriate legal office for review.

The appellant may have to pay back any overpayments DADS made to the appellant because the appellant did not supply correct and complete information or was overpaid while waiting for the hearing decision.
Forms to be Submitted

Form 3618

Purpose of Form 3618

Form 3618 is used when the recipient is in a “Full Medicaid” or “Medicaid pending” status (refer to flow chart in the “Sequencing of Forms and Assessments” section of this User Guide). A 3618 submission informs Medicaid Eligibility workers about transactions and status changes and provides DADS with information to initiate, close, or adjust provider payments.

Form 3618 is to be submitted for admissions, discharges, and death. Form 3618 must be submitted on the LTC Online Portal. MDS Discharge Tracking and Re-Entry Tracking forms (3.0: A0310F) are not used by Texas Medicaid.

Providers should submit Form 3618 when the recipient is being classified as Full Medicaid. This can occur upon initial admission or can follow a Medicare stay; it can also follow a change in payor source from private pay. If the form is submitted for a change from private pay, Medicare, or Hospice to Medicaid, this is the indicator for TMHP to retrieve the MDS for that recipient for Medicaid processing. An MDS is not required upon change to Medicaid if the cycle is already established. Once the Form 3618 has been submitted, the most recent MDS assessment that meets the necessary criteria will be loaded onto the LTC Online Portal with the status “Awaiting LTC Medicaid Information.” The recipient must reside in a valid, Medicaid-contracted bed. If Form 3618 is submitted, it is assumed that the recipient is in a contracted bed.

The facility administrator must sign Form 3618 prior to submission. In order for a Medicaid recipient to begin Full Medicaid Provider Payment, the following must apply:

- The recipient must have Medicaid Eligibility. Form 3618 will not process until the recipient is determined to be eligible.
- Form 3618 must be set to status “Processed/Complete” in order for the MESAV to be updated.
- MDS RUG is authorized.
  
  Note: The MDS should have been submitted to CMS in accordance with the RAI User’s Manual whether Medicare, Medicaid, or private pay status. MDS submissions to CMS are not dependant upon the payor source.

- The form must be signed and submitted by the facility administrator within 72 hours of the recipient’s Admission to, or Discharge from, the Medicaid Vendor System to be considered timely.

A facility administrator may authorize a person to sign the form in their absence. The authorization must be in writing and on file at the facility. The administrator date signed check box is required for Forms 3618 and 3619.

If the facility is temporarily without an administrator, a signature is still required. Note in the comment section of
Form 3618 that the facility is without an Administrator at this time, and enter “999999” in field 13 for the State Board License No.

Note: Nursing facilities are reminded that Form 3618 should not be submitted after a recipient is classified as Hospice in the facility. If the recipient is classified as Hospice upon admission, Form 3618 should not be submitted. Hospice providers should only submit Forms 3071/3074. If the recipient is Medicare for a non-related condition and classified as SNF (skilled nursing facility) by the Hospice provider, Form 3619 is appropriate. Nursing facilities should inactivate any Forms 3618/3619 rejected to the Provider Action Required workflow submitted by facilities in error for full Hospice recipients.

Repercussions of Submitting Form 3618 Late

• Payment to the facility will be delayed.
• Personal needs allowance for Supplemental Security Income (SSI) recipients will be delayed.
• Can delay the Medicaid Eligibility certification for a recipient applying for Medicaid.
• Failure to submit Form 3618 can restrict the recipient to only having a reduced number of prescriptions.
• The facility may be subject to sanctions (such as vendor hold) as a result of contractual noncompliance.

How to Submit Form 3618

Instructions for completing Form 3618 can be found at the following link:
www.dads.state.tx.us/handbooks/instr/3000/F3618/

1. Login to the LTC Online Portal.
2. Click the Submit Form link located in the blue navigational bar.
3. Type of Form: Choose 3618: Resident Transaction Notice from the drop-down box.
4. Click the “Enter Form” button.
5. Enter all required information as indicated by the red dots.
   – Enter at least one of the following: Medicaid Recipient No., Social Security No., or Medicare of RR Retirement Claim No.
   – If an Admission from hospital, enter hospital admission date in field provided between location and Date of Above Transaction.
   – If an Admission from private pay, enter the physical admission date in the field provided next to Private pay.
   – Deceased indicates that the client was pronounced in the facility.
   – Location indicates where the client is admitting from or discharging to.
   – Date of Above transaction will be the actual admission or discharge date.
   – The Last Name must match exactly what is shown on the Medicaid card.*

Note: The discharge type (Return Anticipated or Return Not Anticipated) has an effect on the recipient's MDS RUG cycle. “Return not Anticipated” ends the recipient's current RUG records. This should match the MDS Tracking Form.
6. From here you have two choices:

a. Click the “Submit Form” button to submit the form.

or

b. Click the “Save as Draft” button to store the form for future use, but not submit it. The form does not have to be complete to save the draft.

**Note:** If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors, they will be displayed in a box at the top of the screen. These errors will need to be resolved before the form can be successfully submitted. Once all errors are resolved, click the “Submit Form” button again to submit the form.

**IMPORTANT:** Validation is performed on the Medicaid/SSN/Medicare number and the Last Name of the recipient. If the Medicaid/SSN/Medicare number and Last Name do not match, processing will not occur. The form will be set to status “ID Invalid.” Validations are against the Medicaid Eligibility file. Check the recipient’s Medicaid card or the MESAV and compare to the entry being made. If the Medicaid card is incorrect, contact the Medicaid Eligibility Worker. Corrections are not allowed to the Name or Number fields on the form once submitted, thus it is important to submit the correct information. Incorrect entries require inactivation and a new submission.
**Note:** A Form 3618, admitting the recipient to Full Medicaid, or a Form 3619 (Medicare Co-insurance) must be submitted prior to submission of the MDS LTCMI (to be discussed later).

### Form 3619

#### Purpose of Form 3619

Form 3619 is for recipients who fall under the Medicare Co-insurance category. It provides information to Medicaid for the Elderly and People with Disabilities (MEPD) worker about the status of a Medicare Co-insurance applicant or individual. Form 3619 provides DADS with information to initiate, close, or adjust Medicare Skilled Co-insurance payments. The dates of qualifying stay are tracked by DADS. Traditional Medicare will pay for up to 100 days stay in an SNF. After the first 20 days, the facility must look to private pay, third-party insurance, or Medicaid to pay the deductible portion of the remaining days.

Occasionally, Medicare-/Medicaid-eligible recipients may be discharged and readmitted under the same Medicare authorization. These recipients are eligible for 100 days of skilled nursing care per spell of illness and may use their days in several short-term stays or in one long stay.
Form 3619 provides information about the status of a Medicaid applicant or recipient. Form 3619 establishes the 20 qualifying days of full Medicare coverage. Form 3619 Admission must be completed on the 21st day to begin Medicare Co-insurance. Before submitting Form 3619 Admission, the recipient must have already spent 20 days of Full Medicare Coverage in a Skilled Nursing Bed, though the stay does not have to be in the same facility. The Administrator must sign and submit the form within 72 hours of the resident's Admission or Discharge from Medicare Co-insurance to be considered timely. In hospitals acting as temporary Texas Medicaid nursing homes, the person responsible, such as the DON, may sign as the administrator; the 72-hour deadline will still apply.

A facility administrator may authorize a person to sign the form in their absence. The authorization must be in writing and on file at the facility. The administrator date signed check box is required for Forms 3618 and 3619. If the facility is temporarily without an administrator, a signature is still required. Note in the comment section of Form 3619 that the facility is without an Administrator at this time, and enter “999999” for the State Board License No.

When Medicaid provides the rest of the payment, this is called Medicare Co-insurance. In order for Medicare Co-insurance to begin, the recipient must meet the following requirements:

- Medicaid financial eligibility
- Have an Admission Form 3619 on file
- Have a qualifying stay of 20 days of full Medicare coverage (not the three-day acute care hospitalization stay)

The Dates of Qualifying Stay fields allow for two separate time frames. However, the dates may be broken up into multiple stays but will need to total 20 days. If the dates entered on the form equal less than 20 days, the provider must add comments to the form explaining the reason for this. Once the comments are added, the form may be submitted. If additional sets of dates are needed to document the qualifying stay, the provider must enter a comment that additional forms are being submitted in order for the form to be accepted into the LTC Online Portal with less than 20 days. A second Form 3619 must be completed using the same date of above transaction in order to supply the additional set(s) of dates. This form will also require a comment because it will not document a full 20 days of Qualifying Stay either.

If the recipient has a Medicare Replacement (also known as Medicare Advantage plan or Medicare Health Maintenance Organization [HMO]), the full coverage requirement may vary. Please include the following information in the comments section of the Form 3619:

- Medicare Replacement
- Name of the insurance carrier
- Number of Co-pay days allowed
- Daily Co-pay amount

Repercussions of Submitting Form 3619 Late

- Payment will be delayed.
- The facility may be subject to sanctions (such as vendor hold) as the result of contractual noncompliance.

How to Submit Form 3619

Instructions for completing the 3619 can be found at the following link: www.dads.state.tx.us/handbooks/instr/3000/F3619/

1. Login to the LTC Online Portal.
2. Click the Submit Form link located in the blue navigational bar.
3. Type of Form: Choose **3619: Medicare/SNF patient Transaction Notice** from the drop-down box.

4. Click the “Enter Form” button.

5. Enter all required information as indicated by the red dots.
   - Enter at least one of the following: Medicaid Recipient No., Social Security No., or Medicare or RR Retirement Claim No.
   - Indicate either an admission or discharge transaction. Medicaid does not pay for Date of Death on Medicare Co-insurance clients.
   - Location indicates where the client is admitting from or discharging to.
   - Date of above transaction will be the actual admission or discharge date.
   - Enter the Qualifying stay dates equal to 20 days. If Full Medicare is more than two time periods use multiple forms with the same Date of Above Transaction to submit the 20 days of Full Coverage.
   - The Last Name must match exactly what is shown on the Medicaid card.*

6. From here you have two choices:
   a. Click the “Submit Form” button to submit the form.
   or
   b. Click the “Save as Draft” button to store the form for future use, but not submit it. The form does not have to be complete to save the draft.

**Note:** If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are submission errors, they will be displayed in a box at the top of the screen. These errors will need to be resolved before the form can be successfully submitted. Once all errors are resolved, click the “Submit form” button again to submit the form.

**IMPORTANT:** Validation is performed on the Medicaid/SSN/Medicare number and the Last Name of the recipient. If the Medicaid/SSN/Medicare number and Last Name do not match, processing will not occur. The form will be set to status “ID Invalid.” Validations are against the Medicaid Eligibility file. Check the recipient’s Medicaid card or the MESAV and compare to the entry being made. If the Medicaid card is incorrect, contact the Medicaid Eligibility Worker. Corrections are not allowed to the Name or Number fields on the form once submitted, thus it is important to submit the correct information. Incorrect entries require inactivation and a new submission.
Submit Form 3619 for:

- Medicare Co-insurance Admission.
- Medicare Co-insurance Discharge.

Form 3619 Discharge is needed if the Co-insurance is no longer due to the NF (e.g., the recipient discharged from the NF, Medicare benefits are exhausted or denied, or the recipient is deceased).

In addition, type the following information in the comments section of Form 3619:

- Medicare Replacement
- Name of the insurance carrier
- Number of Co-insurance payment days allowed under the Medicare replacement policy
- Daily Co-payment amount
Hospice Form 3071—Election/Cancellation/Discharge Notice

Form 3071 is used to notify DADS of a Medicaid hospice recipient’s voluntary election or cancellation of the Texas hospice program, or to update changes in the Medicaid hospice recipient’s location and status.

Each Form 3071 should be completed either as an election, an update, or a cancellation. Complete an update transaction to document if the contract numbers change because of a Change in Ownership or a transfer between Hospice providers, or if the recipient changes location from/to community or nursing home. If the form is intended to elect a recipient to the hospice program, check the ELECT box and include only the FROM Date. If the form is intended to terminate a recipient from the hospice program, check the CANCEL box and include only the TO Date. If the form will update information already provided on an existing election document, check the UPDATE box, include only the FROM Date, and complete the appropriate fields.

The Setting field indicates where the client is receiving services. The setting determines which Hospice services are authorized. Community type settings are not authorized for Room and Board services. A client who resides in an assisted living facility is considered to be in the “Community” setting. Please verify the classification of the facility before indicating the client is in a Nursing Facility or an Intermediate Care Facility for the Intellectually Disabled (ICF/MR) facility. Nursing Facility and ICF/MR facilities must have an associated Level record per facility type.

- The hospice staff must complete Form 3071.

An Individual or Responsible Party signature is required on all Elect form types. Forms indicating Update do not require an Individual or Responsible Party signature. If the form is a Cancellation, an Individual or Responsible Party signature is required if the cancellation code is 14 or 77.

- The provider must maintain an original Form 3071 on file for reproduction. Submission of the form is outlined in the section How to Submit Form 3071 of this User Guide. An original can be obtained by submitting a written request to:

  Medicaid Hospice Program  
  Department of Aging and Disability Services  
  Provider Forms  
  PO Box 149030, Mail Code E205  
  Austin, Texas 78714-9030

This form is also located online at: [www.tmhp.com/Pages/LTC/ltc_forms.aspx](http://www.tmhp.com/Pages/LTC/ltc_forms.aspx)

- If a person is discharged from hospice for any reason and the person re-elects hospice, regardless of the amount of time, a new election and a new Physician Certification Form must be completed.

**Note:** The effective date of Form 3071 is the hospice election date or the recipient signature date, whichever occurs last. See the “Helpful Telephone Numbers” section of this User Guide for contact information on Hospice claims, policy, and contracting.

For Hospice forms, policy questions should be directed to the state according to policy. TMHP only addresses technical questions related to using the LTC Online Portal for Hospice form submission.

**Note:** Nursing facilities are reminded that Form 3618 should not be submitted after a recipient is classified as Hospice in the facility. If the recipient is classified as Hospice upon admission, Form 3618 should not be submitted. Hospice providers should only submit Forms 3071/3074. If the recipient is Medicare for a non-related condition and classified as SNF by the Hospice provider, Form 3619 is appropriate. Nursing facilities should inactivate any Forms 3618/3619 rejected to the Provider Action Required workflow submitted by facilities in error for full Hospice recipients.
How to Submit Form 3071

Instructions for completing Form 3071 can be found at the following link: 
www.dads.state.tx.us/handbooks/instr/3000/F3071/

1. Login to the LTC Online Portal.

2. Click the Submit Form link located in the blue navigational bar.

3. Type of Form: Choose 3071: Recipient Election/Cancellation/Discharge Notice from the drop-down box.

4. Click the “Enter Form” button.

5. Enter all required information as indicated by the red dots.
   - Complete at least one of the following: Medicaid number or SSN
   - If Election, choose Election and enter a “From” date only
   - Elections must include a Client or Responsible Party signature
   - If Update, choose Update and enter a “From Date” only
   - If Terminating the Hospice Program, choose Cancel and enter a “To date” only
   - If the Cancel Code is 14 or 77, a Client or responsible Party signature is required
   - Setting indicates where the client is receiving the Hospice services
   - If the client is in an Assisted Living facility, Setting should indicate “Home”
   - A setting of “SNF” indicates that the client is classified as Medicare for a non-related condition. Hospice services are waived until the Medicare stay is completed

6. From here you have two choices:
   a. Click the “Submit Form” button to submit the form.
      or
   b. Click the “Save as Draft” button to store the form for future use, but not submit it. The form does not have to be complete to save the draft.

Note: If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors they will be displayed in a box at the top of the screen. These errors will need to be resolved before the form will be successfully submitted. Once all errors are resolved, click the “Submit Form” button again to submit the form.
**Recipient Information**

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**Provider Information**

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**Transaction Information**

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**All Terminal Diagnoses - List All Terminal Illnesses**

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**Comments**

- [Insert comments]

**Hospice Information**

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**Physician Information**

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<td>State License No.</td>
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<td>Date of Orders</td>
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**Signatures**

- [Signatures field with options]
- [Submit Form button]
Hospice Form 3074—Medicaid/Medicare Physician Certification of Terminal Illness

Form 3074 is used to certify that the recipient has a diagnosis of six months or less to live if the illness runs its normal course, and to complete enrollment for the Medicaid hospice program. This form may also be used for Medicare physician certification.

The provider must maintain an original Form 3074 on file for reproduction. An original can be obtained by submitting a written request to:

Medicaid Hospice Program  
Department of Aging and Disability Services  
Provider Forms  
PO Box 149030, Mail Code E205  
Austin, Texas 78714-9030

This form is also located on line at: [www.tmhp.com/Pages/LTC/ltc_forms.aspx](http://www.tmhp.com/Pages/LTC/ltc_forms.aspx) or on the TMHP LTC Online Portal under the Printable Forms feature.

The physician completes Form 3074 when a recipient elects hospice and every six months (recertification) thereafter. Physician certification statements are valid for six months and must be renewed with a new certification statement. A hospice recipient’s terminal condition can be verified within two days of the hospice election date as evidenced by verbal verification by the hospice staff. The physician is allowed to sign and date the certification/recertification within the six-month terminal illness time frame the physician is certifying if a verbal verification is obtained. If no verbal verification is obtained, the physician’s signature must be obtained within two days of the election.

Note: Recertification forms must be signed no earlier than 30 calendar days before the recertification date or anytime during the six-month recertification period.

- If a person is discharged off of hospice for any reason and the person re-elects hospice, regardless of the amount of time, a new election and new Physician Certification Form must be completed.
  
  Note: All eligibility forms must be successfully submitted prior to receipt of payment.

- If the initial certification statement is signed by the physician after the six-month time frame, the effective date will be the date the document was signed by the physician. Medicaid payment will not be made prior to that date.

- The two-day verbal verification period does not apply to recertification. The recertification statements must be signed and dated by the physician prior to the expiration date of the recertification period.

- Medicaid payment will not be made for any period where a gap exists in the certification periods. This form must be completed in order for the recipient to receive Medicaid hospice services and for the provider to be paid for those services.

For hospice forms, policy questions should be directed to the DADS Hospice Policy (Medicaid) numbers located in the back of this User Guide (Helpful Telephone Numbers). TMHP only addresses technical questions related to using the LTC Online Portal for hospice form submission.
How to Submit Form 3074

Instructions for completing Form 3074 can be found at the following link: www.dads.state.tx.us/handbooks/instr/3000/F3074/

1. Login to the LTC Online Portal.

2. Click the Submit Form link located in the blue navigational bar.

3. Type of Form: Choose 3074: Physician Certification of Terminal Illness from the drop-down box.

4. Click the “Enter Form” button.

5. Enter all required information as indicated by the red dots.

6. Verify the following is complete before submission of the form.
   - Complete at least one of the following: Medicaid number, SSN or Medicare Number
   - Election/Start Date is the Election date from the Form 3071 Elect
   - Recertification? If this form is a recertification, check this box.
   - Cert/Recert Date - Indicate what the effective date is of this certification.
   - Verbal Verification - If completed within two days of Election, the physicians have six months to sign the certification. (submission cannot occur until signatures are obtained)
   - If the form is being completed as an initial certification, two physician signatures are required unless the Exclusion statement is signed.
   - If no Verbal Verification is given, the physician’s signatures must be within two days of the Election on an initial certification. If no verbal verification is given and not within two days of the Election, the effective date is the later of the two physician’s signatures.
   - A recertification only requires one physician signature.
   - A recertification can be signed up to 30 calendar days prior to the recert date or within the six-month recertification period.
   - The Exclusion Statement is only completed if the client does not have an attending physician for the initial certification

7. From here you have two choices:
   a. Click the “Submit Form” button to submit the form.
   
   or
   
   b. Click the “Save as Draft” button to store the form for future use, but not submit it. The form does not have to be complete to save the draft.

**Note:** If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors, they will be displayed in a box at the top of the screen. These errors will need to be resolved before the form can be successfully submitted. Once all errors are resolved, click the “Submit Form” button again to submit the form.
## Form 3074—LTC Online Portal Screen

### Actions

- Print
- Save as Draft

### 3074 Physician Certification of Terminal Illness

#### Provider Information

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<tr>
<td>Austin, TX 78714-0000</td>
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#### Recipient Information

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#### Certification Information

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#### Verbal Verification (within two days of election date)

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#### Certification/Recertification Physician Signatures

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#### Exclusion Statement

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[Submit Form]
MDS Assessments

The LTC MDS is a standardized, primary screening and assessment tool of health status that forms the foundation of the comprehensive assessment for all recipients in a Medicare- or Medicaid-certified LTC facility. The MDS contains items that measure physical, psychological, and psychosocial functioning. The items in the MDS give a multidimensional view of the resident’s functional capacities and helps staff to identify health problems.

Assessments that providers may submit to CMS and for Medicaid payment include:

- Admission assessment (required by day 14).
- Quarterly review assessment.
- Annual assessment.
- Significant change in status assessment.
- Significant correction to prior comprehensive assessment.
- Significant correction to prior quarterly assessment.
- Inactivation.
- Modification.

MDS 3.0 assessments that are accepted by CMS are retrieved by TMHP nightly, loaded onto the LTC Online Portal, and set to status “Awaiting LTC Medicaid Information.” Once the LTCMI has been successfully completed and submitted on the LTC Online Portal, the MN determination process will begin.

MDS 3.0 Admission assessments are effective based on the Entry Date entered into field A1600. System processing will start the Level record either based on the Entry Date or the completion date (Z0500B) minus 30 days, whichever is later. If the begin date of the Level record needs to be adjusted because the timeframe between Entry Date and the completion date is over 30 days, a call is required to DADS Provider Claims Services (512) 438-2200 Option 1 for the additional days.

The other assessment types will be effective based on the completion date (Z0500B).

All assessments without a Purpose Code are valid for 92 days from the completion date. Expiration dates on the MESAV also include a 31-day grace period for the next submission.

An MDS 3.0 Admission assessment is valid in three situations:

1. For a first physical admission into a nursing facility, an Admission assessment is valid. Regardless of whether the resident is private pay, Medicare, or Medicaid, the provider should complete an Admission assessment for a first physical Omnibus Budget Reconciliation Act (OBRA) admission within 14 calendar days of admission to the NF. For Texas Medicaid, if a resident is active in a NF and discharges to another NF for even one day, then returns to the original nursing facility, the readmission to the original NF is considered a first physical
admission. As soon as another provider is introduced, the prior NF’s MDS cycle for the resident is ended and must be restarted if the resident returns to the original NF. Discharging to the resident’s home, to Hospice, or to the hospital is not discharging to another NF.

2. If the resident discharges from a NF and the Form 3618 discharge type indicates Return Not Anticipated, a new Admission assessment is required if the resident readmits to the NF. Remember that the Form 3618 is expected to match the MDS discharge tracking form also submitted for this resident. The MDS discharge tracking form would indicate Discharge – Return Not Anticipated. Although CMS rules allow the use of the Reason for Assessment on the discharge tracking form for any resident whose first physical admission to the NF is less than 14 days, a provider should NOT use this reason for assessment if the resident’s stay is being paid for by Texas Medicaid. This is because if the provider does not complete an OBRA Admission assessment as completely as possible, even if the resident is in the provider’s building for only one day, the provider will not have an MDS assessment for billing purposes. If the Form 3618 or MDS discharge type is marked incorrectly, the discharge type can be corrected.

3. If the resident is physically discharged from the facility for over 30 days, regardless of reason or location, CMS requires an Admission assessment. For example, if the discharge to the hospital was marked “Return Anticipated,” and the resident is in the hospital over 30 days, a new MDS 3.0 Admission assessment is due. The Entry Date should be the new admission to the facility after the discharge that was over 30 days. If the Entry Date is submitted with a date prior to the discharge, a modification will be required to adjust the date so the assessment is valid for the dates after readmission.

Validating the Appropriateness of an Admission Assessment

If the Entry Date of an MDS assessment overlaps with an established MDS for the same NF, the coding of Admission assessment is most likely in error.

One of the considerations in validating an Admission assessment is the relationship between the Entry Date and the completion dates. An Admission assessment should be completed within 14 days of the Entry Date. CMS and DADS will accept the assessment if the timeframe is longer, but the provider must validate whether an Admission assessment is the appropriate reason for assessment. If the Entry Date is two years prior to the completion date, this assessment probably should not be an Admission assessment.

If the Admission assessment is needed because the resident had a Form 3618 discharge indicating Return Not Anticipated, the Entry Date should be the new readmission date, not an admission prior to the discharge. If the provider already submitted the assessment with the Entry Date prior to the discharge date, a modification must be transmitted to the state MDS database to adjust the Entry Date to the readmission date following the discharge.

Swing bed providers are required to submit MDS 3.0 assessments “A0200 Type of Provider” coded as “2. Swing Bed.” MDS 3.0 assessments for swing bed providers include assessments listed in items A0310B, A0310C, A0310D, and A0310F. These assessments are submitted to CMS; however, they are not retrieved by TMHP. Swing bed providers must complete the appropriate MDS 3.0 OBRA-required Comprehensive or Quarterly assessments listed in item A0310A in accordance with the MDS 3.0 RAI User’s Manual if services provided are eligible for Medicaid reimbursement. OBRA-required assessments listed in A0310A that meet TMHP guidelines are retrieved by TMHP and the associated LTCMI will have field S1c (Service Group) auto populated to equal ten (10) based on the vendor/contract number provided upon login.

MDS Discharge Tracking and Re-Entry Tracking forms (3.0: A0310F) are used by CMS, but are not retrieved and loaded onto the LTC Online Portal. The 3618 and 3619 are used by the state for Medicaid processing of recipient movement.

If the resident expires on the day the MDS Quarterly is due and there is no level of service for the date of death, the
MDS Quarterly must be submitted in order to receive payment for the date of death.

To receive a RUG payment when a resident expires prior to completion of an Admission assessment, the Admission assessment must be completed and submitted to CMS with the information that is available. If CMS cannot calculate a RUG because the Admission assessment is incomplete or has errors, CMS will still assign a RUG value of BC1, which is the default rate. If the Admission assessment meets medical necessity and the resident has Medicaid eligibility for the days of services, payment can be made for the RUG value calculated by CMS.

Submission and Retrieval of MDS Assessment

Providers should use their current method for submission to CMS, either through jRAVEN or another third-party software package. Validate the acceptance of the MDS 3.0 assessment using the validation report process from federal CMS.

TMHP receives assessments nightly. Only assessments that meet the following criteria will be loaded onto the LTC Online Portal:

• Reason for Assessment:

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<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
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<tbody>
<tr>
<td>Annual assessment: AA8a=02.</td>
<td>Quarterly review assessment: A0310A=02.</td>
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<tr>
<td>Significant change in status assessment: AA8a=03.</td>
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<tr>
<td>Significant correction of prior quarterly assessment: AA8a=10.</td>
<td>Significant correction to prior quarterly assessment: A0310A=06.</td>
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• National Provider ID (MDS 2.0: W1 or MDS 3.0: A0100A) should be entered for Nursing Facilities to locate assessments “Awaiting LTC Medicaid Information.”

• Medicaid Number (MDS 2.0: AA7 or MDS 3.0: A0700) contains “+” or a nine-digit numeric value.

**Note:** Once accepted by CMS, it may be up to 48 hours before the MDS 3.0 assessment is accessible on the LTC Online Portal for data entry in “Awaiting LTC Medicaid Information” status.

**Note:** The effective date of quarterly review assessments with a date after the 30 day submission period, can be adjusted by contacting PCS directly to make the adjustment.

Assessments loaded onto the LTC Online Portal are assigned a DLN and set to status “Awaiting LTC Medicaid Information.”

Providers must login to the LTC Online Portal and use FSI or Current Activity to find the submitted MDS assessment set to status “Awaiting LTC Medicaid Information.” Complete the LTCMI and submit.

The MDS assessment must be accepted by the LTC Online Portal and have an LTCMI completed to begin the MN determination process. Periodically review the status of the MDS assessment for MN and Medicaid Processing using FSI or Current Activity.

**Note:** Providers should follow the federal MDS 2.0 or 3.0 RAI User’s Manual for submission of an assessment. If the provider follows the federal guidelines for submission, and completes the LTCMI on the LTC Online Portal, there will not be a lapse in Texas Medicaid coverage.
MDS Dually-Coded Assessments

Dually-coded assessments will be retrieved and loaded onto the LTC Online Portal nightly if the retrieval criteria above are present. If the assessment is processed successfully for Medicare, but fails due to the Medicaid ID/Recipient name, the provider should refer to the MDS 3.0 RAI User’s Manual Chapter five for further instructions and guidelines for submitting modifications to key resident identifying information fields. The MDS 3.0 RAI User’s Manual can be found under “Downloads” on the CMS website: [www.cms.gov/NursingHomeQualityInits/25_NHQIMDS30.asp#TopOfPage](http://www.cms.gov/NursingHomeQualityInits/25_NHQIMDS30.asp#TopOfPage)

Dually-coded assessments can be submitted as multiple combinations. If the client has been established with MDS RUG’s for the facility, discharges to the hospital and returns Medicare, the assessment can be dually-coded for the appropriate Medicaid assessment due and the proper Medicare assessment due. An assessment for an established client admitting to Medicare can be coded as a Medicaid Quarterly and a Medicare five-day assessment. If an assessment is coded for a Medicaid Admission assessment and a Medicare five-day assessment and the resident has a current RUG already established the Medicaid admission RUG will not be used unless the resident was out over 30 days or discharged “Return Not Anticipated.” If the RUG is wanted for Medicaid, it will require inactivating the assessment at CMS and resubmitting with a different Medicaid reason for assessment.

Long Term Care Medicaid Information (LTCMI)

LTCMI is the replacement for the federal MDS Section S and contains state-specific items for Medicaid payment. Providers must access the LTC Online Portal and retrieve their MDS assessments to successfully complete the LTCMI. Providers should complete the LTCMI section as soon as possible in order to submit the MDS assessment into TMHP’s MN workflow for review.

Submission of LTCMI

To enter the LTCMI, the provider must login to the LTC Online Portal and access their assessments set to status “Awaiting LTC Medicaid Information” using FSI or Current Activity. The LTCMI must be completed with all required data and be successfully submitted. The assessment is then available for medical necessity determination by TMHP.

Note: The LTC Online Portal allows a 60-day grace period for submission of the LTCMI for Change of Ownership (CHOW) and new owners. Facilities have 60 days from the day the first MDS assessment is submitted with the new contract number to submit an MDS assessment in “Awaiting LTC Medicaid Information” status without requiring a Purpose Code (PC) E or M.

Finding Assessments Using Form Status Inquiry

1. Click the Form Status Inquiry link in the blue navigational bar.

2. Type of Form: Choose one of the following options from the drop-down box:
   - MDS 3.0: Minimum Data Set (Comprehensive)
   - MDSQTR 3.0: Minimum Data Set (Quarterly)
   - MDS 2.0: Minimum Data Set (Comprehensive)
   - MDSQTR 2.0: Minimum Data Set (Quarterly)
3. Form Status: Choose **Awaiting LTC Medicaid Information** from the drop-down box.

4. Enter a date range for the period searching for, the system default for the search is within the past month; however, the date range must include the period in which the assessment was submitted.

   **Note:** It may take up to 48 hours after submission to CMS before the MDS 3.0 assessment is accessible on the LTC Online Portal for data entry in “Awaiting LTC Medicaid Information” status.

5. Click the “Search” button and the search results will display.

6. Click the **View Detail** link to display the details of the assessment.

**If You Cannot Locate Your MDS Using FSI or Current Activity**

After confirming the requested date range, be sure to verify all of the following:

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<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>MDS was accepted (not rejected) by CMS via your validation report.</td>
<td>MDS was accepted (not rejected) by CMS via your validation report.</td>
</tr>
<tr>
<td>A valid Medicaid number or + was entered in field AA7.</td>
<td>A valid Medicaid number or + was entered in field A0700.</td>
</tr>
<tr>
<td>AA7 does not contain an ‘N’.</td>
<td>A0700 does not contain an ‘N’.</td>
</tr>
<tr>
<td>AA8a has a response of 01, 02, 03, 04, 05, or 10.</td>
<td>A0310A has a response of 01, 02, 03, 04, 05, or 06.</td>
</tr>
<tr>
<td>AA8a does not contain 00.</td>
<td>A0310A does not contain a 99.</td>
</tr>
<tr>
<td>Name on the MDS is exactly the same as the resident’s Medicaid ID card.</td>
<td>Name on the MDS is exactly the same as the resident’s Medicaid ID card.</td>
</tr>
<tr>
<td>NPI entered in field W1 matches the Vendor/Contract information on the</td>
<td>NPI entered in field A0100A matches the Vendor/Contract information on</td>
</tr>
<tr>
<td>MESAV for that resident.</td>
<td>MESAV for that resident.</td>
</tr>
</tbody>
</table>
How to Submit Long Term Care Medicaid Information (LTCMI)

Once you have found and opened the assessment set to status “Awaiting LTC Medicaid Information” using FSI or Current Activity:

1. Click the “Section LTCMI” tab.

2. Enter data into remaining fields not auto populated. At this time, the provider will have the option to manually enter information or click the “Populate LTCMI” button.

   **Note:** To ensure that the LTCMI can be submitted once completed, first check for the Submit Form button at the bottom of the screen. If the assessment is being used (locked) by another user, the Submit Form button will not be available (displayed). Additionally, a message will display in the upper right of the screen “This form is being viewed by another user and cannot be changed.”

3. Click the “Print” button located in the yellow Form Actions bar to print the LTCMI in progress.
   a. Printer: Choose the appropriate printer name from drop-down box.
   b. Print Range: Click the “Pages” radio button.
   c. Enter the pages to print. Pages for the LTCMI for the MDS 3.0 Comprehensive are 39-42. Pages for the LTCMI for the MDS 3.0 Quarterly are 34-37.
   d. Click the “OK” button.
4. From here you have two choices:

   a. Click the “Submit Form” button located at the bottom right of the screen, if ready to submit for processing.

      **Note:** The “Submit Form” button will be disabled on April 1, 2012 for all original MDS 2.0 Quarterly and Comprehensive assessments.

      ![Submit Form button](image)

   or

   b. Click the “Save LTCMI” button located in the yellow Form Actions bar, if you would like to save the LTCMI prior to submission. The saved LTCMI will remain in status “Awaiting LTC Medicaid Information.”

      **Reminder:** The LTCMI will not be saved to Drafts.

5. Successful submission will display the DLN and a message “Your form was submitted successfully.”

![Success message](image)

6. Unsuccessful submission will result in error messages being displayed at the top of the page (you will need to scroll to the top of the page to see the errors).
Circumstances for LTCMI Submission

Nursing facilities are directed to complete the LTCMI when seeking full Medicaid reimbursement (when a resident is moving to full Medicaid or continuation of Medicaid payment). The LTCMI is not required for Medicare recipients or Co-insurance. If the provider expects that the MDS will be used for Medicaid within the Texas Medicaid quarter it covers, it is recommended that the LTCMI be completed to begin the MN process.

Note: DADS recommends completing the LTCMI if the resident could possibly become Full Medicaid during the time period the assessment represents. The LTCMI cannot be submitted until an admission, either Form 3618/3619, has been submitted.

LTCMI PASARR Verification

Upon submission of the LTCMI section of an MDS 3.0 Admission assessment, the LTC Online Portal will perform a check for an approved PASARR screening if a “Y” was entered into any of the following fields:

- Intellectual Disability (field S2a)
- Developmental Disability (field S2b)
- Mental Illness (field S2c)

If an approved PASARR Screening is found, the MDS assessment will continue through the workflow.

If no previously approved PASARR Screening is found, the following message will display:

1. Click the “OK” button. The status of the assessment will set to status “Waiting for PASARR Verification” and the error message above will display in the History trail of the assessment.

2. To submit the required PASARR Screening, follow the instructions found in the “How to Submit a PASARR Screening” section of this User Guide.

3. Once you have successfully submitted the PASARR Screening and it has been MN approved, you may use FSI to locate and open the MDS assessment set to status “Waiting for PASARR Verification.”

4. Click the “Check for PASARR” button.
The following message will display:

From here you have two choices:

a. Click the “OK” button to perform a Check for PASARR.

  › If the PASARR check passes, the following note will display in the History trail of the assessment and the assessment will continue through the workflow:

<table>
<thead>
<tr>
<th>Status</th>
<th>Date/Time</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>PASARR Approved</td>
<td>10/7/2010 3:07:27 PM</td>
<td>TNHP: Approved PASARR found.</td>
</tr>
<tr>
<td>Pending Review</td>
<td>10/7/2010 3:07:28 PM</td>
<td>TNHP: The Form has failed Auto MN Approval</td>
</tr>
</tbody>
</table>

  › If the PASARR check fails, the following note will display in the History trail of the assessment and the assessment will remain in “Waiting for PASARR Verification” status:

<table>
<thead>
<tr>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>TNHP: PASARR not found. Approved PASARR Screening is required before this assessment can be processed. Click Check for PASARR button after PASARR Screening has been submitted and approved.</td>
</tr>
</tbody>
</table>

or

b. Click the “Cancel” button.

Note: If an MDS assessment remains set to status “Waiting for PASARR Verification” for a period of six months, the assessment will then be set to status “PASARR not found invalid form” and the MDS assessment processing workflow will terminate.
**LTCMI Fields**

**Important:** Ensure that the information entered in the LTCMI does not conflict with information entered in the MDS assessment.

**Table:**

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>S1a</td>
<td>DADS Vendor/Site ID Number</td>
</tr>
<tr>
<td>S1b</td>
<td>Contract/Provider Number</td>
</tr>
<tr>
<td>S1c</td>
<td>DIDS Group</td>
</tr>
<tr>
<td>S1d</td>
<td>Hospice Contract Number</td>
</tr>
<tr>
<td>S1e</td>
<td>Purpose Code</td>
</tr>
<tr>
<td>S2a</td>
<td>To your knowledge, does the resident have an intellectual disability?</td>
</tr>
<tr>
<td>S2b</td>
<td>To your knowledge, does the resident have a developmental disability?</td>
</tr>
<tr>
<td>S2c</td>
<td>To your knowledge, does the resident have a condition of mental illness according to the PASARR guidelines?</td>
</tr>
<tr>
<td>S2d</td>
<td>Is the resident a danger to himself/herself?</td>
</tr>
<tr>
<td>S2e</td>
<td>Is the resident a danger to others?</td>
</tr>
<tr>
<td>S2f</td>
<td>Are specialized services indicated?</td>
</tr>
</tbody>
</table>

**Form:**

[Image of the LTCMI user interface]

**Diagram:**

[Diagram of the LTCMI user interface]
### LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S1. Claims Processing Information (2.0)</strong></td>
<td><strong>S1. Claims Processing Information (3.0)</strong></td>
</tr>
</tbody>
</table>
| • S1a. DADS Vendor/Site ID Number. Auto populated.  
  – This field is auto populated based on the NPI number in field W1. This field is not correctable. | • S1a. DADS Vendor/Site ID Number.  
  Auto populated.  
  – This field is auto populated based on the NPI number in field A0100A.  
  – This field is not correctable.  
  – If A0100A National Provider Identifier (NPI) is not correct on the MDS, then the NPI must be fixed at the federal CMS level. |
| • S1b. Contract/Provider Number. Auto populated.  
  – This field is auto populated based on the NPI number in field W1. This field is not correctable. | • S1b. Contract/Provider Number.  
  Auto populated.  
  – This field is auto populated based on the NPI number in field A0100A. This field is not correctable.  
  – If an NPI has more than 1 contract/provider number associated with it, be sure the correct contract/provider number is selected from the drop-down box. |
| • S1c Service Group. Required.  
  Enter the number that corresponds to the appropriate response:  
  – 1. Nursing Facility.  
  – 10. Swing Beds.  
  This field is not correctable once successfully submitted. | • S1c. Service Group.  
  Auto populated.  
  – This field is auto populated based on the user's logon credentials.  
  – This field is not correctable on the TMHP LTC Online portal. |
### LTCMI Fields

#### MDS 2.0

**S1d. Hospice Contract Number.**  
Conditional.  
- This field is required if P1a.o. Hospice Care is checked.  
- Enter the Medicaid hospice provider contract number assigned by DADS. This allows hospice providers to view assessments submitted on their behalf. Therefore this number must be accurate. This number will be validated and must contain a valid hospice provider number to be accepted onto the LTC Online Portal. If not valid, the provider will receive an error message stating “Hospice Contract Number is invalid.”

**S1e. Purpose Code.**  
Optional.  
- E. Missed Assessment  
- M. Retro MN  
  - Providers should verify that the MESAV Coverage Code is P prior to submitting a Purpose Code M.  
- This field is not removable once a Purpose Code has been selected and the assessment successfully submitted on the TMHP LTC Online Portal.

**S1f. Missed Assessment Start Date (The first date the facility was not paid).**  
Conditional.  
- This field is required if S1e. Purpose Code = E or M.  
- This would be the first missed assessment date (Check MESAV for gaps).  
- Enter the date in mm/dd/yyyy format of the missed assessment start date.  
- Start date must be between September 1, 2008 and October 31, 2010.

#### MDS 3.0

**S1d. Hospice Contract Number.**  
Conditional.  
- This field is required if O0100K. Hospice care column 2 “While a Resident” is checked.  
- Enter the Medicaid hospice provider contract number assigned by DADS. Entering the hospice provider contract number in this field will allow the hospice provider to view the assessment submitted on their behalf by the NF. This number will be validated and must contain a valid hospice provider number to be accepted onto the LTC Online Portal. If not valid, the provider will receive an error message stating “Hospice Contract Number is invalid.”

**S1e. Purpose Code.**  
Optional.  
- E. Missed Assessment  
- M. Coverage Code must be P  
  - Providers should verify that the MESAV Coverage Code is P prior to submitting a Purpose Code M.  
- This field is not removable once a Purpose Code has been selected and the assessment successfully submitted on the TMHP LTC Online Portal.

**S1f. Missed Assessment or Prior Start Date (The first date the facility was not paid).**  
Conditional.  
- This field is required if S1e. Purpose Code = E or M.  
- This would be the first missed assessment date (Check MESAV for gaps).  
- Enter the date in mm/dd/yyyy format of the missed assessment start date.  
- Start Date cannot be prior to September 1, 2008.  
- Field is correctable.

**S1g. Missed Assessment End Date (The last date the facility was not paid).**  
Conditional.  
- This field is required if S1e. Purpose Code = E or M.  
- This would be the last missed assessment date (Check MESAV for gaps).  
- Enter the date in mm/dd/yyyy format of the missed assessment end date.  
- These dates are used to locate a gap of time. If a gap is not found within the range provided, the assessment will not be processed. Providers can submit a MDS Purpose Code E with a missed assessment date range greater than 92 days. This allows providers to submit one MDS Purpose Code E to cover large gaps in dates.  
- End date must be on or after the Start Date but cannot be later than October 31, 2010.

**S1g. Missed Assessment or Prior End Date (The last date the facility was not paid).**  
Conditional.  
- This field is required if S1e. Purpose Code = E or M.  
- This would be the last missed assessment date (Check MESAV for gaps).  
- Enter the date in mm/dd/yyyy format of the missed assessment end date.  
- Date cannot be greater than date of submission (i.e., today’s date).  
- End date cannot be prior to the Start Date.  
- Field is correctable.  
- These dates are used to locate a gap of time. If a gap is not found within the range provided, the assessment will not be processed. Providers can submit a MDS Purpose Code E with a missed assessment date range greater than 92 days. This allows providers to submit one MDS Purpose Code E to cover large gaps in dates.
**LTCMI Fields**

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>• S2. PASARR Information (2.0)</td>
<td>• S2. PASARR Information (3.0)</td>
</tr>
<tr>
<td>• S2a. To your knowledge, does the recipient have a</td>
<td>• S2a. To your knowledge, does the resident have an intellectual</td>
</tr>
<tr>
<td>condition of mental illness?</td>
<td>disability?</td>
</tr>
<tr>
<td>Required.</td>
<td>Required.</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Choose from the drop-down box:</td>
</tr>
<tr>
<td>N = No</td>
<td>0. No</td>
</tr>
<tr>
<td>Y = Yes</td>
<td>1. Yes</td>
</tr>
<tr>
<td>• S2b. To your knowledge, does the recipient have a related condition?</td>
<td>• S2b. To your knowledge, does the resident have a developmental</td>
</tr>
<tr>
<td>Required.</td>
<td>disability?</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Required.</td>
</tr>
<tr>
<td>N = No</td>
<td>0. No</td>
</tr>
<tr>
<td>Y = Yes</td>
<td>1. Yes</td>
</tr>
<tr>
<td>ENTRY TIP: If one value from S2a - S2c is a ‘Y’ and the Reason for</td>
<td>ENTRY TIP: If one value from S2a - S2c is a ‘Y’ and the Type of</td>
</tr>
<tr>
<td>Assessment is an Admission, then a PASARR Screening must be on file.</td>
<td>Assessment is an Admission, then an MN approved PASARR Screening</td>
</tr>
<tr>
<td>Submit PASARR Screening and wait for MN approval of that PASARR</td>
<td>must be on file. If an approved PASARR Screening is not found, the</td>
</tr>
<tr>
<td>Screening prior to submitting LTCMI.</td>
<td>MDS will be set to status “Waiting for PASARR Verification” and will</td>
</tr>
<tr>
<td></td>
<td>not be reviewed for MN.</td>
</tr>
<tr>
<td>• S2c. To your knowledge, does the recipient have mental retardation?</td>
<td>• S2c. To your knowledge, does the resident have a condition of</td>
</tr>
<tr>
<td>Required.</td>
<td>mental illness according to the PASARR guidelines?</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Required.</td>
</tr>
<tr>
<td>N = No</td>
<td>0. No</td>
</tr>
<tr>
<td>Y = Yes</td>
<td>1. Yes</td>
</tr>
<tr>
<td>ENTRY TIP: If one value from S2a - S2c is a ‘Y’ and the Reason for</td>
<td>ENTRY TIP: If one value from S2a - S2c is a ‘Y’ and the Type of</td>
</tr>
<tr>
<td>Assessment is an Admission, then a PASARR Screening must be on file.</td>
<td>Assessment is an Admission, then an MN approved PASARR Screening</td>
</tr>
<tr>
<td>Submit PASARR Screening and wait for MN approval of that PASARR</td>
<td>must be on file. If an approved PASARR Screening is not found, the</td>
</tr>
<tr>
<td>Screening prior to submitting LTCMI.</td>
<td>MDS will be set to status “Waiting for PASARR Verification” and will</td>
</tr>
<tr>
<td></td>
<td>not be reviewed for MN.</td>
</tr>
<tr>
<td>• S2d. Is the recipient a danger to himself/herself?</td>
<td>• S2d. Is the resident a danger to himself/herself?</td>
</tr>
<tr>
<td>Required.</td>
<td>Required.</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Choose from the drop-down box:</td>
</tr>
<tr>
<td>N = No</td>
<td>0. No</td>
</tr>
<tr>
<td>Y = Yes</td>
<td>1. Yes</td>
</tr>
<tr>
<td>› If unknown, then reply with N = No.</td>
<td>› If unknown, then reply with 0. No.</td>
</tr>
<tr>
<td>• S2e. Is the recipient a danger to others?</td>
<td>• S2e. Is the resident a danger to others?</td>
</tr>
<tr>
<td>Required.</td>
<td>Required.</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Choose from the drop-down box:</td>
</tr>
<tr>
<td>N = No</td>
<td>0. No</td>
</tr>
<tr>
<td>Y = Yes</td>
<td>1. Yes</td>
</tr>
<tr>
<td>› If unknown, then reply with N = No.</td>
<td>› If unknown, then reply with 0. No.</td>
</tr>
<tr>
<td>• S2f. Has the recipient had a previous PASARR assessment?</td>
<td>• S2f. Are specialized services indicated?</td>
</tr>
<tr>
<td>Optional.</td>
<td>Disabled.</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>– This field is disabled. Click the &quot;Determine Specialized Services&quot;</td>
</tr>
<tr>
<td>U = Unknown</td>
<td>button to calculate and populate a value in S2f.</td>
</tr>
<tr>
<td>N = No</td>
<td></td>
</tr>
<tr>
<td>Y = Yes</td>
<td></td>
</tr>
</tbody>
</table>
### LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S2g. Date of previous PASARR assessment.</strong>&lt;br&gt;Optional.&lt;br&gt;– Date of Previous PASARR Screening could also be a&lt;br&gt;3652-A Purpose Code P. If so, please enter the date of&lt;br&gt;the 3652-A form if known.&lt;br&gt;– Enter the R2b date (RN Assessment Coordinator signed&lt;br&gt;as complete) in mm/dd/yyyy format of the previous&lt;br&gt;PASARR Screening, if known.</td>
<td>No 3.0 Equivalent</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>S3. Physician’s Evaluation &amp; Recommendation (2.0)</th>
<th>S3. Physician’s Evaluation &amp; Recommendation (3.0)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S3a. Do you have plans for the eventual discharge of this patient?</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;N = No&lt;br&gt;Y = Yes&lt;br&gt;› This field is required if initial assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
<td><strong>S3a. Does the MD/DO have plans for the eventual discharge of this resident?</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;0. No&lt;br&gt;1. Yes&lt;br&gt;› This field is required if Admission assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
</tr>
<tr>
<td><strong>S3b. Rehabilitative potential</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;1. Good&lt;br&gt;2. Fair&lt;br&gt;3. Minimal&lt;br&gt;› This field is required if Admission assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
<td><strong>S3b. Rehabilitative Potential</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;1. good&lt;br&gt;2. fair&lt;br&gt;3. minimal&lt;br&gt;› This field is required if Admission assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
</tr>
<tr>
<td><strong>S3c. I certify that this individual requires nursing facility services or community based alternative services under supervision of an MD/DO.</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;N = No&lt;br&gt;Y = Yes&lt;br&gt;› This field is required if initial assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
<td><strong>S3c. Did an MD/DO certify that this resident requires/continues to require nursing facility care?</strong>&lt;br&gt;Conditional.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;0. No&lt;br&gt;1. Yes&lt;br&gt;› This field is required if Admission assessment, SCSA, or&lt;br&gt;Recovery of Lost Payment (Purpose Code E).</td>
</tr>
<tr>
<td><strong>S3d. MD/DO Last name.</strong>&lt;br&gt;Required.&lt;br&gt;– Enter the last name of the MD/DO.</td>
<td><strong>S3d. MD/DO Last Name.</strong>&lt;br&gt;Required.&lt;br&gt;– Enter the last name of the MD/DO.</td>
</tr>
<tr>
<td><strong>S3e. MD/DO License #.</strong>&lt;br&gt;Conditional.&lt;br&gt;– This field is required if S3f. MD/DO Military Spec Code # is not populated.&lt;br&gt;– Enter the license number of the MD/DO.&lt;br&gt;– This number is validated against the Texas Medical Board file.&lt;br&gt;– Physicians are not required to complete the RUG training.</td>
<td><strong>S3e. MD/DO License #.</strong>&lt;br&gt;Conditional.&lt;br&gt;– This field is required if S3g. MD/DO Military Spec Code # is not populated.&lt;br&gt;– Enter the license number of the MD/DO.&lt;br&gt;– This number is validated against the Texas Medical Board file.&lt;br&gt;– Physicians are not required to complete the RUG training.</td>
</tr>
</tbody>
</table>
### LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S3e1. MD/DO License State.</strong> Required.</td>
<td><strong>S3f. MD/DO License State.</strong> Required.</td>
</tr>
<tr>
<td>Choose the license state in which MD/DO is licensed from the drop-down box.</td>
<td>Choose the license state in which the MD/DO is licensed from the drop-down box.</td>
</tr>
<tr>
<td><strong>S3f. MD/DO Military Spec code #.</strong> Conditional.</td>
<td><strong>S3g. MD/DO Military Spec Code #.</strong> Conditional.</td>
</tr>
<tr>
<td>This field is required if S3e. MD/DO License # is not populated.</td>
<td>This field is required if S3e. MD/DO License # is not populated.</td>
</tr>
<tr>
<td>Enter the Military Spec Code number of the MD/DO.</td>
<td>Enter the Military Spec Code number of the MD/DO.</td>
</tr>
</tbody>
</table>

**No 2.0 Equivalent**

Fields S3h through S3l (MD/DO information) are required if MD/DO is not licensed in Texas.

| **S3h. MD/DO First Name.** Conditional. | **S3i. MD/DO Address.** Conditional. |
| Enter the first name of the resident’s MD/DO. | Enter the street address of the resident’s MD/DO. |
| This information is used to mail MN determination letters. | This information is used to mail MN determination letters. |

**No 2.0 Equivalent**

| **S3j. MD/DO City.** Conditional. | **S3k. MD/DO State.** Conditional. |
| Enter the city of the resident’s MD/DO mailing address. | Enter the state of the resident’s MD/DO mailing address. |
| This information is used to mail MN determination letters. | This information is used to mail MN determination letters. |

**No 2.0 Equivalent**

<p>| <strong>S3l. MD/DO ZIP Code.</strong> Conditional. | <strong>S3l. MD/DO ZIP Code.</strong> Conditional. |
| Enter the ZIP code of the resident’s MD/DO mailing address. | Enter the ZIP code of the resident’s MD/DO mailing address. |
| This information is used to mail MN determination letters. | This information is used to mail MN determination letters. |</p>
<table>
<thead>
<tr>
<th>LTCMI Fields</th>
<th>LTCMI Fields</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>MDS 2.0</strong></td>
<td><strong>MDS 3.0</strong></td>
</tr>
</tbody>
</table>
| No 2.0 Equivalent | • S3m. MD/DO Phone.  
- Optional.  
- This field is optional if S3f License State is NOT Texas.  
- Enter the telephone number of the resident's MD/DO.  
- This information is used to contact MD/DO if necessary. |

<table>
<thead>
<tr>
<th><strong>S4. Licenses (2.0)</strong></th>
<th><strong>S4. Licenses (3.0)</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider Certification: On behalf of this facility, I certify to the completeness of the MDS Assessment.</td>
<td>Provider Certification: On behalf of this facility, I certify to the completeness of the MDS Assessment.</td>
</tr>
</tbody>
</table>

- **S4a. RN Coordinator Last Name.**  
  Required.  
  - Enter the last name of the RN Coordinator.  
- **S4b. RN Coordinator License #.**  
  Required.  
  - Enter the license number of the RN Coordinator.  
  - This number is validated against the Texas BON (Board of Nursing) or Compact License as applicable. This number is validated to ensure RUG training requirements have been met. The license numbers supplied at S4b must be RUG trained as offered by Texas State University. The assessment will not be accepted on the LTC Online Portal if the license # is not indicated as having completed the RUG training. The RUG training is online (web-based training) as offered by Texas State University. The training is valid for two years. The name entered in S4a should match the name in section R2a.  
  
  **Note:** An error will occur if the license number does not pass validation. The assessment will not be considered “successfully submitted” until all errors are resolved.  

- **S4b1. RN Coordinator License State.**  
  Required.  
  - Choose the license state in which the RN Coordinator is licensed from the drop-down box.  
- **S4c. RN Coordinator License State.**  
  Required.  
  - Choose the license state in which the RN Coordinator is licensed from the drop-down box.  

<table>
<thead>
<tr>
<th><strong>S5. Primary Diagnosis &amp; Associated Medications (2.0)</strong></th>
<th><strong>S5. Primary Diagnosis (3.0)</strong></th>
</tr>
</thead>
</table>
| **S5a. Primary Diagnosis ICD-9.**  
  Required.  
  - Enter a valid ICD-9 code for the recipient’s primary diagnosis. Use your best clinical judgment. | **S5a. Primary Diagnosis ICD-9 Code.**  
  Required.  
  - Enter a valid ICD-9 code for the recipient’s primary diagnosis. Use your best clinical judgment. |

- **S5a1. Primary Diagnosis ICD-9 Description.**  
  Optional.  
  - To populate the ICD-9 description, click the magnifying glass icon.  
- **S5b. Primary Diagnosis ICD Description.**  
  Optional.  
  - Click the magnifying glass and the description will be auto populated based on the primary diagnosis ICD Code.
### LTCMI Fields

<table>
<thead>
<tr>
<th>S6. Therapeutic Interventions (2.0)</th>
<th>S6. Additional MN Information (3.0)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>• S6a. Tracheostomy Care.</strong></td>
<td><strong>• S6a. Tracheostomy Care.</strong></td>
</tr>
<tr>
<td>Conditional.</td>
<td>Conditional.</td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td>Choose from the drop-down box:</td>
</tr>
<tr>
<td>1 (Less than once a week)</td>
<td>1. Less than once a week.</td>
</tr>
<tr>
<td>2 (1 to 6 times a week)</td>
<td>2. 1 to 6 times a week.</td>
</tr>
<tr>
<td>3 (Once a day)</td>
<td>3. Once a day.</td>
</tr>
<tr>
<td>4 (Twice a day)</td>
<td>4. Twice a day.</td>
</tr>
<tr>
<td>5 (3 – 11 times a day)</td>
<td>5. 3 – 11 times a day.</td>
</tr>
<tr>
<td>6 (Every 2 hours)</td>
<td>6. Every 2 hours.</td>
</tr>
<tr>
<td>7 (24 hour continuous)</td>
<td>7. 24 hour continuous.</td>
</tr>
<tr>
<td>› This field is only required if P1aj. Tracheostomy Care is indicated on the MDS.</td>
<td>› This field is only required and available for data entry if O0100E. Tracheostomy care column 2 “While a Resident” is checked AND the resident is 21 years of age or younger.</td>
</tr>
<tr>
<td>ENTRY TIP: A non-zero reply requires P1aj. Tracheostomy Care on the MDS to be checked. The Provider must submit an MDS Modification if P1aj. field is not checked and S6a is to be claimed for the add-on rate.</td>
<td>ENTRY TIP: This field will be disabled if field O0100E2. Tracheostomy Care is not checked on the MDS. The Provider must submit an MDS Modification if field O0100E2 is not checked and S6a is to be claimed for the add-on rate.</td>
</tr>
</tbody>
</table>

| **• S6b. Ventilator/Respirator.**  | **• S6b. Ventilator/Respirator.**  |
| Conditional.                      | Conditional.                      |
| Choose from the drop-down box:    | Choose from the drop-down box:    |
| 1 (less than once a week)          | 1. Less than once a week           |
| 2 (1 to 6 times a week)            | 2. 1 to 6 times a week.           |
| 3 (once a day)                     | 3. Once a day.                     |
| 4 (twice a day)                    | 4. Twice a day.                    |
| 5 (3 – 11 times a day)             | 5. 3 – 11 times a day.            |
| 6 (6 – 23 hours)                   | 6. 6 – 23 hours.                   |
| 7 (24 hour continuous)             | 7. 24 - hour continuous.           |
| › This field is only required if P1al. Ventilator/Respirator is indicated on the MDS. Do not include BiPAP/CPAP. | › This field is only required and available for data entry if O0100F Ventilator or respirator column 2 “While a Resident” is checked. Do not include BiPAP/CPAP. |

**No 2.0 Equivalent**

<table>
<thead>
<tr>
<th><strong>S6c. Number of hospitalizations in the last 90 days.</strong></th>
<th><strong>S6d. Number of emergency room visits in the last 90 days.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Required.</td>
<td>Required.</td>
</tr>
<tr>
<td>– Record the number of times the resident was admitted to hospital with an overnight stay in the last 90 days (or since last assessment if less than 90 days). Enter 0 (zero) if no hospital admissions.</td>
<td>– Record the number of times the resident visited the Emergency Room (ER) without an overnight stay in the last 90 days (or since the last assessment if less than 90 days). Enter 0 (zero) if no ER visits.</td>
</tr>
<tr>
<td>– Valid range includes 0 – 90.</td>
<td>– Valid range includes 0 – 90.</td>
</tr>
<tr>
<td>LTCMI Fields</td>
<td></td>
</tr>
<tr>
<td>--------------</td>
<td>---</td>
</tr>
<tr>
<td><strong>MDS 2.0</strong></td>
<td><strong>MDS 3.0</strong></td>
</tr>
</tbody>
</table>
| **No 2.0 Equivalent** | • **S6e. Oxygen Therapy.**  
Conditional.  
Choose from the drop-down box:  
1. Less than once a week  
2. 1 to 6 times a week  
3. Once a day  
4. Twice a day  
5. 3 – 11 times a day  
6. 6 – 23 hours  
7. 24 - hour continuous  
› This is a required field only available for data entry if O0100C Oxygen therapy column 2 “While a Resident” is checked. |
| **No 2.0 Equivalent** | • **S6f. Special Ports/Central Lines/PICC.**  
Optional.  
Choose from the drop-down box:  
0. N = none present.  
1. Y = 1 or more implantable access system or CVC.  
2. U = unknown.  
› Use this field to indicate if the resident has any type of implantable access system or central venous catheter (CVC). This includes epidural, intrathecal, or venous access or Peripherally Inserted Central Catheter (PICC) devices. This does NOT include hemodialysis or peritoneal dialysis access devices. |
| **No 2.0 Equivalent** | • **S6g. At what developmental level is the resident functioning?**  
Conditional.  
Choose from the drop-down box:  
‘–’Unknown or unable to assess  
1. < 1 Infant  
2. 1 – 2 Toddler  
3. 3 – 5 Pre-School  
4. 6 – 10 School age  
5. 11 – 15 Young Adolescence  
6. 16 – 20 Older Adolescence  
› This is a required field for all assessments on residents under age 21 [based on birth date minus date of submission (TMHP Received date)]. This field is not available for data entry if the resident is 21 years of age or older. |
<table>
<thead>
<tr>
<th>LTCMI Fields</th>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>No 2.0 Equivalent</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>S6h. Enter the number of times this resident has fallen in the last 90 days.</strong> Required.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Record the number of times the resident has fallen in the last 90 days. Enter 0 (zero) if no falls.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Each fall should be counted separately. If the resident has fallen multiple times in one day, count each fall individually.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Valid range includes 0 (zero) – 999. Leading zeroes may be included or omitted from the submitted value. A decimal point and decimal values may not be included on the LTC Online Portal.</td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong></td>
<td></td>
<td><strong>S6i. In how many of the falls listed above was the person physically restrained prior to the fall?</strong> Conditional.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– This is a required field if S6h indicates the resident has fallen.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Valid range includes 0 (zero) with a maximum being the number entered in S6h.</td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong></td>
<td></td>
<td><strong>S6j. In the falls listed in S6h above, how many had the following contributory factors? (More than one factor may apply to a fall. Indicate the number of falls for each contributory factor.)</strong> Conditional.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– S6j1 through S6j6 are required only if S6h indicates the resident has fallen.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Valid range includes 1 (one) with a maximum being the number entered in S6h.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j1. Environmental (debris, slick or wet floors, lighting, etc.).</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j2. Medication(s).</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j3. Major Change in Medical Condition (Myocardial Infarction (MI/Heart Attack), Cerebrovascular Accident (CVA/Stroke), Syncope (Fainting), etc.).</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j4. Poor Balance/Weakness.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j5. Confusion/Disorientation.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>S6j6. Assault by Resident or Staff.</td>
</tr>
<tr>
<td><strong>S7. For DADS Only (2.0)</strong></td>
<td></td>
<td><strong>S7. For DADS Only – RUG (3.0)</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>S7b. For DADS Only – RUG</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td>– When the LTCMI is printed S7b will show the calculated RUG value.</td>
</tr>
<tr>
<td><strong>S8 Recipient Address (2.0)</strong></td>
<td></td>
<td><strong>S8. Resident’s Current Address (3.0)</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>S8a. Recipient Address.</strong> Required.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Enter the street address of the recipient.</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>S8a. Resident’s Address.</strong> Required.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– Enter the street address where the resident is presently living.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>– This information is used to mail MN determination letters.</td>
</tr>
</tbody>
</table>
## LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
</table>
| • S8b. City.  
  Required.  
  – Enter the city of the recipient. | • S8b. City.  
  Required.  
  – Enter the city where the resident is presently living.  
  – This information is used to mail MN determination letters. |
| • S8c. State.  
  Required.  
  – Enter the state of the recipient. | • S8c. State.  
  Required.  
  – Enter the state where the resident is presently living.  
  – This information is used to mail MN determination letters. |
| • S8d. ZIP CODE.  
  Required.  
  – Enter the ZIP code of the recipient. | • S8d. ZIP CODE.  
  Required.  
  – Enter the ZIP code where the resident is presently living.  
  – This information is used to mail MN determination letters. |
| • S8e. Phone.  
  Optional.  
  – Enter the contact telephone number for the resident if known. If the resident is residing in an NF and no other direct contact telephone number is known, enter the telephone number of the NF. | No 2.0 Equivalent |
| • S9. Medications (2.0 and 3.0) | S9. Medications (3.0) |
| • Medication Certification checkbox.  
  Required.  
  – Providers are required to check the Medication Certification checkbox to certify that the resident is taking no medications or the medication listed are correct. | No 2.0 Equivalent |

### S9. Medications (2.0 and 3.0)

- **S9 (1.) Medication Name and Dose Ordered. Free-form text.**
  
  Identify and record all medications that the resident received in the last 30 days. Also identify and record any medications that may not have been given in the last 30 days, but are part of the resident’s regular medication regimen (e.g., monthly B-12 injections). Do not record PRN medications that were not administered in the last 30 days.

- **S9 (2.) RA (Route of Administration). Select from the list of options.**
  
  Determine the Route of Administration (RA) used to administer each medication. The MAR and the physician’s orders should identify the RA for each medication. Record the RA in column 2.

- **S9 (3.) Freq (Frequency). Select from the list of options.**
  
  Determine the number of times per day, week, or month that each medication is given. Record the frequency in column 3.

- **S9 (4.) PRN-n (number of doses) as necessary–number of times in last 30 days.**
  
  Pro Re Nata (PRN) means “as needed” in Latin. The PRN-n column is only completed for medications that have a frequency as PR. Record the number of times in the past 30 days that each medication coded as PR was given. Stat medications are recorded as a PRN medication. Remember, if a PRN medication was not given in the past 30 days, it should not be listed here.

Section O on MDS 2.0 assessments and Section N on MDS 3.0 assessments reflect the number of medications and section S9 allows for more detailed information to be submitted (i.e., name of medications).
### LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S10. Comments (2.0 and 3.0)</strong></td>
<td></td>
</tr>
<tr>
<td>Optional.</td>
<td></td>
</tr>
<tr>
<td>– Enter up to 500 characters if needed.</td>
<td></td>
</tr>
<tr>
<td>– It is essential that you include signs and symptoms that present an accurate picture of the resident’s condition. The comment section can be used for additional qualifying data that indicates the need for skilled nursing care, such as:</td>
<td></td>
</tr>
<tr>
<td>› Pertinent medical history.</td>
<td></td>
</tr>
<tr>
<td>› Ability to understand medication.</td>
<td></td>
</tr>
<tr>
<td>› Ability to understand changes in condition.</td>
<td></td>
</tr>
<tr>
<td>› Abnormal vital signs.</td>
<td></td>
</tr>
<tr>
<td>› Previous attempts at outpatient management of medical condition.</td>
<td></td>
</tr>
<tr>
<td>› Results of abnormal lab work.</td>
<td></td>
</tr>
<tr>
<td><strong>S11. Advance Care Planning (3.0)</strong></td>
<td></td>
</tr>
<tr>
<td>Advance care planning means planning ahead for how the resident wants to be treated if ill or near death. Sometimes when people are in an accident or have an illness that will cause them to die they are not able to talk or to let others know how they feel.</td>
<td></td>
</tr>
<tr>
<td>• <strong>S11a. Does the resident report having a legally authorized representative?</strong></td>
<td></td>
</tr>
<tr>
<td>Required.</td>
<td></td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td></td>
</tr>
<tr>
<td>0. No</td>
<td></td>
</tr>
<tr>
<td>1. Yes</td>
<td></td>
</tr>
<tr>
<td>› A Legally Authorized Representative (LAR) is a person authorized by law to act on behalf of a person with regard to a matter, and may include a parent, guardian, or managing conservator of a minor, or the guardian of an adult.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong></td>
<td></td>
</tr>
<tr>
<td>• <strong>S11b. Does the resident report having a Directive to Physicians and Family or Surrogates?</strong></td>
<td></td>
</tr>
<tr>
<td>Required.</td>
<td></td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td></td>
</tr>
<tr>
<td>0. No</td>
<td></td>
</tr>
<tr>
<td>1. Yes</td>
<td></td>
</tr>
<tr>
<td>› In states other than Texas, this document may be referred to as a Living Will.</td>
<td></td>
</tr>
<tr>
<td>› Directive to Physician/Living Will is a document that communicates a resident’s wishes about medical treatment at some time in the future when he or she is unable to make their wishes known because of illness or injury.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong></td>
<td></td>
</tr>
<tr>
<td>• <strong>S11c. Does the resident report having a Medical Power of Attorney?</strong></td>
<td></td>
</tr>
<tr>
<td>Required.</td>
<td></td>
</tr>
<tr>
<td>Choose from the drop-down box:</td>
<td></td>
</tr>
<tr>
<td>0. No</td>
<td></td>
</tr>
<tr>
<td>1. Yes</td>
<td></td>
</tr>
</tbody>
</table>
### LTCMI Fields

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>S11d. Does the resident report having an Out-of-Hospital Do Not Resuscitate Order?</strong>&lt;br&gt;Required.&lt;br&gt;Choose from the drop-down box:&lt;br&gt;0. No&lt;br&gt;1. Yes&lt;br&gt;• This form is for use when a resident is not in the hospital. It lets the person tell health care workers, including Emergency Medical Services (EMS) workers, NOT to do some things if the person stops breathing or their heart stops. If a resident does not have one of these forms filled out, EMS workers will ALWAYS give the person Cardiopulmonary Resuscitation (CPR) or advanced life support even if the advance care planning forms say not to. A person should complete this form as well as the Directive to Physicians and Family or Surrogates and the Medical Power of Attorney form if they DO NOT want CPR.</td>
<td></td>
</tr>
<tr>
<td><strong>S12. LAR Address (3.0)</strong>&lt;br&gt;Legally Authorized Representative (LAR) Address is required if S11a. Does the resident report having a legally authorized representative? Is indicated as 1. Yes.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong>&lt;br&gt;• <strong>S12a. LAR First Name.</strong>&lt;br&gt;Conditional.  &lt;br&gt;– This field is required if field S11a = 1. Yes.&lt;br&gt;– Enter the first name of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong>&lt;br&gt;• <strong>S12b. LAR Last Name.</strong>&lt;br&gt;Conditional.  &lt;br&gt;– This field is required if field S11a = 1. Yes.&lt;br&gt;– Enter the last name of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong>&lt;br&gt;• <strong>S12c. Address.</strong>&lt;br&gt;Conditional.  &lt;br&gt;– This field is required if field S11a = 1. Yes.&lt;br&gt;– Enter the street address of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong>&lt;br&gt;• <strong>S12d. City.</strong>&lt;br&gt;Conditional.  &lt;br&gt;– This field is required if field S11a = 1. Yes.&lt;br&gt;– Enter the city of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td><strong>No 2.0 Equivalent</strong>&lt;br&gt;• <strong>S12e. State.</strong>&lt;br&gt;Conditional.  &lt;br&gt;– This field is required if field S11a = 1. Yes.&lt;br&gt;– Enter the state of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td>LTCMI Fields</td>
<td></td>
</tr>
<tr>
<td>--------------</td>
<td>---</td>
</tr>
<tr>
<td><strong>MDS 2.0</strong></td>
<td><strong>MDS 3.0</strong></td>
</tr>
<tr>
<td><strong>S12f. ZIP Code.</strong>&lt;br&gt;Conditional.&lt;br&gt;- This field is required if field S11a = 1. Yes.&lt;br&gt;- Enter the ZIP code of the Legally Authorized Representative.</td>
<td></td>
</tr>
<tr>
<td><strong>S12g. Phone.</strong>&lt;br&gt;Optional.&lt;br&gt;- This field is optional if field S11a = 1. Yes.&lt;br&gt;- Enter the contact telephone number for the Legally Authorized Representative if known.</td>
<td></td>
</tr>
</tbody>
</table>
Sequencing of Forms and Assessments

**Admission as a Full Medicaid Recipient**

This flow chart displays the process of a recipient who is admitted as a Full Medicaid recipient.

- Nursing facilities are required to initiate the HHSC Medicaid Eligibility application process to ensure validations occur with the Medicaid ID, Medicaid Eligibility, and the Applied Income.
- PASARR Screening (if applicable).
- A facility must submit a Form 3618 for a recipient who has full Medicaid or is applying for Medicaid coverage within 72 hours of admission.
- Federal CMS guidelines allow providers up to 14 days to transmit MDS 3.0 assessments to CMS. Please note, waiting will cause a delay in MN determination and payment if the assessment is being used to establish Medicaid State payment.
- Complete the MDS LTCMI on the LTC Online Portal within the covering quarter of the MDS.
  - MDS 2.0 = R2b + 91 days
  - MDS 3.0 = Z0500B + 91 days

**Reminder:** The above timeliness guidelines reflect the requirements of Texas Medicaid only. For CMS timeliness guidelines, please refer to the RAI User’s Manuals available at the following links:


- Complete a quarterly assessment within 92 days of the Admission MDS unless a Significant Change in Status Assessment (SCSA) was completed prior to this.
Recipient Transitioning to Full Medicaid

This flow chart displays the process of a private-pay recipient that is transitioning to Full Medicaid. Submission should occur upon notification of application for Medicaid.

- Facility should submit a 3618 Admission indicating admission from private pay.
- Once the 3618 has been submitted, the MDS assessment will be loaded onto the LTC Online Portal within 24 to 48 hours.
- Please remember that the MDS LTCMI must be completed and submitted before TMHP can process the assessment.

If TMHP is unable to retrieve the assessment from CMS because the recipient’s Medicaid number or SSN is different on the assessment, the provider will have to submit an MDS modification to allow the LTC Online Portal to retrieve the assessment. Modifications should be submitted to CMS in accordance with the RAI User’s Manual.

**Note:** If the last name on the assessment does not exactly match the Medicaid identification card, there will be a conflict. Correct the assessment to match the Medicaid Identification card if the card is correct. If the name on the Medicaid Identification card is incorrect, contact the appropriate Medicaid Eligibility worker to make name corrections so that there is an exact match.

Full Medicare Transitioning to Medicaid

This flow chart displays the process of a recipient that is Full Medicare and transitioning to Full Medicaid.

- Full Medicare reimburses for the first 20 days.
- The facility must submit a 3619 Admission on day 21 (within 72 hours) of Medicare payment to begin Medicare Co-insurance up to a maximum of 80 days. The entire Medicare stay cannot exceed 100 days.
- The facility must submit a 3619 Discharge on the 101st day or the day of discharge from Medicare Co-insurance and a 3618 Admission on the same day to admit the recipient to Full Medicaid.
- 3619 Discharge and 3618 Admission changing to Full Medicaid will be the same date unless the recipient physically went out of the facility.
The facility may submit an LTCMI on an MDS assessment for a resident who will be transitioning from Medicare to Medicaid. However, the LTCMI cannot be submitted prior to the 3619 Admission. The provider has the option to submit the LTCMI either prior to the resident discharging off of Medicare or waiting until the resident is considered Full Medicaid.

**Current Resident Admitted to Hospice**

- Submit a Form 3618 or 3619, as appropriate, discharging the recipient to Hospice Care.
- CMS states a SCSA should be submitted on a resident who admits to Hospice. The MDS 3.0 LTCMI should include the Hospice contract number and Hospice Care should be indicated in O0100K2. For an MDS 2.0, if a significant change has occurred, then a SCSA should have been completed including the Hospice contract number in the LTCMI and indicating Hospice Care in P1a.o.
- Indicate Hospice Care in 2.0:P1a.o or 3.0: O0100K2.
- Hospice contract number must be entered on the LTCMI.
- Hospice provider submits Forms 3071 and 3074.

**Form 3618 Discharge must be signed and electronically submitted within 72 hours of hospice election date.**

For MDS 3.0, submit an MDS with A0310A=04 (Significant Change in Status Assessment) with a check in O0100K2 indicating Hospice Care. For MDS 2.0, a SCSA should have been completed including the Hospice contract number in the LTCMI and indicated Hospice Care in P1a.o.

**Complete Long Term Care Medicaid Information (LTCMI) S1d. Hospice contract number.**
Current Hospice Residents

Once an MDS SCSA is submitted, nursing facilities should continue the MDS cycle for hospice residents. In addition to indicating “Hospice Care” on the assessment, the hospice contract number on the LTCMI will be required to allow the hospice provider to view assessments submitted with their contract numbers. The hospice contract number entered on the LTCMI will be validated and must contain a valid hospice provider number to be accepted onto the LTC Online Portal.

Hospice providers can view (on the LTC Online Portal) MDS assessments submitted on their behalf – based on the hospice contract number that is indicated in the LTCMI S1d (Hospice Contract Number S1d must be completed correctly in order to view those assessments).

Hospice nurses are not required to sign-off on the assessment for the hospice recipients. Providers can print and sign their assessment prior to submitting. The assessment should be signed by the MDS RN Assessment Coordinator.

Resident Returns (Prior Discharge - Return Not Anticipated)

- Submit a 3618 Admission by day three (admitting to full Medicaid).
- Complete an Admission MDS assessment by day 14.
- Complete a quarterly assessment within 92 days of the Admission MDS unless a SCSA was completed prior to this.

Form 3618 must be signed and electronically submitted within 72 hours of admission.

Submit MDS 3.0: A0310A=01
Admission MDS assessment — required by day 14.

Submit MDS 3.0: A0310A=02
Quarterly MDS assessment — within 92 days of Admission MDS assessment (Unless a SCSA [MDS 3.0: A0310A=04] or a Significant Correction of Prior Assessment [MDS 3.0: A0310A=05,06] was completed prior to Quarterly MDS assessment).
Resident Returns (Prior Discharge - Return Anticipated)

- Submit a 3618 by day three.
- If the recipient returns after a 30-day absence, an MDS 3.0 Admission assessment will be due even if the Discharge indicated Return Anticipated.
- If there has been a change in condition, submit a SCSA.
- If the previous MDS assessment is less than 92 days old—has not expired—and the recipient has not had a change in condition, no additional assessment is required.
- If the previous MDS assessment has expired, complete the next scheduled assessment, per the federal guidelines.

Federal guidelines will require submission of an MDS 3.0 Admission assessment even if Return Anticipated was selected.

Complete MDS 2.0: AA8a=03 or MDS 3.0: A0310A=04 – Significant change in status assessment.

Submit next MDS as scheduled.

An MDS is not required until the current assessment expires.
PASARR (Preadmission Screening and Resident Review)

PASARR is a federal mandate that requires the State of Texas to screen all persons suspected of having Mental Illness, Related Condition (Developmental Disability), or Mental Retardation (Intellectual Disability) before they are admitted into a certified NF. PASARR is used to determine MN, and to determine if the individual could benefit from specialized services (e.g., occupational therapy, physical therapy, speech therapy, or workshop/day programming).

Mental Illness, Developmental Disability, or Intellectual Disability indicators are located on the LTCMI section of the PASARR Screening in fields S2a through S2c. At least one of the fields in S2a through S2c must be Y. Per the PASARR regulations, if an individual has only diagnoses of Mental Illness, Developmental Disability, or Intellectual Disability, and there are NO medical conditions for which a nurse is required, the individual does not meet the criteria of MN for admission into a facility.

Entering PASARR

PASARR Screenings are submitted directly on the LTC Online Portal by the provider and assigned a DLN when successfully submitted. The PASARR Screening is reviewed for Medical Necessity Determination. The provider can access the PASARR Screening through FSI or Current Activity for status information.

The PASARR Screening is based on the MDS 2.0 Quarterly with additional state-specific information in the LTCMI section. When a PASARR Screening is submitted via the LTC Online Portal, the system will check to see if there is an existing PASARR on file. If a PASARR has not previously been submitted, the PASARR will go through the standard MN Admission assessment determination process. If a previous PASARR Screening is found and MN approved, the PASARR Screening will be set to status “Form Complete” and the History trail updated with “Previous PASARR is valid and the form is set to Form Complete.” A PASARR Screening is required prior to submission of an MDS Admission (2.0: AA8a=01, 3.0: A0310A=01) if the assessment indicates Mental Illness, Developmental Disability, or Intellectual Disability. The NF has the responsibility to complete and submit the PASARR Screening prior to the resident entering the facility. If the admitting physician is unknown at the time of screening, the facility’s Medical Director may be entered onto the PASARR Screening. The Medical Director’s name and license number is required.

Note: The PASARR must be submitted and approved by TMHP before the Admission assessment can be submitted. Please refer to the “MDS-PASARR Workflow” section of this User Guide for additional information.
How to Submit a PASARR Screening

TMHP generates PASARR approval and denial letters to the individual and physician. Detailed instructions for the PASARR Screening can be found online at: www.dads.state.tx.us/providers/pasarr/index.html

1. Login to the LTC Online Portal.

2. Click the Submit Form link located in the blue navigational bar.

3. Type of Form: Choose PASARR: PASARR Screening from the drop-down box.

4. Click the “Enter Form” button.

5. Enter all required information as indicated by the red dots. Click on all section tabs and enter the information requested. All tabs must be completed.

6. Click the “Print” button located in the yellow Form Actions bar to print the screening in progress.

7. Click the “Submit Form” button.

Note: If the screening is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors, they will be displayed in a box at the top of the screen. These errors will need to be resolved before the screening will be successfully submitted. Once all errors are resolved, click the “Submit form” button again to submit the form.
## Section A.

### Claims Processing Information

- **S1a** BHI Number
- **S1b** Purpose Code
- **S1c** DBC/Endorsement # 2 Number
- **S1d** Contract/Provider Number
- **S1e** Provider County

### PASABR Screening

- **S3a** To the knowledge, does the individual have a condition of mental illness according to the PASABR guidelines?
- **S3b** Yes
- **S3c** No

### Physician's Evaluation & Recommendation

- **S5a** Does the MU/D0 have plans for the eventual discharge of this individual?
- **S5b** Yes
- **S5c** No

### Physician/Licensee

- **S4a** MU/D0 License# or MU/D0 MILITARY SPECIFIC CODE is required.
- **S4b** MU/D0 License State
- **S4c** MU/D0 License Code

### Licenses and Original Signatures

Provider certification: On behalf of this facility, I certify that to the best of my knowledge this information is true and accurate, I also certify that this information represents those items of the individual's treatment plan as documented by the physician in the medical record. I further certify that this facility can provide the prescribed physical and medical care.

### Primary Diagnosis and Associated Medications

- **S5a** Primary Diagnosis ICD-9
- **S5b** Primary Diagnosis ICD-9 Description

### Therapeutic Interventions

- **S6a** Tracheostomy Care
- **S6b** Ventilation/Respirator

### Individual Address

- **S8a** Address
- **S8b** City
- **S8c** State
- **S8d** ZIP Code

### LAR Address

- **S9a** Last First Name
- **S9b** LAR Last Name
- **S9c** Address
- **S9d** City
- **S9e** State
- **S9f** ZIP Code
- **S9g** Phone

### Comments

<table>
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<td>ZIP Code</td>
</tr>
<tr>
<td>S8f</td>
<td>Phone</td>
</tr>
</tbody>
</table>

---

**History**

**Submit Form**
MDS Purpose Code E
(Missed Assessment)

Purpose Code E should be used for a missed assessment.

According to 40 Texas Administrative Code (TAC) §19.2413:

(3) Missed MDS assessment—An MDS assessment that is received by the state Medicaid claims administrator outside the time period that the MDS assessment covers.

(g) Missed MDS assessments. When the state Medicaid claims administrator receives a missed MDS assessment, DADS pays the nursing facility a default RUG rate for the entire period of the missed MDS assessment if the recipient meets financial eligibility for Medicaid, except as provided in paragraph (2) of this TAC subsection.

Note: An On-Time MDS assessment is an MDS assessment that is submitted in accordance with the federal MDS submission schedule and the state Medicaid claims administrator within 31 days of the completion date. A Late MDS assessment is an assessment with an R2b (MDS 2.0) or Z0500B (MDS 3.0) date after the 31-day submission period, but within the 92 days the assessment represents.

If a new resident is admitted to the facility and the Admission assessment is submitted more than 91 days after R2b (MDS 2.0) or Z0500B (MDS 3.0) of that Admission assessment, the Admission assessment will have to be submitted as a PC E. Payment for this gap will be made at the PC E default rate. MDS Purpose Code E is used to recover missed assessment time frames. A missed assessment occurs when an MDS is not submitted within the anticipated quarter time frame. The anticipated quarter is the 92-day anticipated MDS assessment quarter following the 92-day span of the current MDS assessment. A missed assessment can also occur if the Admission assessment is not submitted within 92 days of the assessment date. Purpose Code E can only be submitted on the Admission assessment, annual assessment or quarterly review assessment. The PC E must be submitted within 365 days from the last uncovered day.
NF/Hospice Provider Tips for When to Submit an MDS PC E

The following provides information to help NF/hospice providers determine when to submit an MDS PC E. PC E can only be submitted on an MDS admission, annual or quarterly assessment.

There typically are two situations when MDS PC E should be submitted:

1. **RUG Gap.** Once the resident has been established as a RUG recipient, a PC E will be needed if the next MDS assessment submission completely misses the anticipated assessment quarter. Each R2b (MDS 2.0) or Z0500B (MDS 3.0) establishes a 92-day period (R2b or Z0500B + 91 days), so the next assessment should be completed and submitted within the 92-day anticipated MDS assessment quarter following the 92-day span of the current MDS assessment.

   - The RUG of the current assessment will expire 31 days after the covering quarter (R2b or Z0500B + 91 days) unless the next MDS assessment has been successfully completed. DADS cannot pay for services on days when a RUG has expired.
   
   - The next MDS assessment will not be considered missed if it has an R2b or Z0500B date within the anticipated MDS assessment quarter and the LTCMI is completed on the LTC Online Portal within 91 days of the new MDS assessment R2b/Z0500B date.
   
   - If the new MDS assessment is submitted after the expiration of the RUG on file but within the anticipated quarter, the gap following the 31 days and prior to the new R2b/Z0500B date will automatically be filled with the new calculated RUG.
   
   - If the new MDS is not submitted within the anticipated quarter or the LTCMI is not completed within 91 days of the R2b/Z0500B date, a gap will be created following the 31 days until the R2b/Z0500B date of the new assessment. Payment for this gap will be made at the PC E default rate. To fill the gap, submit an Admission, Annual, or Quarterly MDS assessment including the LTCMI by completing:

   › The S1e field on the LTCMI completed as the PC E.
   
   › The Missed or Prior Assessment Start Date (S1f).
   
   › The Missed or Prior Assessment End Date (S1g).

**Note:** To submit a PC E for a one-day gap, the Missed Assessment Start Date and the Missed Assessment End Date must be the same.
2. **Missed MDS.** If an LTCMI is submitted more than 91 days after the R2b/Z0500B date of the assessment, the assessment will have to be submitted as a PC E. Payment for this gap will be made at the PC E default rate. Submit the assessment, including the LTCMI, by completing:

- The S1e field on the LTCMI completed as the PC E.
- The Missed or Prior Assessment Start Date (S1f).
- The Missed or Prior Assessment End Date (S1g).

Prior to adding a Purpose Code to an LTCMI, validate if payment has been made based on the MDS. Entering a Purpose Code, Start and End date cancels any prior services dates the assessment represented. If necessary, submit an off-cycle 3.0 assessment to submit a Purpose Code E or M.

**PC E Start and End Date Limitations (MDS 2.0):**

*Only applicable for MDS 2.0 Admission, Quarterly review, and Annual assessments*

- Start date must be on or after September 1, 2008, but prior to November 1, 2010.

The following errors must be fixed before the form will submit:

- Missed Assessment or Prior Start Date must be on or after 09/01/2008.
- Missed Assessment Start Date cannot be later than 10/31/2010. Please submit an MDS 3.0 Assessment.

- End date must be on or after the Start Date but prior to November 1, 2010.

The following errors must be fixed before the form will submit:

- Missed Assessment or Prior Start Date must be on or before Missed Assessment or Prior End Date.
- Missed Assessment End Date cannot be later than 10/31/2010. Please submit an MDS 3.0 Assessment.

**PC E Start and End Date Limitations (MDS 3.0):**

*Only applicable for MDS 3.0 Admission, Quarterly review, and Annual assessments*

- Start date cannot be prior to September 1, 2008.

The following errors must be fixed before the form will submit:

- Missed Assessment or Prior Start Date must be on or after 09/01/2008.

- End date cannot be prior to the Start Date.

The following errors must be fixed before the form will submit:

- Missed Assessment or Prior Start Date must be on or before Missed Assessment or Prior End Date.
MDS Purpose Code M

The Purpose Code M is used when Prior Medicaid Eligibility has been established. Prior eligibility can begin up to three months prior to certification of Medicaid. Purpose Code M can only be submitted on the Admission assessment, annual assessment, or quarterly review assessment.

Missed Assessment Start and End Dates are used by the provider to identify the Prior Medicaid period. The MESAV must reflect a Medicaid coverage type of “P” (prior eligibility).

The LTCMI section should include:

- **S1e = M (Purpose Code) Coverage Code must be P.**

  ![Image of MESAV interface highlighting S1e field]

- **S1f = Missed Assessment or Prior Start Date** (This is the prior eligibility start date).
- **S1g = Missed Assessment or Prior End Date.**

The correction of an existing LTCMI Purpose Code to an E or M invalidates the original time frame. If the LTCMI is changed to indicate a PC E or PC M and the assessment had been part of the recipient’s cycle, the original time frame is voided (e.g., set to status “Corrected”) and only the PC E or M dates will be covered.


The information below is an excerpt from the Information Letter (referenced above).
What is a Purpose Code M and How Do You Complete a Purpose Code M?

Purpose Code M – an MDS submitted if three months prior to application is granted after the client is certified for Medicaid. When there is an application for Medicaid, the client's financial eligibility is considered and reviewed based on the month of application. If the client is determined to be Medicaid-eligible, the worker does a consideration on the three months prior to the application to determine if the client may have been financially eligible at an earlier date. The Purpose Code M was designed to allow the provider to submit a MDS Purpose Code M to cover those three months so the payment could be made at a RUG value rather than the default PC E rate. The retroactive Medicaid is shown on the MESAV as a TP 14 Coverage Code P or TP 11 which are retroactive TP13 SSI coverage.

To fill a period approved by the financial worker for dates prior to the application, the provider has two options:

1. Submit an off-cycle MDS quarterly assessment including the LTCMI by completing:
   - The S1e field on the LTCMI completed as the PC M.
   - The start date of the approved prior period (S1f).
   - The end date of the approved prior period (S1g).

2. Modify an earlier MDS that has not been used for the Medicaid cycle and complete the LTCMI as a PC M by completing:
   - The S1e field on the LTCMI completed as the PC M.
   - The start date of the approved prior period (S1f).
   - The end date of the approved prior period (S1g).

Note: To submit a PC M for one day, the Missed Assessment Start Date and the Missed Assessment End Date must be the same.

PC M Start and End Date Limitations (MDS 2.0):

Only applicable for MDS 2.0 Admission, Quarterly review, and Annual assessments

- Start date must be on or after September 1, 2008 but prior to November 1, 2010.

  The following errors must be fixed before the form will submit:
  
  - Missed Assessment or Prior Start Date must be on or after 09/01/2008.

- End date must be on or after the Start Date but prior to November 1, 2010.

  - Missed Assessment or Prior Start Date must be on or before Missed Assessment or Prior End Date.

  - Missed Assessment End Date cannot be later than 10/31/2010. Please submit an MDS 3.0 Assessment.
**PC M Start and End Date Limitations (MDS 3.0):**

*Only applicable for MDS 3.0 Admission, Quarterly review, and Annual assessments*

- Start date cannot be prior to September 1, 2008.
  - The following errors must be fixed before the form will submit:
    - Missed Assessment or Prior Start Date must be on or after 09/01/2008.

- End date cannot be prior to the Start Date.
  - Missed Assessment or Prior Start Date must be on or before Missed Assessment or Prior End Date.
Validations Requiring Provider Monitoring

Forms and assessments process through several validations before reaching status “SAS Request Pending.” The following will outline the various statuses which require close monitoring by the provider to ensure timely processing.

1. **Waiting for PASARR Verification** – If an MDS 3.0 assessment is in this status it is awaiting an approved PASARR Screening. If no approved PASARR Screening is on the LTC Online Portal, the MDS 3.0 assessment will remain in this status. Once a PASARR Screening is submitted and MN approved, the provider may locate the MDS 3.0 assessment using FSI or Current Activity. Click the “Check for PASARR” button on the MDS 3.0 assessment to continue through the workflow. Provider action for this status does not exist for MDS 2.0 assessments.

   - An MDS 3.0 assessment may remain in this status for up to six months. At the end of six months, the status will automatically be set to “PASARR not found invalid form.”

2. **Medicaid ID Pending** validation results in either:

   - **ID Confirmed** – If confirmed, it continues to next validation.
   - **Medicaid ID Pending** – In this status, validation attempts will occur nightly until eligibility is found, the request is cancelled, or until six months has expired, whichever comes first.
   - **ID Invalid** – If the form or assessment is in this status, the provider must verify Medicaid number, SSN, Medicare number and the first four letters of the last name for accuracy. They must match what is on the resident’s Medicaid card (if they have one). However, the last name cannot contain spaces or special characters (e.g., hyphen).
     - If this information is accurate, the provider may contact TMHP to have the form restarted.
     - If different, the incorrect information will need to be corrected and the form or assessment resubmitted.
       **Note:** Correctable fields vary by form or assessment type. See the “Corrections” section of this User Guide on page 119.
     - If the Medicaid card/MESAV is incorrect, contact the local eligibility worker to have the file corrected.
   - **Med ID Check Inactive** – In this status, the Medicaid ID validation was attempted nightly for six months and either failed or the request was cancelled.
     - If the resident is certified for Medicaid after six months, the form or assessment can be reactivated by the provider by clicking on the “Reactivate form” button.

3. **Pending Medicaid Eligibility** validation will result in either:

   - **Medicaid Eligibility Confirmed** – If confirmed, it continues to next validation.
– **Pending Medicaid Eligibility** – In this status, validation attempts will occur nightly until eligibility is found, the request is cancelled, or until six months has expired, whichever comes first.
  › If Medicaid Eligibility has already been established, the provider may contact TMHP to have the form or assessment restarted.
  › After Medicaid Eligibility has been established, the provider must allow 14 days for the systems to interface. AFTER 14 days, the provider may call TMHP to have the form or assessment restarted.

– **ME Check Inactive** - In this status, the Medicaid Eligibility validation was attempted nightly for six months and failed, so the request was cancelled. If the request is cancelled it is because the form was corrected or inactivated and the status changes to those statuses not ME Check Inactive.
  › If the resident is certified for Medicaid after six months, the form or assessment can be reactivated by the provider by clicking on the “Reactivate form” button.

4. **Pending Applied Income** validation will result in either:

– **Applied Income Confirmed** – the form or assessment will process to “SAS Request Pending.”

– **Pending Applied Income** - In this status, validation attempts will occur nightly until applied income is found, the request is cancelled, or until six months has expired, whichever comes first.
  › If Applied Income has already been established, the provider may contact TMHP to have the form or assessment restarted.
  › After Applied Income has been established, the provider must allow 14 days for the systems to interface. AFTER 14 days, the provider may call TMHP to have the form or assessment restarted.

  **Note:** An Applied Income check will be performed for all 3619 forms submitted on the LTC Online Portal.

– **AI Check Inactive** - In this status, the Applied Income validation was attempted nightly for six months and failed, so the request was cancelled.
  › If the Applied Income is determined after six months, the form or assessment can be reactivated by the provider by clicking on the “Reactivate form” button.

  **Note:** The six month time frame is a cumulative-time period, meaning the form or assessment has a TOTAL of six months to pass through the Med ID Check, ME Check, and AI Check validations, NOT six months to pass each validation.

  **Note:** Detailed diagrams illustrating the Medicaid Eligibility Verification Workflows can be found in Appendix A and B of this User Guide.
The example below shows an assessment that flows successfully:

<table>
<thead>
<tr>
<th>History</th>
<th>Date/Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Awaiting LTC</td>
<td>5/20/2010 12:58:00 AM</td>
</tr>
<tr>
<td>Medicaid Information</td>
<td>5/20/2010 12:58:00 AM</td>
</tr>
<tr>
<td>Form Submitted</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>Pending</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>Medicaid ID</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>ID Confirmed</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>Medicaid Eligibility</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>ID Confirmed</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>Medicaid Eligibility</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>ID Confirmed</td>
<td>5/20/2010 3:54:15 PM</td>
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<tr>
<td>Medicaid Eligibility</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>ID Confirmed</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
<tr>
<td>Medicaid Eligibility</td>
<td>5/20/2010 3:54:15 PM</td>
</tr>
</tbody>
</table>

The example below shows status “ID Invalid,” indicating that the assessment failed Medicaid ID validation:

<table>
<thead>
<tr>
<th>ID Invalid</th>
<th>Date/Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>5/1/2010 12:53:02 PM</td>
<td></td>
</tr>
<tr>
<td>5/1/2010 1:53:02 PM</td>
<td>TNHP: Medicaid ID is not valid</td>
</tr>
</tbody>
</table>
Provider Workflow Process

Provider workflow allows providers to independently manage their forms and assessments when errors in the system’s processing occur. The system sends the form and assessment information to DADS and updates the MESAV. The functionality of provider workflow allows providers to directly manage their rejections, which occurred during the nightly processing. The benefit to this process is shorter time in resolution.

In summary, forms and assessments are sent to the provider workflow if they are set to status “Provider Action Required.”

Forms and assessments reach this status if:

- The form or assessment has not been successfully processed.
- An error occurred during the nightly batch processing.

**Note:** Rejection error message can be found within the form and assessment History trail. Ownership for resolution belongs to the provider.

The provider workflow is the responsibility of the provider to monitor and manage. Forms and assessments end up in the provider workflow as a result of the system's processing discovering an error while attempting to process the form or assessment. System processing errors, including rejection messages, are found within the History trail of the form or assessment and the status is set to “Provider Action Required.” Once a form or assessment is set to status “Provider Action Required,” the form or assessment will require provider action before processing on that particular form or assessment continues.

Type of Forms being sent to the provider workflow include: 3618, 3619, MDS 2.0, MDSQTR 2.0, MDS 3.0, and MDSQTR 3.0.

If a batch error occurs, the error will display in the History trail of the form or assessment. The form or assessment will set to status “Provider Action Required.”

Finding Forms and Assessments with “Provider Action Required” Status

**Using FSI**

To find the items in your provider workflow (i.e., those items with system processing errors to be resolved by the provider):

1. Click the **Form Status Inquiry** link in the blue navigational bar.
2. Type of form: Choose **Type of Form** (e.g., 3618) from the drop-down box.

   **Note:** Form 3618 or 3619, MDS 2.0: Minimum Data Set (Comprehensive), MDSQTR 2.0: Minimum Data Set
"Type of Form" in the drop-down box could result in status “Provider Action Required.” Therefore, each of these “Type of Form” options must be reviewed individually. This example will continue with choosing Form 3618. Providers will need to review all the other applicable Type of Forms as well.

3. Enter the “From Date” and “To Date” range in the fields allocated.

4. Form Status: Choose **Provider Action Required** from the drop-down box.

5. Click the “Search” button found on the bottom right of the screen to submit the Inquiry.
6. Those 3618 forms with status “Provider Action Required” will display.

   **Note:** For confidentiality purposes, the form details (Medicaid #, etc.) have been hidden in this document.

7. Click the **View Detail** link to open the form.

8. Scroll to the bottom of the page to view the History trail.

9. Find “Provider Action Required” status on the far left. It should be the last line in the History trail.

10. Find the rejection message in the white line just below the “Provider Action Required.”
11. Perform the necessary research to resolve the error. See the provider workflow rejection messages later in the “Provider Workflow Rejection Messages” section for more information.

12. Depending on the provider research, providers have one of three options to move the form or assessment out of the provider workflow. There are situations where the Provider Action is to contact DADS Provider Claims Services.

   – **Correct this form.** Correct this form allows providers to submit a correction. The original form or assessment with status “Provider Action Required” will be set to status “Corrected” and will have a parent DLN to the new/child form. The new form or assessment replaces the original form or assessment. Review the correctable fields covered on page 121 to know when to chose correct vs. inactivate. Remember, correcting an LTCMI to include a Purpose Code E or M will void any prior service dates and change the MDS to be valid for the start to end date only. If payment has been made, recoupment will occur.

   – **Inactivate Form.** Inactivate Form will inactivate the form. Forms will set to status “Form Inactivated” and cannot be corrected or re-submitted. An example of when this Inactivate Form button would be used is when the provider research indicates the form being submitted is a duplicate.

   – **Resubmit Form.** Resubmit Form will set the form or assessment status to “SAS Request Pending.” The form or assessment will process during the nightly batch processing. Check the status of the form or assessment within two to four days to determine if the form or assessment processed successfully. Status will be set to “Processed/Complete” if successfully processed.

13. If the provider clicks the “Correct this form” button, a parent/child DLN relationship will be created.

14. If the provider clicks the “Inactivate Form” button, the provider will receive the following confirmation window.
From here you have two choices:

a. Click the “OK” button to inactivate and the form or assessment status will be set to status “Form Inactivated.”

or

b. Click the “Cancel” button to cancel the Inactivation request keeping the form or assessment set to status “Provider Action Required.”

15. If the provider clicks the “Resubmit Form” button, the following screen will appear allowing the provider to add any comments.

There is an option to select “2-System” or “1-ProviderFacing.”

– 2-System: will allow comments entered by the provider to be seen only by internal state staff. The comments will not be seen by the provider.

– 1-ProviderFacing: will allow comments entered to be seen by both state staff and the provider.

In either case, the comments will be seen in the History trail of the form or assessment and are for informational purposes only. These comments will NOT be used in the system processing of the forms.
The provider may choose to enter comments. Entering comments is optional.

a. Click the “Cancel” button to cancel the request keeping the form or assessment set to status “Provider Action Required.”

or

b. Click the “Change Status” button; form or assessment is then set to status “SAS Request Pending.”

16. Once one of the actions have been completed—Correct this form, Inactivate form, or Resubmit Form—the status of the form or assessment will no longer be set to status “Provider Action Required.” Processing will continue based upon action chosen.

17. The provider should repeat all the steps for each particular “Type of Form” until there are no more results found. Our example was using Form 3618.

Note: Don’t forget, there are 6 “Type of Forms” that can end up in the provider workflow: Form 3618 or 3619, MDS 2.0: Minimum Data Set (Comprehensive), MDSQTR 2.0: Minimum Data Set (Quarterly), MDS 3.0: Minimum Data Set (Comprehensive), and MDSQTR 3.0: Minimum Data Set (Quarterly). Once one “Type of Form” is chosen with “No Results Found,” continue with the next “Type of Form” repeating all the steps to clear those set to status “Provider Action Required.”

Using Current Activity

An alternate method for working forms or assessments recently set to status “Provider Action Required” is to use Current Activity.

Current Activity will show all forms and assessments that have been set to a different status in the last 14 calendar days. Once the form or assessment has been set to status “Provider Action Required” for over 14 calendar days, it must be located using Form Status Inquiry.

Once a form or assessment is being considered for “Provider Action Required,” you may want to perform a resident search to see if the resident has any other forms or assessments are set to status “Provider Action Required.”

Current Activity is in the blue navigational bar next to Form Status Inquiry.
Provider Workflow Rejection Messages

Below are the rejection messages providers will receive as a result of an error occurring during the nightly batch processing. The messages are in order of message number.

The table contains three columns:

1. **Provider Message.** This is the system message that will be displayed in form and assessment History trail.

2. **Associated with Forms.** What form and assessment can receive this message? Some messages only apply to certain types of assessments. When only specific types are affected, they are shown. Otherwise, MDS would indicate all types.

<table>
<thead>
<tr>
<th>MDS 2.0</th>
<th>MDS 3.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual assessment: AA8a=02.</td>
<td>Annual assessment: A0310A=03.</td>
</tr>
<tr>
<td>Significant change in status assessment: AA8a=03.</td>
<td>Significant change in status assessment: A0310A=04.</td>
</tr>
<tr>
<td>Significant correction of prior full assessment: AA8a=04.</td>
<td>Significant correction to prior comprehensive assessment: A0310A=05.</td>
</tr>
<tr>
<td>Quarterly review assessment: AA8a=05.</td>
<td>Quarterly review assessment: A0310A=02.</td>
</tr>
<tr>
<td>Significant correction of prior quarterly assessment: AA8a=10.</td>
<td>Significant correction to prior quarterly assessment: A0310A=06.</td>
</tr>
</tbody>
</table>

3. **Suggested Action.** The messages and suggested action button is written assuming that the rejected form or assessment is correct in Form Type, Transaction, and Date of Above Transaction. First, verify that the rejected form is a valid submission. If the Form Type or Transaction is incorrect, submit an inactivation of that form and submit the correct type or transaction. If the Date of Above Transaction is incorrect, submit a correction for the correct date and resolve any missing form issues. If the MDS Reason for Assessment is incorrect or the MDS is invalid, submit an inactivation to CMS. If the Entry Date (MDS 2.0 field: AB1, MDS 3.0 field: A1600) submitted is incorrect, submit a modification to CMS in accordance with the RAI User's Manual.

**General Instructions**

- Review the effective date on the form or assessment to ensure it is correct. For Forms 3618 and 3619, the effective date is the Date of Above Transaction. For admission MDS assessments, the effective date is the Date of Entry on 2.0 assessments (AB1) or the Entry Date on 3.0 assessments (A1600). For all other MDS assessments, the effective date is the Date RN Assessment Coordinator signed as complete (MDS 2.0: R2b, MDS 3.0: Z0500B).

- If the effective date is incorrect, take the appropriate action to correct the form or assessment.
  - Form 3618/3619: Correct the form on the LTC Online Portal and submit.
  - MDS: Correct the assessment and submit the modified MDS to the federal CMS database, then complete the LTCMI on the LTC Online Portal.

- If the effective date is correct, continue on to the next General Instruction.

- If a Form 3618 or 3619 that needs to be resubmitted is set to status “Submitted to Manual Workflow,” click the “Correct this form” button, add a comment (e.g., resubmit), then click the “Submit Form” button.
<table>
<thead>
<tr>
<th>Reject Message Description</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>GN-9101: The request cannot be processed because of the client's Financial Eligibility or Income. Please contact the local Eligibility Worker.</td>
<td>3618, 3619, MDS</td>
<td>• Click the “Resubmit form” button after MESAV reflects the appropriate coverage by the eligibility worker.</td>
</tr>
<tr>
<td>GN-9102: The request cannot be processed because of the client's Financial Eligibility or Income. Please contact the local Eligibility Worker.</td>
<td>3618, 3619, MDS</td>
<td>• Click the “Resubmit form” button after MESAV reflects the appropriate coverage by the eligibility worker.</td>
</tr>
</tbody>
</table>
| GN-9103: The request cannot be processed because the client's Applied Income is not available to SAS. Contact the client's Eligibility Worker to update the client's Applied Income. Once the Applied Income has been updated, this request can be resubmitted to SAS. | 3618, 3619, MDS | • Pull a MESAV for the client covering the date requested on the form or assessment. Note: If the client does not already have Service Authorizations for your contract, this information will not be available on the MESAV.  
  • If the MESAV does not show an Applied Income for the dates of the form or assessment, contact the client's HHSC Eligibility Worker to update the Applied Income records.  
    - Once the Applied Income has been updated, resubmit the rejected form or assessment. If the client is already established in your facility, you may monitor the MESAV for updated Applied Income.  
    • If the MESAV does show an Applied Income for the dates of the form or assessment, resubmit the rejected form sitting in the Provider Action Required workflow. |
| GN-9104: The request cannot be processed because of the client's Financial Eligibility or Income. Please contact the local Eligibility Worker. | 3618, 3619, MDS | • Click the “Resubmit form” button after MESAV reflects the appropriate coverage by the eligibility worker. |
| GN-9105: The request cannot be processed because of the client's Financial Eligibility or Income. Please contact the local Eligibility Worker. | 3618, 3619, MDS | • Click the “Resubmit form” button after MESAV reflects the appropriate coverage by the eligibility worker. |
| GN-9106: The request cannot be processed because of the client's Financial Eligibility or Income. Please contact the local Eligibility Worker. | 3618, 3619, MDS | • Click the “Resubmit form” button after MESAV reflects the appropriate coverage by the eligibility worker. |
| GN-9254: The request cannot be processed because the provider is not authorized to provide services on the effective date of the request. Please correct the effective date or resubmit once the service authorization is effective in the system. For 3619 admissions, please resubmit once the Medicare contract is effective in the system. | All | The provider's contract is either not in effect as of the effective date of the form, or the provider is not authorized to bill for the type of services covered by the form.  
  • Review the facility contract to determine if the contract is in effect and authorizes the type of services covered by the form.  
  • If the effective date of the form is wrong, correct the form and resubmit.  
  • If the contract is not yet in effect, please resubmit once the service code is effective in the system. For 3619 admissions, please resubmit once the Medicare contract is effective in the system. |
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| **NF-0001**: The request cannot be processed because the client’s Applied Income is not available to SAS. Contact the client’s Eligibility Worker to update the client’s Applied Income. Once the Applied Income has been updated, this request can be resubmitted to SAS. | MDS | • Pull a MESAV for the client covering the date requested on the assessment. Note: If the client does not already have Service Authorizations for your contract, this information will not be available on the MESAV.  
• If the MESAV does not show an Applied Income for the dates of the assessment, contact the client’s HHSC Eligibility Worker to update the Applied Income records.  
  – Once the Applied Income has been updated, resubmit the rejected assessment. If the client is already established in your facility, you may monitor the MESAV for updated Applied Income.  
• If the MESAV does show an Applied Income for the dates of the assessment, resubmit the rejected assessment. |
| **NF-0002**: The request cannot be processed because there is no gap in the Level records for this client, for the requested PC E timeframe. | MDS (Admission, Annual, Quarterly) | • Pull a MESAV for the PC E dates requested on this assessment and verify the dates shown in the Level section of the client’s MESAV.  
• If the Level coverage dates on file are valid, a PC E is not needed. Inactivate the assessment on the federal CMS database.  
• If the expected gap is not reflected on the Level record, contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance.  
• If the PC E dates are wrong, correct the PC E dates on the LTCMI and resubmit. |
| **NF-0003**: The request cannot be processed because the client does not have retroactive Medicaid eligibility. Please contact the local Eligibility Worker. | MDS (Admission, Annual, Quarterly) | • Once Prior Coverage (P) or Type Program 12 has been verify through the client’s MESAV, resubmit assessment. If Prior Eligibility does not apply to the period requested, change the Purpose Code to PC E if needed. |
| **NF-0004**: The request cannot be processed because the client does not have a corresponding Nursing Facility admission (missing 3618/3619). Please verify that the admission 3618/3619 has been processed. | MDS | • Review the facility’s records to determine whether the client is considered Medicare or Medicaid and what the admission date is for your facility.  
• Review the LTC Online Portal to determine the status of the admission (3618/3619). Locate the associated admission.  
  – If the 3618/3619 is not in a completed status, determine why the form rejected.  
    ‣ Correct the current 3618/3619 admission, or  
    ‣ Inactivate and resubmit a new 3618/3619 admission.  
  – If the 3618/3619 is in a completed status, compare the processed/complete date to the rejection date of the MDS. If the admission was processed after the MDS rejected, use “submit to SAS” to resubmit the MDS to the system.  
  – If the processed/complete date on the admission is prior to the MDS rejection, contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance. |
| **NF-0008**: The request cannot be processed because an assessment with the same effective date has already been processed. Please continue to submit assessments based on the client’s MDS assessment schedule. | MDS (Quarterly) | • Verify if the Assessment date on the rejected assessment is correct. If not, submit a modification to the State database to correct.  
• If Assessment date is correct, determine which assessment is appropriate for the assessment date and inactivate the other MDS.  
• If the processed assessment is inactivated, the new assessment can be resubmitted once the inactivation is processed. If the rejected assessment is inactivated, no further actions are needed. |
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| **NF-0010:** The request cannot be processed because an assessment with the same effective date has already been processed and is not a Quarterly. Please continue to submit assessments based on the client's MDS assessment schedule. | MDS (Significant Correction to a Prior Quarterly) | • Verify if the Assessment date on the rejected assessment is correct. If not, submit a modification to the State database to correct.  
• If Assessment date is correct, determine which assessment is appropriate for the assessment date and inactivate the other MDS. If the processed assessment is inactivated, the new assessment can be resubmitted once the inactivation is processed. If the rejected assessment is inactivated, no further actions are needed. |
| **NF-0011:** The request cannot be processed because you have reached the limit of Swing Bed days for this client for a 12 month period. Please submit an admission if the client becomes eligible to receive additional Swing Bed services. | 3618 | • Medicaid Swing Bed services are limited to 30 days per stay. Verify dates, if incorrect submit correction. |
| **NF-0012:** The request cannot be processed because SAS records indicate the client is currently in Hospice. If the client is no longer enrolled in the Hospice program, please contact the Hospice provider and request that they discharge the client from the program. Once the Hospice discharge is processed, resubmit your request. If the client is a Hospice recipient, inactivate your request. | | • Review the facility’s records to determine if the client is Hospice.  
• If the client is Hospice, Inactivate the Nursing Facility form. Note: Form 3618/3619s should not be submitted on Hospice clients.  
• If the client has requested to terminate the Hospice program, contact the Hospice provider and request that the provider submit a discharge Form 3071.  
  – If the Form 3071 has already been submitted, allow 10 days for processing before resubmitting the admission to SAS. Note: If the form rejects again, the Hospice provider needs to follow up with DADS Provider Claims Services.  
  – If the Form 3071 has not yet been submitted, allow the time requested by the Hospice provider for processing of the Hospice discharge before resubmitting to SAS. |
| **NF-0013:** The admission cannot be processed because the client is already admitted into a facility. If a discharge prior to this admission is rejected, the rejected discharge must be processed first. This admission can then be resubmitted to SAS. If this is the initial admission into your facility, please contact the prior provider and request that they submit the missing discharge. | 3618 | • If this is the initial admission into your facility, please contact the previous provider and request that they submit a discharge on the client.  
• If not, pull a MESAV for the client to determine the begin date of the current Service Authorization.  
  – Based on the Service Authorization begin date and the effective date of the rejected admission, submit the discharge that falls between these dates.  
  – Once the previous discharge has status “SAS Request Pending,” resubmit the rejected admission. |
<p>| <strong>NF-0014:</strong> The request cannot be processed because an earlier admission has already been processed. If a discharge prior to this admission is missing, please resubmit once the matching discharge is submitted. | 3618 | • Click the “Resubmit Form” button once the prior discharge has been processed. |
| <strong>NF-0015:</strong> The request cannot be processed because an earlier admission from another provider has already been processed. Please contact the prior provider to have a discharge submitted. Please resubmit once the missing discharge is submitted. | 3618 | • Click the “Resubmit Form” button once the prior facility's discharge has been submitted processed. |</p>
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<tr>
<td><strong>NF-0016:</strong> The request cannot be processed because an earlier admission has already been processed. If a discharge prior to this admission is missing, please resubmit once the missing discharge is submitted. If this is the initial admission to your facility, please contact the prior provider to have a discharge submitted.</td>
<td>3618</td>
<td>• Click the “Resubmit Form” button once the prior discharge has been processed.</td>
</tr>
</tbody>
</table>
| **NF-0017:** This admission cannot be processed because a later admission is already on file. This admission occurs in the past and must be one of a pair, which will create a separate Service Authorization. If the discharge following this admission is missing or rejected, both forms must be submitted to SAS on the same day. | 3618, 3619 | • Review the facility’s records to determine which discharge follows this admission.  
• Pull a MESAV and review the Service Authorizations to see if a gap exists for the period that will be created by the admission and discharge pair.  
  – If a gap exists, resubmit the admission to SAS, then submit or resubmit the following discharge. Both forms must be submitted on the same day. The system will process both forms as a pair.  
  – If a gap does not exist, review the facility’s records to determine if a discharge prior to the rejected admission is reflected on the client’s MESAV.  
    • If the discharge is not reflected on the client’s MESAV, submit the missing or rejected discharge, followed by the admission and discharge pair.  
    • If the discharge is reflected on the client’s MESAV, contact DADS Provider Claims Services for assistance. |
| **NF-0018:** The request cannot be processed because the client does not have a corresponding Nursing Facility admission (missing 3618). Please verify that the admission 3618 has been processed. | 3618 | • Review the facility’s records to determine which admission is prior to this discharge.  
• Pull a MESAV and review the Service Authorizations to determine if the prior admission has processed and authorized services. If the MDS for the admission has not processed you will not have services authorized.  
• Review the LTC Online Portal to see the status of the prior Form 3618 admission. If it is rejected, take the necessary actions to process the admission. If the client admitted from another provider and the client has been admitted into your facility over 14 days, please contact the prior facility. If resolution cannot be reached, please call PCS.  
• Once the admission has been processed, click the “Submit to SAS” button on the discharge with the NF-0018 error.  
• If the discharge with the NF-0018 is reflected on the client’s MESAV, contact PCS for assistance. |
| **NF-0019:** This discharge cannot be processed because the client is not admitted into this facility. If an admission prior to this discharge is rejected, the rejected admission must be processed first. This discharge can then be resubmitted to SAS. | 3618 | • Review the facility records to identify the admission prior to this discharge.  
  – If the prior admission form was rejected, correct the form and submit. The admission must be processed before the discharge can process.  
  – If the prior admission form is missing, submit the missing form on the LTC Online Portal. |
| **NF-0020:** The request cannot be processed because a later discharge has already been processed. If an admission after this discharge is missing, please resubmit with the submission of the matching admission. | 3618 | • This discharge is part of a retroactive pair. It must be submitted the same day as the admission following it. If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate this form and correct transaction date of the later discharge. |
## Reject Message Description

<table>
<thead>
<tr>
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</tr>
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<tbody>
<tr>
<td><strong>NF-0021:</strong> The request cannot be processed because a later admission to another provider has already been processed. If an admission prior to this discharge is missing, please resubmit with the submission of the matching admission.</td>
<td>3618, 3619</td>
<td>- This discharge is part of a retroactive pair. It must be submitted the same day as the admission before it. If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate this form and correct transaction date of the earlier discharge.</td>
</tr>
</tbody>
</table>
| **NF-0022:** The request cannot be processed because the client does not have a corresponding Nursing Facility admission (missing 3618). Please verify that the admission 3618 has been processed. | 3618 | - Review the facility’s records to determine which admission is prior to this discharge.  
- Pull a MESAV and review the Service Authorizations to determine if the prior admission has processed and authorized services. If the MDS for the admission has not processed you will not have services authorized. A review of the LTC Online Portal can also determine the status of the admission.  
- Review the Service Authorizations to see if a gap exists for the period that will be created by the admission and discharge pair. This could be a time frame between existing authorizations or following the last discharge on file.  
- If the transaction dates are after the last end date authorized, submit the admission followed by the discharge.  
- If transactions dates are prior to the most recent begin date, the admission and discharge must be submitted as a retroactive pair.  
  - If a gap exists between authorizations, submit or resubmit the prior admission to SAS, then resubmit the rejected discharge. Both forms must be submitted on the same day. The system will process both forms as a pair.  
  - If a gap does not exist, review the facility’s records to determine if a discharge prior to the rejected admission is needed on the client’s MESAV. Also determine if an admission is needed between the rejected discharge and the end date already on file.  
  - If the discharge is needed on the client’s MESAV, submit the missing or rejected discharge, followed by the admission. You must also submit both the discharge and the later admission as a pair on the same day.  
  - If the discharge with the NF-0022 is reflected on the client’s MESAV, contact PCS for assistance. |
| **NF-0023:** The admission cannot be processed because the client is already admitted into a facility. If a discharge prior to this admission is rejected, the rejected discharge must be processed first. This admission can then be resubmitted to SAS. If this is the initial admission into your facility, please contact the prior provider and request that they submit the missing discharge. | 3619 | - If this is the initial admission into your facility, please contact the previous provider and request that they submit a discharge on the client.  
- If this is not the initial admission into your facility, pull a MESAV for the client to determine the begin date of the current Service Authorization.  
- Based on the Service Authorization begin date and the effective date of the rejected admission, submit the discharge that falls between these dates.  
- Once the previous discharge has status “SAS Request Pending,” resubmit the rejected admission. |
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<tbody>
<tr>
<td>NF-0024: The request cannot be processed because an earlier admission has already been processed. If a discharge prior to this admission is missing, please resubmit once the matching discharge is submitted.</td>
<td>3619</td>
<td>• Click the “Resubmit Form” button once the prior discharge has been processed.</td>
</tr>
<tr>
<td>NF-0025: The request cannot be processed because an earlier admission from another provider has already been processed. Please contact the prior provider to have a discharge submitted. Please resubmit once the missing discharge is submitted.</td>
<td>3619</td>
<td>• Click the “Resubmit Form” button once the prior facility’s discharge has been processed.</td>
</tr>
<tr>
<td>NF-0026: The request cannot be processed because an earlier admission has already been processed. If a discharge prior to this admission is missing, please resubmit once the missing discharge is submitted. If this is the initial admission into your facility, please contact the prior provider about submitting a discharge.</td>
<td>3619</td>
<td>• Click the “Resubmit Form” button and submit the earlier admission - retroactive forms must be submitted in pairs.</td>
</tr>
<tr>
<td>NF-0028: The request cannot be processed because the Qualifying Stay days, plus any Full Medicare days already documented, add up to more than the 20 days allowed for this Spell of Illness.</td>
<td>3619</td>
<td>For each Medicare Spell of Illness, 20 days of Full Medicare coverage is required, between one or more providers. • Check the Dates of Qualifying Stay From and To Dates on the form. The number of days on the form, plus any Full Medicare days already documented for that Spell of Illness, cannot exceed 20 days. • If the Dates of Qualifying Stay on the form are wrong, correct the form and submit. • If the Dates of Qualifying Stay on the form are correct, contact DADS Provider Claims Services to request manual processing. Note: If this is not traditional Medicare, please document this in the comment section and call 512-438-2200, Option 3, or fax the Medicare Replacement’s EOB with a copy of the 3619 to 512-438-3400 attention: Medicare Advantage Plan.</td>
</tr>
<tr>
<td>NF-0029: The days of Qualifying Stay have been recorded. However, the request for Medicare Part A Co-insurance cannot be processed because the Qualifying Stay days, plus any Full Medicare days already documented, are less than the 20 days required for this Spell of Illness.</td>
<td>3619</td>
<td>For each Medicare Spell of Illness, 20 days of Full Medicare coverage is required, between one or more providers. • Check the Dates of Qualifying Stay From and To Dates on the form. The dates entered must add up to the 20 day requirement, or an additional form must document the remainder of the 20 days of Qualifying Stay. • If the Dates of Qualifying Stay on the form are wrong, correct the form and submit. • If the Dates of Qualifying Stay on the form are correct, submit another form to document the remaining days of Qualifying Stay, once that information becomes available. Note: If this is not traditional Medicare, please document this in the comment section and call 512-438-2200, Option 3, or fax the Medicare Replacement’s EOB with a copy of the 3619 to 512-438-3400 attention: Medicare Advantage Plan.</td>
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<tr>
<td><strong>NF-0030:</strong> The request cannot be processed because it has not been more than 60 consecutive days since the client was discharged from Medicare (cannot begin a new spell of illness). Please review Medicare remittance to determine when Medicare Co-insurance is due. Submit a new Form 3619 based the client’s Medicare remittance.</td>
<td>3619</td>
<td>• Validate the dates of the Spell of Illness to see if this admission is part of the prior stay or if it begins a new stay of illness. Submit corrections needed of any earlier 3619s and resubmit this admission accordingly.</td>
</tr>
</tbody>
</table>
| **NF-0032:** The request cannot be processed because the client does not have a corresponding Nursing Facility admission (missing 3619). Please verify that the admission 3619 has been processed. | 3619 | • Review the facility records to identify the Medicare Co-insurance admission date prior to this discharge.  
• Pull a MESAV and review the Service Authorizations to determine if the Form 3619 admission has processed and authorized services.  
• If Medicare Co-insurance is authorized, compare the end date of the service authorization to the rejected discharge date. If the rejected discharge date is later than the service authorization end date by more than one day, the discharge is exceeding the 80-day limit of Medicare Co-insurance. An earlier discharge and readmission may be needed prior to the rejected discharge to allow for additional days before reaching 80-day limit.  
• If Medicare Co-insurance is not authorized, use the LTC Online Portal to determine the status of the Form 3619 admission.  
  – If the 3619 is not in a completed status, determine why the form rejected.  
  • Correct the 3619 admission, or Inactivate and resubmit a new 3619 admission.  
  – If the 3619 is in a completed status, compare the processed/complete date to the rejection date of the Form 3619 discharge. If the admission was processed after the Form 3619 rejected, use “Submit to SAS” to resubmit the Form 3619 to the system.  
  – If the processed/complete date on the admission is prior to the Form 3619 rejection, see bullet 3.  
• If the Admission and Discharge transaction dates are prior to the most current Service Authorization begin date, the admission and discharge are a retroactive pair.  
  – Review the Service Authorizations to see if a gap exists for the period that will be created by the admission and discharge pair. Submit or resubmit the prior admission to SAS, then resubmit the rejected discharge. Both forms must be submitted on the same day. The system will process both forms as a pair.  
  – If a gap does not exist, review the facility’s records to determine if a discharge prior to the rejected admission is needed on the client’s MESAV. Also determine if an admission is needed between the rejected discharge and the end date already on file.  
  – If the discharge is needed on the client’s MESAV, submit the missing or rejected discharge, followed by the admission. You must also submit the discharge and later admission as a pair and on the same day.  
• If the discharge with the NF-0032 is reflected on the client’s MESAV, contact PCS for assistance. |
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<th>Reject Message Description</th>
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<td><strong>NF-0033</strong>: The request cannot be processed because a later discharge has already been processed. If an admission after this discharge is missing, please resubmit with the submission of the matching admission.</td>
<td>3619</td>
<td>• This discharge is part of a retroactive pair. It must be submitted the same day as the admission before it. If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate this form and correct transaction date of the earlier discharge.</td>
</tr>
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</table>
| **NF-0048**: The request is being processed manually by DADS. Please allow an additional 10 business days for processing. | MDS | • Validate the R2b Dates on the MDSs submitted. If an entry error for the assessment date has occurred on one MDS, submit a modification to the federal CMS database for that assessment.  
• If the MDS with the correct date rejected, use “Submit to SAS” to reprocess the form after the modification of the incorrect date has processed.  
• If one of the assessments was submitted in error, inactivate the mistaken assessment.  
• If the correct form rejected, use “Submit to SAS” to reprocess the form after the inactivation has processed. |
| **NF-0049**: The request cannot be processed because an Admission assessment is not appropriate or the Date of Entry does not correspond with the correct admission. If an Admission assessment is not appropriate, inactivate this form and submit the appropriate MDS assessment type. If the Admission assessment is appropriate, modify the Date of Entry. | MDS (Admission) | This error message is generated when the client already has an active Level on the MESAV.  
• If the prior 3618 discharge was Return Not Anticipated, validate that Return Not Anticipated was correctly marked on the discharge form. If it was not, correct the discharge. Once the correction to Return Not Anticipated is processed, ending the Levels per that discharge, this MDS can be resubmitted. If the discharge was Return Not Anticipated and the form was marked correctly, verify that the MDS admission date corresponds to the admission following that discharge. If the MDS admission date corresponds to an earlier admission, submit a modification to the federal CMS database to correct the admission date.  
• If this MDS was only submitted because a current client admitted from the hospital as Medicare and a dually-coded MDS was being submitted, there are three options.  
  – Inactivate the MDS at the federal CMS database and resubmit it as a dually-coded form using an appropriate Medicaid Reason for Assessment (typically a Quarterly, Annual, or SCSA). This will allow Medicaid to use the MDS for payment.  
  – Inactivate the MDS at the federal CMS database and resubmit it as Medicare only (no Medicaid Reason for Assessment). The resubmitted form will not appear on the LTC Online Portal.  
  – Contact DADS Provider Claims Services (512-438-2200, Option 1) and request that the MDS be moved to Invalid/Complete status because an Admission assessment was not appropriate.  
• If neither situation above applies, please contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance. |
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<td><strong>NF-0050:</strong> The request cannot be processed as a retroactive pair because the effective date on the discharge of pair is later than the qualifying stay begin date on the admission of pair. The discharge prior to the qualifying stay begin date and a subsequent admission are needed along with this pair to process automatically.</td>
<td>3619</td>
<td>This Form 3619 has been identified as part of a retroactive pair attempting to process together. The problem is that the Qualifying Stay dates fall between the admission and discharge dates submitted. Full Medicare (Qualifying Stay days) cannot split a single admission and discharge pair. Two pairs of retroactive 3619s must be submitted instead.  &lt;ul&gt;&lt;li&gt;Resubmit the 3619 admission for the first day of Co-insurance, paired with a discharge matching the first day of Full Medicare, which will end the Co-insurance service authorization the day before the Qualifying Stay (included on the admission of the pair).&lt;/li&gt; &lt;li&gt;Then resubmit the discharge from Co-insurance, paired with an admission beginning after the Full Medicare (Qualifying Stay) ends.&lt;/li&gt;&lt;/ul&gt;</td>
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<td><strong>NF-0051:</strong> The request cannot be processed as a retroactive pair because the discharge of pair is marked as a death and there is a subsequent admission on file. A discharge (not death) is needed for this pair to process automatically.</td>
<td>3619</td>
<td>&lt;ul&gt;&lt;li&gt;Pull a MESAV and review the Service Authorizations for this client. Verify the dates that the client was in your facility.&lt;/li&gt; &lt;li&gt;If the discharge of the retroactive pair was submitted as a Death in error, inactivate the form, then use the Use as Template function to resubmit the form as a Discharge.&lt;/li&gt; &lt;li&gt;If the form was correctly used to report the client’s death, validate the Date of Above Transaction and correct the form if necessary.&lt;/li&gt; &lt;li&gt;If the transaction type (Death) and Date of Above Transaction are correct, identify the admission form that was submitted with an effective date after the death and correct the Date of Above Transaction on that admission. It may be necessary to contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance.&lt;/li&gt;&lt;/ul&gt;</td>
</tr>
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<td><strong>NF-0052:</strong> The request cannot be processed because the new admission date would create an overlap with an existing service authorization. Please verify the service authorizations already established and submit any additional modifications.</td>
<td>3619</td>
<td>&lt;ul&gt;&lt;li&gt;Pull a MESAV and compare the Service Authorizations to the earlier admission date that would be created by the rejected admission. The system has determined that it would create an overlap with existing Service Authorizations. Also consider the Qualifying Stays reported on the processed 3619’s. These dates create Full Medicare periods, which do not appear on the MESAV.  &lt;ul&gt;&lt;li&gt;If the submitted admission date is not right, correct and resubmit.&lt;/li&gt; &lt;li&gt;If an existing Service Authorization needs to be changed, submit a correction to the form that created the admission.&lt;/li&gt; &lt;li&gt;To determine if the Qualifying Stay dates from the Medicare remittance advice are on file, contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance.&lt;/li&gt;&lt;/ul&gt;  &lt;/li&gt; &lt;li&gt;If either of the last two bullets above applies to your situation, this rejected admission should be resubmitted once the file has been adjusted.&lt;/li&gt;&lt;/ul&gt;</td>
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| NF-0055: The request cannot be processed because the new admission date would result in more than 80 days of Co-insurance for this spell of illness. Please confirm the 80 days of Co-insurance and submit any additional modifications. | 3619 | The system has determined that with the new Co-insurance days that would be added by this correction, the total would exceed the 80-day limit.  
- Pull a MESAV and review the Service Authorizations to determine the number of Co-insurance days on the file plus the number of new days that would be added by the rejected earlier admission date. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Co-insurance days to 80 days or less, the rejected admission should be resubmitted once the new correction forms have processed.  
- If the begin and ends on file are correct and the client has a Medicare Replacement which allows more than 80 days, state this in the comment section of the Form 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400 attention: Medicare Advantage Plan.  
- If the spell of illness involved another facility and your facility's begin and end dates are correct except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Co-insurance is due for the time period that your 3619s indicate, please fax them with a copy of the 3619s to 512-438-3400 attention: ECF Form Processing, or call 512-438-3400, Option 3.  
- If all the begin and end dates on the MESAV are correct (except for the admission the rejected form is attempting to correct), the last discharge date will need to be adjusted so the total of the new days added plus the adjusted existing dates equal 80 or less days. The rejected admission should then be resubmitted. |
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<table>
<thead>
<tr>
<th>Provider Message</th>
<th>Form/Assessment</th>
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<td>NF-0056: The request cannot be processed because the corresponding adjustment based on the 80-day limit would cancel a later admission already on file. Please verify the service authorizations already established and submit any additional modifications.</td>
<td>3619</td>
<td>The system has determined that with the new Co-insurance days that would be added by the rejected form, the total would exceed the 80 day limit. In order to reduce the total to 80 days, the system would have to cancel a submitted admission.</td>
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<td>• Pull a MESAV and review the Service Authorizations to determine the number of Co-insurance days on the file plus the number of new days that would be added by the rejected form. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.</td>
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<td>• Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Co-insurance days to 80 days or less, the rejected form should be resubmitted once the new correction forms have processed.</td>
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<td>• If the begin and ends on file are correct and the client has a Medicare Replacement which allows more than 80 days, state this in the comment section of the Form 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400 attention: Medicare Advantage Plan.</td>
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<td>• If the spell of illness involved another facility and your facility’s begin and end dates are correct except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Co-insurance is due for the time period that your 3619s indicate, please fax them with a copy of the 3619s to 512-438-3400 attention: ECF Form Processing, or call 512-438-3400, Option 3.</td>
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<td>• If all the begin and end dates on the MESAV are correct, the rejected form will need to be corrected so the total of the new days added plus the existing dates equal 80 or less days.</td>
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| NF-0057: The request cannot be processed because the new discharge date would cancel the Co-insurance record being modified. If the new discharge date is incorrect, please modify and resubmit. | 3619 | - Pull a MESAV and review the Service Authorizations to determine the number of Co-insurance days on the file plus the number of new days that would be added by the rejected later discharge date. The system has determined that the total is greater than the 80-day limit.  
- Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Also compare the time periods for Co-insurance to your Medicare remittance indicating what days should be Medicare Co-insurance. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Co-insurance days to 80 days or less, the rejected discharge should be resubmitted once the new correction forms have processed.  
- If all the begin and end dates on the MESAV are correct (except for the discharge the rejected form is attempting to correct), there are Service Authorizations on the file for a different provider. Contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance. |


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| **NF-0058:** The request cannot be processed because the new discharge date would result in more than 80 days of Co-insurance for this spell of illness. Please confirm the 80 days of Co-insurance and submit any additional modifications. | 3619 | The system has determined that with the new Co-insurance days that would be added by this correction, the total would exceed the 80-day limit.  
- Pull a MESAV and review the Service Authorizations to determine the number of Co-insurance days on the file plus the number of new days that would be added by the rejected later discharge date. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Co-insurance days to 80 days or less, the rejected discharge should be resubmitted once the new correction forms have processed.  
- If the begin and ends on file are correct and the client has a Medicare Replacement which allows more than 80 days, state this in the comment section of the Form 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400 attention: Medicare Advantage Plan.  
- If the spell of illness involved another facility and your facility’s begin and end dates are correct except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Co-insurance is due for the time period that your 3619s indicate, please fax them with a copy of the 3619s to 512-438-3400 attention: ECF Form Processing, or call 512-438-3400, Option 3.  
- If all the begin and end dates on the MESAV are correct (except for the discharge the rejected form is attempting to correct), the last discharge date will need to be adjusted so the total of the new days added plus the adjusted existing dates equal 80 or less days. The rejected discharge should then be resubmitted. |
| **NF-0059:** The request cannot be processed because the new discharge would create an overlap with an existing service authorization. Please verify the service authorizations already established and submit any additional modifications. | 3619 | - Pull a MESAV and compare the Service Authorizations to the later discharge date that would be created by the rejected discharge. The system has determined that it would create an overlap with existing Service Authorizations. Also consider the Qualifying Stays reported on the processed 3619’s. These dates create Full Medicare periods, which do not appear on the MESAV.  
  - If the submitted discharge date is not right, correct and resubmit.  
  - If an existing Service Authorization needs to be changed, submit a correction to the form that created the admission.  
  - If the submitted discharge date would overlap with a reported Qualifying Stay period, submit a correction to adjust the Qualifying Stay dates.  
  - If either of the last two bullets above applies to your situation, this rejected discharge should be resubmitted once the file has been adjusted. |
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| **NF-0061:** The request cannot be processed because a Nursing Facility admission is not appropriate for a PACE client. Contact the client's PACE organization. | 3618, 3619 | • Review the facility's records to verify that the transaction date on the rejected admission is correct.  
  – If the admission date is incorrect, submit a correction.  
  – If the admission date is correct, contact the client’s PACE organization. |
| **NF-0062:** This discharge cannot be processed because the client is currently authorized for Full Medicaid. A prior 3618 discharge and a 3619 admission need to be processed prior to this discharge. If the Full Medicaid authorization is for this provider, please submit the 3618 discharge prior to the Medicare stay. A 3619 admission and this discharge need to be resubmitted on the same day. | 3619 | The system has determined that the current Service Authorization is for Full Medicaid (code 1).  
  • If the client is Full Medicaid in your facility, pull a MESAV and compare the Service Authorizations to the facility’s records.  
  – If the client should be classified as Medicare on this discharge date:  
    › Determine if the 3618 discharge to the hospital prior to the Medicare Stay has been submitted. If not, submit the 3618 discharge. If it was rejected, resolve the issue and resubmit the 3618 discharge.  
    › Determine if the 3619 admission to begin Medicare Co-insurance has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
    › Once the 3618 discharge and 3619 admission are processed and reflected on the MESAV, resubmit the rejected discharge.  
  – If the client should not be classified as Medicare on this discharge date:  
    › Determine if the discharge should be a 3618 discharge instead. If so, inactivate the rejected form and submit a 3618 discharge to close the client’s file.  
    › If the client is Full Medicaid in a different facility, determine if the 3619 admission to begin Medicare Co-insurance has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission. |
| **NF-0063:** This discharge cannot be processed because the client is currently admitted to Medicare Part A Co-insurance for a different provider. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3619 | • Review the facility’s records to verify that the transaction date on the rejected discharge is correct.  
  • Determine if the 3619 admission prior to this discharge has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
  • Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
| **NF-0064:** This discharge cannot be processed because an admission to Medicare Part A Co-insurance for a different provider has already been processed for the same day. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3619 | There is a later Service Authorization on the client’s file so the rejected discharge and matching admission and must be submitted as a retro pair.  
  • Review the facility records to identify the Medicare Co-insurance admission date prior to this discharge.  
  • Determine if the 3619 admission prior to this discharge has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
  • Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
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</tr>
</thead>
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| **NF-0065:** This discharge cannot be processed because the client is currently authorized for Full Medicaid for this provider. If a 3618 discharge prior to the Medicare stay and a 3619 admission are missing or rejected, please resubmit those forms and this discharge on the same day. | 3619 | • The system has determined that the current Service Authorization is for Full Medicaid (code 1). Pull a MESAV and compare the Service Authorizations to the facility's records.  
  - If the client should be classified as Medicare on this discharge date:  
    - Determine if the 3618 discharge to the hospital prior to the Medicare Stay has been submitted. If not, submit the 3618 discharge. If it was rejected, resolve the issue and resubmit the 3618 discharge.  
    - Determine if the 3619 admission to begin Medicare Co-insurance has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
    - Resubmit the rejected 3619 discharge on the same day as the missing or corrected forms.  
  - If the client should not be classified as Medicare on this discharge date:  
    - Determine if the discharge should be a 3618 discharge instead. If so, inactivate the rejected form and submit a 3618 discharge to close the client's file. |
| **NF-0066:** This discharge cannot be processed because the client is currently admitted by a different provider. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3619 | • Review the facility’s records to verify that the transaction date on the rejected discharge is correct.  
  • Determine if the 3619 admission prior to this discharge has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
  • Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
| **NF-0067:** The discharge cannot be processed because an admission for a different provider has already been processed for the same day. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3619 | There is a later Service Authorization on the client’s file so the rejected discharge and matching admission and must be submitted as a retro pair.  
  • Review the facility records to identify the Medicare Co-insurance admission date prior to this discharge.  
  • Determine if the 3619 admission prior to this discharge has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
  • Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
| **NF-0068:** This discharge cannot be processed because the client already has a subsequent authorization. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3619 | There is a later Service Authorization on the client’s file so the rejected discharge and matching admission and must be submitted as a retro pair.  
  • Review the facility records to identify the Medicare Co-insurance admission date prior to this discharge.  
  • Determine if the 3619 admission prior to this discharge has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission.  
  • Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
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| **NF-0069:** This discharge cannot be processed because the client is currently admitted by a different provider. If an admission prior to this discharge is missing or rejected, please resubmit the admission and this discharge on the same day. | 3618 | • Review the facility's records to verify that the transaction date on the rejected discharge is correct.  
• Determine if the 3618 admission prior to this discharge has been submitted. If not, submit the 3618 admission. If it was rejected, resolve the issue and resubmit the 3618 admission.  
• Resubmit the rejected 3618 discharge on the same day as the missing or corrected admission. |
| **NF-0070:** This admission cannot be processed because it would cancel the client's Enrollment with a different provider. Please verify the effective date as well as the Qualifying Stay date ranges and correct them as needed. If the dates are correct, Contact Provider Claims Services for assistance. | 3619 (Admit) | This 3619 admission will "auto discharge" the client from a different provider. However, rather than ending the other provider's Service Authorization, the Qualifying Stay dates or Date of Above Transaction on this form would cancel the other provider's Service Authorization.  
• Verify begin and end dates of Qualifying Stay and submit any corrections needed.  
• Verify the begin date of Co-insurance and submit a correction as needed.  
• If the dates are correct and the Medicare remittance advice validates that Co-insurance is due for the time period that your 3619s indicate, please fax the remittance advice with a copy of the 3619s to 512-438-3400 attention: ECF Form Processing or call 512-438-3400, Option 3. If the Medicare remittance Advice does not correspond to the 3619s submitted, the forms will not be processed. |
| **NF-0076:** This Admission assessment cannot be processed because the Entry Date is earlier than the Service Authorization begin date. Please verify the Entry Date and correct it as needed, or submit an earlier 3618/3619 admission. If the 3618/3619 admission and MDS Entry Date are correct, contact Provider Claims Services for assistance. | MDS Admission | This MDS admission Entry Date is earlier than the Service Authorization begin date.  
• Verify the Entry Date and submit a correction as needed.  
• If an earlier 3618 or 3619 admission is needed, submit a matching admission and discharge pair, then resubmit this admission.  
• If the 3618 or 3619 admission date and the MDS Entry Date are correct, contact DADS Provider Claims Services (512-438-2200, Option 1) for assistance. |
Corrections

Corrections can be made to certain fields on LTCMI, Forms 3071, 3074, 3618, and 3619. Corrections cannot be performed on PASARR Screenings.

**Note:** Forms 3618 and 3619 or the Long Term Care Medicaid Information (LTCMI) section of a Minimum Data Set (MDS) assessment that have been set to status “Form Inactivated” at any time in the history will not allow corrections to the form or assessment. The Correct this form button will not be displayed in the yellow Form Actions bar on any form that cannot be corrected. This includes submission of these forms or assessments by a third-party software vendor for your facility.

**LTCMI Corrections**

Corrections to the LTCMI section data can be submitted directly on the LTC Online Portal.

**Note:** If no PC (Purpose Code) is identified in field S1e., it may be corrected to reflect either an E or M. A PC M may be corrected to a PC E. However, a PC E is unable to be corrected to a PC M. Once an assessment is classified as a PC E, field S1e. is not correctable.

Prior to correcting/adding a PC on an LTCMI, validate if payment has been made based on the MDS. Entering a PC Start and End date cancels any prior services dates the assessment represented. If necessary, submit an off-cycle 3.0 assessment to submit a PC E or M.

To submit LTCMI corrections:

1. Login to the LTC Online Portal.
2. Click the **Form Status Inquiry** or **Current Activity** link in the blue navigational bar.
   a. If using FSI, you may search for a PASARR Screening or Form 3618 or 3619 using SSN, Medicaid Number, or DLN. Click the “Search” button, then click the **View Detail** link.
   b. If using Current Activity, click the **DLN** link.
3. Click the “Correct this form” button.

![Diagram of LTC Nursing Facility/Hospice User Guide](image)

4. Click the “Section LTCMI” tab and complete only the fields needing correction.

![Diagram of LTC Nursing Facility/Hospice User Guide](image)

5. Click the “Submit Form” button.

6. The original assessment (parent) is set to status “Corrected” and the new assessment (child) DLN is assigned, creating a parent/child DLN relationship. The new child assessment replaces the parent assessment.

![Diagram of LTC Nursing Facility/Hospice User Guide](image)

**Note:** Corrections are processed overnight. Providers must wait until the following day to see changes.
Form 3618 and 3619 Corrections

NF providers must submit Forms 3618 and 3619 corrections directly on the LTC Online Portal.

Correctable Fields on Forms 3618 and 3619

- Administrator Signature Date
- Administrator License Number
- Comment Section
- Date of Above Transaction
- Discharge Type
- Location
- Recipient Address
- Recipient First Name
- Recipient Middle Name
- Dates of Qualifying Stay

The correctable fields can be changed even if the form has processed into the system. For example, if a Medicare resident transfers to Medicaid on the fifth of the month and then it is discovered that the transfer was actually on the eighth of the month instead, two corrections should be submitted. The original Form 3619 discharge and Form 3618 admission must be corrected to the eighth rather than submitting new forms. Even if the original forms are processed, corrections can be submitted.

TMHP places the original form in a corrected status and gives the new form a DLN creating a Parent/Child DLN relationship.

If a form contains incorrect information in a field that is not correctable and the form is set to the status “Processed/Complete” a counteracting form will need to be submitted. If the form is not set to a “Processed/Complete” status, inactivate the form and resubmit with the correct information. Please refer to the Counteracting Forms section of this User Guide for additional information.

Correction to Forms 3618 or 3619

1. Login to the LTC Online Portal.
2. Click the Form Status Inquiry link in the blue navigational bar.
3. Search for Form 3618 or 3619 using the recipient's SSN, Medicaid recipient number, First and Last Name, or DLN.
4. Click the “Search” button.
5. Click the View Detail link.
6. Click the “Correct this form” button.

7. Complete only the fields needing correction.

8. Click the “Submit Form” button.

Note: If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors, they will be displayed in a box at the top of the screen. These errors will
need to be resolved before the form will be successfully submitted. Once all errors are resolved, click the “Submit Form” button again to submit the form.

9. Click the DLN link displayed in the “Your form was submitted successfully” message to return to the form.

10. Click the “Print” button in the yellow Form Actions bar to print the completed form.

Forms 3071 and 3074 Corrections

Hospice providers must submit Forms 3071 and 3074 corrections directly on the LTC Online Portal. All fields, except the Contract Number, can be corrected on the Forms 3071 and 3074.

Correction to Forms 3071 and 3074

1. Login to the LTC Online Portal.

2. Click the Form Status Inquiry link in the blue navigational bar.

3. Search for Form 3071 or 3074 using the recipient’s SSN, Medicaid recipient number, First and Last Name, or DLN.

4. Click the “Search” button.

5. Click the View Detail link.

6. Click the “Correct this form” button.

7. Complete only the fields needing correction.

8. Click the “Submit Form” button.

   Note: If the form is successfully submitted, a DLN will be assigned and the LTC Online Portal will show “Your form was submitted successfully.” If there are errors, they will be displayed in a box at the top of the screen. These errors will need to be resolved before the form will be successfully submitted. Once all errors are resolved, click the “Submit Form” button again to submit the form.

9. Click the DLN link displayed in the “Your form was submitted successfully” message to return to the form.

10. Click the “Print” button in the yellow Form Actions bar to print the completed form.
Counteracting Forms

A counteracting form is a form submitted indicating the opposite transaction of the incorrect form (admission versus discharge) and with the same date in the Date of Above Transaction field.

Examples:

• A discharge to the hospital is submitted in error because the admission to the hospital was for observation only and no form should have been submitted. If the discharge processes before the mistake is corrected, submit a counteracting form indicating an admission in the Transaction field and use the same date in the Date of Above Transaction field.

• A Form 3618 admission is submitted, but the resident is classified as Medicare. If the admission processes before the mistake is corrected, submit a counteracting form indicating “Discharge to NF” in the Transaction field and use the same date in the Date of Above Transaction field.

• If an admission is submitted under the wrong contract and it processes onto the file, a discharge must be submitted for the same incorrect contract, using the same Date of Above Transaction. Once both forms are available on the LTC Online Portal and are set to status “Processed/Complete” or “Provider Action Required,” the provider must contact DADS Provider Claims Services. The correct admission cannot be submitted until DADS has set the status of both forms with the incorrect contract to “Invalid/Complete.”

Reminder: When submitting a counteracting form for an admission that has payment, recoupment will occur unless additional processing occurs at the same time. Please coordinate with DADS Provider Claims Services to ensure appropriate payment.
Modifications

NF providers submit all MDS Correction requests to CMS in accordance with the RAI User’s Manual. Corrections that are classified as a Modification are retrieved by TMHP for processing. In field AT3 on 2.0 corrections or field X0900 on 3.0 corrections select the reason for modification. TMHP sets the original assessment to status “Corrected” and gives the new assessment a DLN creating a Parent/Child DLN relationship set to status “Awaiting LTC Medicaid Information.” The LTCMI must be completed and submitted at this time. The MN will then be determined.

Note: Providers are allowed to submit modifications to an on-time MDS without requiring a PC for up to one year. For Modifications to an MDS assessment that did not originally meet the timeliness rules, a PC E will be required upon submission of the LTCMI.

Providers must access the LTC Online Portal to retrieve the new assessment, complete the LTCMI, and submit.

MDS 2.0

For detailed instructions on completing an MDS 2.0 Modification, refer to the MDS 2.0 RAI User’s Manual Chapter 5. The User’s Manual can be found under “Downloads” on the CMS website at: www.cms.hhs.gov/NursingHomeQualityInits/20_NHQ1MDS20.asp

New Assessment

Modifications will be considered incomplete until a new LTCMI is successfully submitted.

Parent Assessment
MDS 3.0


New Assessment

Modifications will be considered incomplete until a new LTCMI is successfully submitted.
Parent Assessment

![Image of Parent Assessment form]

Parent Assessment History Trail

<table>
<thead>
<tr>
<th>History</th>
<th>Change Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pending</td>
<td>Changed by System on 10/7/2010 7:00:34 AM</td>
</tr>
<tr>
<td>Corrected</td>
<td>Changed by System on 10/7/2010 7:15:34 AM</td>
</tr>
<tr>
<td>10/7/2010 7:15:34 AM</td>
<td>System: Correction request submitted from MDS database</td>
</tr>
</tbody>
</table>
Inactivations

MDS Assessment

For MDS Inactivations, NF providers complete the MDS Correction Request Form ensuring field AT4 on 2.0 corrections or field X1050 on 3.0 corrections (reason for inactivation) was completed prior to submitting it to CMS. TMHP will retrieve all successfully submitted MDS Inactivation Requests from CMS for processing. When the inactivation is placed on the LTC Online Portal, TMHP will automatically inactivate the associated LTCMI and the assessment will set to status “Form Inactivated” unless the form has been set to “Processed/Complete.” However, the LTCMI will not automatically be inactivated on the LTC Online Portal if the assessment had a previous status of “Processed/Complete,” which means it was already been processed by SAS, the assessment status will be set to “SAS Request Pending” and will be processed by SAS in their nightly batch routines. Any MDS assessment set to status “Form Inactivated” or “Invalid/Complete” cannot be corrected or resubmitted.

The inactivation request will be submitted against assessments that have processed. If the assessment can be located and OIG has not addressed the assessment, the assessment will be cancelled and any associated payments will be recouped. If the inactivation is submitted on an assessment that has been chosen by OIG, the inactivation will reject with an appropriate error message. If the system fails to identify the assessment, the inactivation will also reject and be researched manually by DADS. With each situation a response will be posted on the LTC Online Portal.

Note: Refer to the MDS 2.0 RAI User’s Manual Chapter 5 for detailed instructions on completing an MDS Inactivation. The User's Manual can be found under “Downloads” on the CMS website at:
www.cms.hhs.gov/NursingHomeQualityInits/20_NHQ1MDS20.asp

Note: Refer to the MDS 3.0 RAI User’s Manual Chapter 5 for detailed instructions on completing an MDS Inactivation. The User’s Manual can be found under “Downloads” on the CMS website at:
www.cms.gov/NursingHomeQualityInits/25_NHQ1MDS30.asp

Note: Providers should only submit an inactivation on dually-coded assessments after attempting to submit a modification via CMS. An inactivation will affect Medicare, as well as Medicaid reimbursement.

PASARR Screening and Forms 3618 and 3619 Inactivations

PASARR Screenings and Forms 3618 and 3619 inactivations must be submitted directly on the LTC Online Portal after being located by a search using FSI or Current Activity. Once the inactivation is submitted and accepted, the form or PASARR Screening is set to status “Form Inactivated” and is unavailable for any further action.
How to Inactivate

1. Login to the LTC Online Portal.

2. Click the Form Status Inquiry or Current Activity link in the blue navigational bar.
   a. If using FSI, you may search for a PASARR Screening or Form 3618 or 3619 using SSN, Medicaid Number, or DLN. Click the “Search” button, then click the View Detail link.
   b. If using Current Activity, click the DLN link.

3. Click the “Inactivate Form” button.

4. Click the “OK” button when the pop-up window asks “Are you sure you want to Inactivate this form?”

5. Click the “Add Note” button, and enter the reason for the inactivation.

Forms 3618 and 3619 that are set to status “Processed/Complete” or that contain provider workflow message code GN-9004 anywhere in the History trail of the form cannot be inactivated. If a provider attempts to inactivate a Form 3618 or 3619 and one of the above circumstances exists, the provider will receive the following error message:

“This form has been successfully processed at DADS and cannot be inactivated. If this form is invalid (should not have been submitted), submit the appropriate form to counteract this form. Otherwise, correct this form and resubmit.”

To cancel a form that is set to status “Processed/Complete” and has an error in a non-correctable field or one that should not have been submitted, providers must submit the appropriate counteracting form. Please refer to the Counteracting Forms section of this User Guide for additional information.
Forms 3618 and 3619 Submission Validation Rules and Edits

Based on information entered in certain fields and on the sequence in which the form is being submitted, validation, or front-end edits, will occur and may result in an error. The form will not be accepted until all errors are resolved. The system messages will display at the top of the LTC Online Portal submission page. If you do not receive the DLN number-assigned page after clicking the “Submit Form” button, there are errors that need to be resolved. The errors will be displayed at the top of the page, and you may need to scroll to the top of the page to see the errors.

An example of a validation, or front-end edit, occurs when the Date of Above Transaction is greater than 1 year old or greater than or equal to 5 years old. A front-end edit may also require the provider to enter additional information depending upon the message received.

Sequencing validation edits are based on three levels: Form Type, Transaction, and Date of Above Transaction

- Form type – admission 3618 must be discharged with a 3618 before submitting a 3619 and the reverse.
- Transactions must alternate between admission and discharge.
- Date of above transaction should be chronological unless submitting a form effective retroactive.
- Retroactive forms should be submitted in pairs creating or filling a gap of time.

Forms set to the following statuses are excluded from consideration in meeting form sequencing requirements: “Corrected,” “Invalid/Complete,” “Invalid Form Sequence,” “ID Invalid,” “Form Inactivated,” “Med ID Check Inactive,” “ME Check Inactive,” or “AI Check Inactive.”

The errors will display at the time of a 3618 or 3619 form submission. There are different errors dependent upon the form type, therefore, the error messages below have been categorized by form type.

1. **System Message.** This is the specific error message that will be displayed in the LTC Online Portal at time of submission.

2. **System Message Clarification.** Further clarification of the LTC Online Portal error message including basic example of the situation.

3. **System Message Resolution.** Assistance with resolving the error.

For those situations where a form is missing, providers will need to submit the missing form in order for the erroring form to pass validations.

The provider has two options regarding the submissions:

- If a submission displays a message that a form is missing, the provider can save the form as a draft. Submit the missing form, and then retrieve the draft and submit to complete both transactions.
If the submission displays that a form is missing, that form can be adjusted to submit the missing form and then, using Use as template, the original form can be submitted now that the edit has been resolved.

The submission of the missing form and the erroring form can occur the same day. The missing form will need to be submitted and then the erroring form. Providers do not need to wait for the missing form to process overnight.

### Form 3618 Resident Transaction Notice Edits

<table>
<thead>
<tr>
<th>Edit Description</th>
<th>System Message Clarification</th>
<th>System Message Resolution (assistance for resolving error)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last form submitted was an admission. Please supply discharge form prior to this admission.</td>
<td>Rejection of New Admission for missing Previous Discharge. New admission follows an admission for same contract i.e., 11-12-2008 admission (no discharge) 12-16-2008 admission submitted.</td>
<td>Submit a discharge prior to this admission. Attempting to submit 2 3618 admissions in a row, missing a 3618 discharge. Submit the missing discharge then submit the 3618 admission. Scenarios: 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/20/08. 3618 admit submitted for client A, provider A, transaction date = 10/21/08. Submission is not allowed without a prior discharge. 3618 admit exists in Corrected status for client A, provider A, transaction date = 10/20/08. 3618 admit submitted for client A, provider A, transaction date = 10/21/08. Submit allowed (Previous admit in corrected status so not considered). 3618 admit exists in ME Check Inactive status for client A, provider A, transaction date = 10/20/08. 3618 admit submitted for client A, provider A, transaction date = 10/21/08. Submit allowed (Previous admit in ME Check Inactive status so not considered). 3618 discharge exists in Processed/Complete status for client A, provider A, transaction date = 10/19/08. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/19/08. 3618 admit submitted for client A, provider A, transaction date = 10/21/08. Submission is allowed because of multiple matching date of above transaction on prior form.</td>
</tr>
<tr>
<td><strong>System Message</strong> (displayed at time of submission)</td>
<td><strong>System Message Clarification</strong></td>
<td><strong>System Message Resolution</strong> (assistance for resolving error)</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Last form submitted was a discharge. Please supply admission form prior to this new discharge.</td>
<td>Rejection of New Discharge for missing Previous Admission. New discharge follows a discharge for <strong>same contract</strong> i.e., 11-1-2008 discharge (no admission) 12-1-2008 discharge submitted.</td>
<td>Submit an admission prior to this discharge. Attempting to submit 2 3618 discharges in a row, missing a 3618 admission. Submit the missing admission then submit the 3618 discharge.</td>
</tr>
<tr>
<td>Same contract: An admission has already been received for the Date of Above Transaction. OR Different contract: An admission from another provider has already been received for the Date of Above Transaction.</td>
<td>Rejection of New Admission for Same Date of Above Transaction. New admission has same Date of Above Transaction as an admission already received i.e., 11-1-2008 admission 11-1-2008 admission.</td>
<td>Same contract: Possibly attempting to submit a duplicate form. OR Different contract: A different provider has previously submitted an admission for the same Date of Above Transaction date. One provider is in error. Contact other provider. Scenarios: 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/21/08. 3618 admit submitted for client A, provider A, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/21/08. 3618 admit submitted for client A, provider A, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete for another provider. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider B, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete for another provider. If a provider submits a Date of Above Transaction that is equal to or more than five years old, the form will not be accepted onto the LTC Online Portal. Additionally, forms with a future date in the Date of Above Transaction field will not be accepted onto the LTC Online Portal.</td>
</tr>
<tr>
<td>Same contract: A discharge has already been received for the Date of Above Transaction. OR Different contract: A discharge from another provider has already been received for the Date of Above Transaction.</td>
<td>Rejection of New Discharge for Same Date of Above Transaction. New discharge has same Date of Above Transaction as a discharge already received i.e., 11-1-2008 discharge 11-1-2008 discharge.</td>
<td>Same contract: Possibly attempting to submit a duplicate form. OR Different contract: A different provider has previously submitted a discharge for the same Date of Above Transaction date. One provider is in error. Contact other provider.</td>
</tr>
<tr>
<td>Date of Above Transaction is over one year old, do you want to continue?</td>
<td>When submitting a form that is between one and five years old, providers will receive this warning message. The provider will have an option to select “OK” or “Cancel” before the form will continue to process.</td>
<td>If a provider submits a Date of Above Transaction that is equal to or more than five years old, the form will not be accepted onto the LTC Online Portal. Additionally, forms with a future date in the Date of Above Transaction field will not be accepted onto the LTC Online Portal.</td>
</tr>
</tbody>
</table>

Scenarios:

3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/21/08. 3618 admit submitted for client A, provider A, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/21/08. 3618 admit submitted for client A, provider A, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete for another provider. 3618 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/1/08. 3618 admit exists in Processed/Complete status for client A, provider B, transaction date = 10/1/08. Submit not allowed because date already in Processed/Complete for another provider. If a provider submits a Date of Above Transaction that is equal to or more than five years old, the form will not be accepted onto the LTC Online Portal. Additionally, forms with a future date in the Date of Above Transaction field will not be accepted onto the LTC Online Portal.
## LTC Nursing Facility/Hospice User Guide

### Previous form was a 3619. A 3619 discharge or 3618 admission (as appropriate) must be submitted before a 3618 discharge can be submitted. Applicable for same or different contract.

<table>
<thead>
<tr>
<th>System Message (displayed at time of submission)</th>
<th>System Message Clarification</th>
<th>System Message Resolution (assistance for resolving error)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Previous form was a 3619. A 3619 discharge or 3618 admission (as appropriate) must be submitted before a 3618 discharge can be submitted. Applicable for same or different contract.</td>
<td>Rejection of 3618 Discharge following a 3619. 3618 discharge received following a 3619 regardless of contract.</td>
<td>Submit either a 3619 discharge or 3618 admission (as appropriate) prior to this 3618 Discharge.</td>
</tr>
</tbody>
</table>

### Scenarios:
- **3619 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/20/08.**
- **3618 admit submitted for client A, provider A, transaction date = 10/21/08.**
  - Submit not allowed.

- **3619 discharge exists in Processed/Complete status for client A, provider A, transaction date = 10/19/08.**
- **3618 discharge submitted for client A, provider A, transaction date = 10/21/08.**
  - Submit not allowed.

- **3619 admit exists in Processed/Complete status for client A, provider A, transaction date = 10/19/08.**
- **3619 discharge exists in Processed/Complete status for client A, provider A, transaction date = 10/19/08.**
- **3618 discharge submitted for client A, provider A, transaction date = 10/21/08.**
  - Submit not allowed.

### Form 3619 Medicare/SNF Patient Transaction Notice Edits

<table>
<thead>
<tr>
<th>System Message (displayed at time of submission)</th>
<th>System Message Clarification</th>
<th>System Message Resolution (assistance for resolving error)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last form submitted was an admission. Please supply discharge form prior to this new admission.</td>
<td>Rejection of New Admission for missing Previous Discharge. New admission follows an admission for same contract i.e., 11-1-2008 admission (no discharge) 12-1-2008 admission submitted.</td>
<td>Submit a discharge form prior to this admission. Attempting to submit two 3619 admissions in a row, missing a 3619 discharge. Submit the missing discharge then submit the 3619 admission.</td>
</tr>
<tr>
<td>Last form submitted was a discharge... Please supply admission form prior to this new discharge.</td>
<td>Rejection of New Discharge for missing Previous Admission. New discharge follows a discharge for same contract i.e., 11-1-2008 discharge (no admission) 12-1-2008 discharge submitted.</td>
<td>Submit an admission form prior to this discharge. Attempting to submit two 3619 discharges in a row, missing a 3619 admission. Submit the missing admission then submit the 3619 discharge.</td>
</tr>
<tr>
<td>System Message (displayed at time of submission)</td>
<td>System Message Clarification</td>
<td>System Message Resolution (assistance for resolving error)</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>------------------------------</td>
<td>----------------------------------------------------------</td>
</tr>
<tr>
<td>Same contract: An admission has already been received for the Date of Above Transaction. OR Different contract: An admission from another provider has already been received for the Date of Above Transaction.</td>
<td>Rejection of New Admission for Same Date of Above Transaction. New admission has same Date of Above Transaction as an admission already received i.e., 11-1-2008 admission.</td>
<td>Same contract: Possibly attempting to submit a duplicate form. OR Different contract: A different provider has previously submitted an admission for the same Date of Above Transaction date. One provider is in error. Contact other provider.</td>
</tr>
<tr>
<td>Same contract: A discharge has already been received for the Date of Above Transaction. OR Different contract: A discharge from another provider has already been received for the Date of Above Transaction.</td>
<td>Rejection of New Discharge for Same Date of Above Transaction. New discharge has same Date of Above Transaction as a discharge already received i.e., 11-1-2008 discharge.</td>
<td>Same contract: Possibly attempting to submit a duplicate form. OR Different contract: A different provider has previously submitted a discharge for the same Date of Above Transaction date. One provider is in error. Contact other provider.</td>
</tr>
<tr>
<td>Previous form was a 3618. A 3618 discharge or 3619 admission (as appropriate) must be submitted before a 3619 discharge can be submitted.</td>
<td>Rejection of 3619 Discharge following a 3618. 3619 discharge received following a 3618 (regardless of contract on form).</td>
<td>Submit either a 3618 discharge or 3619 admission (as appropriate) prior to this 3619 Discharge.</td>
</tr>
<tr>
<td>Date of Above Transaction is over one year old, do you want to continue?</td>
<td>When submitting a form that is between one and five years old, providers will receive this warning message. The provider will have an option to select “OK” or “Cancel” before the form will continue to process.</td>
<td>If a provider submits a Date of Above Transaction that is equal to or more than five years old, the form will not be accepted onto the LTC Online Portal. Additionally, forms with a future date in the Date of Above Transaction field will not be accepted onto the LTC Online Portal.</td>
</tr>
<tr>
<td>Please provide a reason in the comments field why the Dates of Qualifying Stay for this client do not equal 20.</td>
<td>The Dates of Qualifying Stay must add up to exactly 20 non-duplicative days. If the Dates of Qualifying Stay do not equal 20, a comment is required in the Comments field.</td>
<td>Correct the Dates of Qualifying Stay to equal 20. If the dates do not equal 20 days because additional space is needed, add a comment to the form indicating that additional forms are being submitted to capture the full 20 days. If the client has a Medicare replacement policy, indicate the following information in the comments: • Medicare replacement • Name of the insurance carrier • Number of co-pay days allowed • Daily co-pay amount</td>
</tr>
</tbody>
</table>
Form and Assessment Statuses

Providers can retrieve the status of their forms and assessments by using FSI or Current Activity on the LTC Online Portal. The following are statuses that a provider may see, and their definition:

- **AI Check Inactive**: Applied Income validation attempted nightly for up to six months and failed or the request was canceled.
- **Appealed**: A resident has appealed the MN determination and the provider has provided more information for consideration. Assessment is now awaiting TMHP doctor review or a fair hearing has been requested.
- **Approved**: MN approved.
- **Awaiting LTC Medicaid Information**: MDS has been retrieved by TMHP from CMS. If LTCMI is submitted, assessment will be processed by DADS.
- **Coach Pending More Info**: DADS Provider Claims Services is reviewing.
- **Coach Review**: DADS Provider Claims Services is reviewing.
- **Corrected**: Forms are moved into a corrected status when the form is corrected by another form. View the History trail to find the original DLN. No further actions are allowed on a form or assessment with status “Corrected.”
- **Denied**: View the History trail for detailed status and information about the denial of MN.
- **Form Complete**: A previous valid PASARR Screening has been located.
- **Form Inactivated**: Assessment/form has been inactivated. No further actions allowed on the form or assessment.
- **ID Invalid**: Medicaid ID validation failed. Cannot be processed until Medicaid ID is corrected. Contact Medicaid Eligibility Worker to verify recipient’s name, Social Security number, and Medicaid ID. A new form or assessment must be submitted with correct information. The name entered must match the name shown on the recipient’s Medicaid ID card.
- **Invalid/Complete**: DADS processing deemed this form or assessment invalid. See the History trail for details.
- **Invalid Form Sequence**: Only applies for Forms 3618 and 3619. Form 3618/3619 sequence is invalid. For example - Form 3618 needs to be submitted before the MDS can be accepted.
- **ME Check Inactive**: Medicaid Eligibility validation attempted nightly for six months and failed or the request was canceled.
- **Med ID Check Inactive**: Medicaid ID validation attempted nightly for six months and failed or the request was canceled. The provider may restart the assessment once the reason for the failed validation has been resolved by the Medicaid Eligibility Worker by clicking the “Restart Form” button.
- **Medicaid ID Pending**: Medicaid ID validation is pending. Validation attempts occur nightly until deemed valid, invalid, or until six months has expired, whichever comes first. Contact the Medicaid Eligibility Worker to verify recipient’s name, Social Security number, and Medicaid ID. This status will also apply to private-pay...
residents whose assessments are successfully, but unnecessarily, submitted via LTC Online Portal. The assessment will suspend for six months; and, if the resident never applies for Medicaid, the status will be set to “Med ID Check Inactive.”

- **Out of State RN License Invalid:** The state issuing the compact license has indicated the compact RN license is invalid.
- **Overturned Doctor Review:** Assessment was denied medical necessity, and then provider supplied more information. Assessment is pending.
- **PASARR not found invalid form:** PASARR Screening is required; however, a PASARR Screening is not on the TMHP LTC Online Portal. Submit a PASARR Screening.
- **Pending Applied Income:** Applied Income validation is pending. Validation attempts occur nightly until applied income is found, request canceled, or until six months has expired, whichever comes first. Contact the Medicaid Eligibility Worker.
- **Pending Denial (need more information):** TMHP nurse did not find the assessment to qualify for Medical Necessity. Provider has 21 calendar days to submit additional information for consideration.
- **Pending Doctor Review:** MN determination is pending TMHP Doctor Review.
- **Pending Medicaid Eligibility:** Medicaid Eligibility validation is pending. Validation attempts occur nightly until eligibility is found, the request is canceled, or until six months has expired, whichever comes first.
- **Pending More Info:** DADS Provider Claims Services needs more information from the provider. See the History trail for further details on information required.
- **Pending Review:** MN determination is pending TMHP nurse review because the assessment was not approved through the automated MN determination process.
- **Pending RN License Verification:** RN License number is pending verification from the Texas BON or the licensing state from which the compact license was issued.
- **Processed/Complete:** Form or assessment has been processed and complete. Please check MESAV.
- **Provider Action Required:** Form or assessment needs to be reviewed by the provider due to the form or assessment being rejected by SAS. Refer to the form or assessment History trail for specific error message.
- **SAS Request Pending:** Form or assessment has passed all validations (Medicaid ID, Medicaid Eligibility, Applied Income, etc.) and will be sent from TMHP to DADS for processing. Please allow two to four business days for the next status change.
- **Submitted to manual workflow:** Form or assessment needs to be reviewed by DADS Provider Claims Services due to the form or assessment being rejected by SAS. Refer to the form or assessment History trail for additional information.
- **Waiting for PASARR Verification:** MDS Admission assessment indicates a PASARR Screening is required. PASARR Screening is required; however, an MN-approved PASARR Screening is not on the TMHP LTC Online Portal. Providers should click the “Check for PASARR” button after the PASARR screening has been submitted and approved.
Nonemergency Ambulance

If you need to transport a Medicaid recipient by ambulance for a doctor appointment or other nonemergency reason, here are some important things to know:

**Prior Authorization Requirements**

The NF is responsible for providing routine nonemergency transportation for services not provided in the NF. The cost of such transportation is included in the NF vendor rate. Transports of NF residents for rehabilitative treatment (e.g., physical therapy) to outpatient departments or physicians’ offices for recertification examinations for NF care are not reimbursable ambulance services.

Nonemergency ambulance services are a benefit for the transport of a Texas Medicaid resident whose medical condition is such that the use of an ambulance is the only appropriate means of transportation (i.e., alternate means of transportation are medically contra-indicated).

According to Human Resource Code (HRC) §32.024 (t), a Medicaid-enrolled physician, nursing facility, health-care provider, or other responsible party is required to obtain authorization before an ambulance is used to transport a resident in circumstances not involving an emergency.

Facilities and other providers must request and obtain prior authorization before contacting the ambulance provider for nonemergency ambulance services. The HRC states that a provider who is denied payment for nonemergency ambulance transport may be entitled to payment from the nursing facility, health-care provider, or other responsible party that requested the service if payment under the Medical Assistance Program is denied because of lack of prior authorization and the provider submits a copy of the bill for which payment was denied.

Ambulance providers may file complaints with DADS Complaint Hotline at 1-800-458-9858. The complaint will be referred to DADS Regulatory Services Department for review. Should DADS Regulatory Services confirm that the nursing facility failed to properly obtain prior authorization and then subsequently failed to properly reimburse the ambulance provider, the nursing facility will be cited for non-compliance with §19.2320 and a plan of correction will be necessitated (Nursing facilities can also reference DADS Information Letter 06-83, found at: www.dads.state.tx.us/providers/communications/2006/letters/IL2006-83.pdf, for additional information).


To avoid liability for requested nonemergency ambulance transports, be sure to follow Medicaid’s requirements for prior authorization.

TMHP responds to nonemergency transport prior authorization requests within 48 hours of receipt of the request. It is recommended that all requests for a prior authorization number (PAN) be submitted in sufficient time to
allow TMHP to issue the PAN before the date of the requested transport. If the resident’s medical condition is not appropriate for transport by ambulance, nonemergency ambulance services are not a benefit. Prior authorization is a condition for reimbursement for the ambulance provider but is not a guarantee of payment. The resident and the ambulance provider must meet all of the Medicaid requirements, such as resident eligibility and claim filing deadlines.

These prior authorization requirements also apply to Medicaid residents who are enrolled in the Medicaid PCCM Program. Services for Medicaid residents enrolled in one of the Medicaid Managed Care Health Maintenance Organization (HMO) plans must follow the requirements of the managed care plan.

The TMHP Ambulance Unit reviews the prior authorization requests to determine whether the resident’s medical condition is appropriate for transport by ambulance. Incomplete information may cause the request to be denied.

The following information assists TMHP in determining the appropriateness of the transport:

• An explanation of the resident’s physical condition that establishes the medical necessity for transport. The explanation must clearly state the resident’s conditions requiring transport by ambulance.
• The necessary equipment, treatment, or personnel used during the transport.
• The origination and destination points of the resident’s transport.

Nonemergency Prior Authorization Process

Medicaid providers may request prior authorization using one of the following methods:

• The resident’s physician, NF, ICF/MR, health-care provider, or other responsible party completes the online prior authorization request on the TMHP website at www.tmhp.com.
• Providers may fax the Nonemergency Ambulance Prior Authorization Request form to the TMHP Ambulance Unit at 1-512-514-4205. The completed forms and any supporting documentation must be sent with the request before the resident is transported to the medical appointment. This form is available on the TMHP website at www.tmhp.com. Documentation requirements for a request are outlined in the “Supporting Documentation” section.
• To request prior authorization, providers may call TMHP at 1-800-540-0694 between the hours of 7 a.m. and 7 p.m., Central Time, Monday through Friday. Prior authorization request periods are as follows:

Refer to: “Prior Authorization Requests Through the TMHP Website” in the 2010 Texas Medicaid Provider Procedures Manual, in the Ambulance Services Handbook, (Volume 2, Provider Handbooks) section 2.2.2 “Nonemergency Ambulance Services.” The manual contains additional information, including mandatory documentation requirements and retention. Providers may also call the TMHP Contact Center at 1-800-925-9126 for additional information.

TMHP reviews all of the documentation it receives. An online prior authorization request submitted on the TMHP website at www.tmhp.com receives an online approval or denial. Alternately, a letter of approval or denial is faxed to the requesting provider. The resident is notified by mail if the request is denied or downgraded. Reasons for denial include documentation that does not meet the criteria of a medical condition that is appropriate for transport by ambulance, or that the resident is not Medicaid-eligible for the dates of services requested, or the request is submitted after the transport has been provided. Residents may appeal prior authorization request denials by contacting TMHP Client Notification at 1-800-414-3406.

The requesting NF or other requesting provider contacts the transporting ambulance provider and supplies the ambulance provider with the PAN and the dates of service that were approved.

Requesting providers are not required to fax medical documentation to TMHP; however, in certain circumstances TMHP may request supporting documentation. Incomplete online or faxed request forms are not considered a valid authorization request and are returned as a denial.
Nonemergency Prior Authorization and Retroactive Eligibility

If a resident’s Medicaid eligibility is pending, a PAN must be requested before a nonemergency transport. This request will be initially denied due to Medicaid eligibility. When Medicaid eligibility is established, the requestor has 95 days from the date that the eligibility was added to TMHP’s files to contact the TMHP Ambulance Unit and request that the authorization be reconsidered. Once the authorization is approved, the requesting NF or other requesting provider contacts the ambulance provider and supplies the PAN and the dates of service that were approved. The ambulance provider can then submit a claim to TMHP.

Prior Authorization Types and Definitions

One-Time

One-time prior authorization is a non-repeating request for prior authorization and must be submitted on the Nonemergency Ambulance Prior Authorization Request form. The authorization period for a one-time request is 1 day. The physician’s signature is not required for one-time, non-repeating requests.

Short Term

Short-term prior authorization requests are made for a resident whose medical condition is such that use of an ambulance is the only appropriate means of transport. The authorization period for a short-term request is from 2 to 60 days. If the resident already has a valid short-term or long-term PAN, the PAN may be used for the ambulance transport.

Long Term

A 180-day prior authorization is issued to a resident and is granted within 24 hours of the time received, excluding weekends and holidays, for the authorization of nonemergency ambulance services. The request will be effective for a period of 180 days from the date of issuance. Authorizations for 180-day periods will only be issued if the resident meets MN.

Supporting Documentation

Supporting documentation is required to be maintained by both the ambulance provider and the requesting provider including an NF, physician, health-care provider, or other responsible party.

An ambulance provider is required to maintain documentation that represents the resident’s medical condition(s) and other clinical information to substantiate MN and the level of service and mode of transportation requested. This supporting documentation is limited to documents developed or maintained by the ambulance provider.

Nursing facilities, physicians, health-care providers, or other responsible parties are required to maintain physician orders related to requests for prior authorization of nonemergency and out-of-state ambulance services. These providers must also maintain documentation of medical necessity for the ambulance transport.

Appeals

A denial of a prior authorization request may be appealed. Residents may appeal prior authorization request denials by contacting TMHP Client Notification at 1-800-414-3406.
RUG Training Requirements

RUG training is intended for long term care nurses. RUG training is designed to provide providers the requirements for completing RUG fields in assessments for Texas Medicaid payment.

Texas State University, in cooperation with the HHSC Office of Inspector General (OIG) has made this training available through the Office of Continuing Education’s online course program.

To register for the RUG training, or for more information visit:
www.txstate.edu/continuinged/professional-development/PD-Online/RUG-Training.html

RUG training is valid for two years, then it must be renewed by completing the online RUG training via Texas State University.

RUG training is required for RNs who sign assessments as complete.

RUG training can take two to seven working days (M-F, 8-5) to process and report completions of RUG training to TMHP, depending on current volume of enrollments and completions.

Note: RUG training is valid for a period of two years. The implementation of the MDS 3.0 assessment did not impact the expiration date of your RUG training certificate.
Reminders

• LTC Online Portal has 24/7 availability to submit and track forms and assessments.
• Ensure all MDS assessment submissions include an accurate Medicaid ID to assist with eligibility validation.
• A current Admission 3618 or 3619 form must be available on the LTC Online Portal with TMHP to complete the MDS LTCMI.
• Submit a 3618/3619 Admission on the LTC Online Portal prior to completing the LTCMI. The LTC Online Portal validates that an active admission is on the LTC Online Portal to allow the provider to complete the MDS LTCMI information on an assessment.
• MDS 3.0 submissions to CMS are retrieved nightly by TMHP. Once retrieved, MDS 3.0 assessments are loaded onto the LTC Online Portal.
• MDS 2.0 and 3.0 submissions must meet the following criteria before being loaded onto the LTC Online Portal:
  – A valid Medicaid number or a + must be entered into field 2.0: AA7 or 3.0: A0700.
  – The Reason for Assessment must be one of the following:
    › Admission assessment
    › Quarterly review assessment
    › Annual assessment
    › Significant change in status assessment
    › Significant correction to prior comprehensive assessment
    › Significant correction to prior quarterly assessment
  – A valid NPI must be entered in field 2.0: W1 or 3.0: A0100A.
• Providers should allow 24 to 48 hours prior to using FSI or Current Activity for MDS 3.0 assessments submitted to CMS.
• All RN and MD/DO licenses are validated against the appropriate licensing state board.
• All RN licenses are validated against the appropriate Texas State University RUG Training database for successful submission.
• Access your forms and assessments using Form Status Inquiry or Current Activity.
• Print and sign forms and assessments prior to submission.
• Original submissions of the LTCMI section of MDS 2.0 assessments will be accepted on the LTC Online Portal until April 1, 2012.
• Corrections to the LTCMI section of MDS 2.0 Comprehensive or Quarterly assessments will be accepted on the LTC Online Portal until October 1, 2012.
Submit additional information within 21 calendar days on the LTC Online Portal when the assessment is set to status “Pending Denial (need more information)” or call TMHP at 1-800-626-4117, Option 2.

Use the TMHP website to access training materials and other resources. The TMHP website is available at: www.tmhp.com/Pages/LTC/ltc_home.aspx.

This User Guide can be found under the Help link located on the blue navigational bar within the LTC Online Portal.
Preventing Medicaid Waste, Abuse, and Fraud

Medicaid fraud: “An intentional deceit or misrepresentation made by a person with the knowledge that deception could result in some unauthorized benefit to himself or some other person. It includes any act that constitutes fraud under applicable federal or state law.”

How to Report Waste, Abuse, and Fraud

Reports may be made through the following website: https://oig.hhsc.state.tx.us/. This website also gives instructions on how to submit a report, as well as how to submit additional documentation that cannot be transmitted over the Internet. The website also provides information on the types of waste, abuse, and fraud to report to OIG.

If you are not sure if an action is waste, abuse, or fraud of Texas Medicaid, report it to OIG and let the investigators decide. If you are uncomfortable about submitting a report online, there is a telephone number for Recipient Fraud and Abuse reporting 1-800-436-6184.
HIPAA Guidelines and Provider Responsibilities

Providers must comply with the Health Insurance Portability and Accountability Act (HIPAA). It is YOUR responsibility to comply with HIPAA, to seek legal representation when needed, and to consult the manuals or speak to your TMHP Provider Representative when you have questions.
Resource Information

Types of Calls to Refer to TMHP

Call TMHP at 1-800-626-4117, Option 1, about the following:

- NF forms completion – including PASARR Screening.
- Rejection codes on the forms.
- Management of the “Provider Action Required” status.
- If the Medicaid, Social Security, or Medicare number and the name match the recipient’s Medicaid ID card and the form is set to status “ID Invalid,” call TMHP to have the form restarted through the system.

Types of Calls to Refer to DADS PCS

Call DADS PCS at 1-512-438-2200, Option 1, about the following:

- Denials or pending denials of residents who have established prior permanent medical necessity, after verifying MDS 2.0: AA7 or MDS 3.0: A0700, Medicaid Number, contains a nine-digit numeric rather than “+” or “N.”
- A 3618/3619 admission submitted under the wrong contract that process must have a counteracting discharge submitted and the provider must call to request that DADS PCS set the incorrect form to status “Invalid/Complete.” A third form for the same Date of Above Transaction cannot be submitted until the forms with the incorrect contract have been set to status “Invalid/Complete.”

Helpful Telephone Numbers

Texas Medicaid & Healthcare Partnership (TMHP)
General Customer Service .............................................................................................................1-800-925-9126
Long Term Care (LTC) Department..........................................................................................1-800-727-5436 / 1-800-626-4117
- General Inquiries, MDS not in the LTC Online Portal, LTCMI questions, Claim Forms, Claim Submission, R&S Report ......................................................................................Option 1
- Medical Necessity ....................................................................................................................Option 2
- Technical Support ..................................................................................................................Option 3
- Audio Message for Paper Submissions ..................................................................................Option 4
- Fair Hearing ............................................................................................................................Option 5
LTC Department (fax) .............................................................................................................1-512-514-4223
Medicaid Hotline ...................................................................................................................1-800-252-8263
Department of Aging and Disability Services (DADS) ......................................................... 1-512-438-3011
Provider Claims ......................................................................................................................... 1-512-438-2200
   NF and Hospice (Client Service authorizations, MESAV updates and unable to determine Rate Key issues) ......................................................................................... Option 1
   Personal Needs Allowance Payments (PNA) ........................................................................ Option 2
   Deductions and Holds ........................................................................................................... Option 3
   Third Party Recovery ............................................................................................................ Option 4
   Home Community Services ................................................................................................. Option 5
   TX Home Living .................................................................................................................... Option 5
   Rehabilitative and Specialized Services ............................................................................... Option 6
   NF Dental/Rehab Services .................................................................................................... Option 6
Community Services Contracts Unit Support ........................................................................... 1-512-438-2080
Community Services Contracts Voice Mail (Contract Applications, Reenrollments and Reporting Changes, such as address and telephone number) .................. 1-512-438-3550
Consumer Rights & Services Hotline .................................................................................... 1-800-436-6184
   Complaint for LTC Facility/Agency ...................................................................................... Option 2
   Information About a Facility ................................................................................................. Option 4
   Provider Self-Reported Incidents ........................................................................................ Option 5
   Survey Documents/DADS literature ..................................................................................... Option 6
Criminal History Checks ......................................................................................................... 1-512-438-2363
Facility Licensure/Certification (Reporting Changes, such as Service Area and Medical Director) ................................................................. 1-512-438-2630
Home and Community Support Services Unit (Hospice Regulatory Requirements) ............ 1-512-438-3161
Hospice Policy (Medicaid, Program Support, and Special Services Unit) .................................. 1-512-438-3519
Institutional Services Contracting .......................................................................................... 1-512-438-2546
Medication Aide Program ........................................................................................................ 1-512-231-5800
Nurse Aide Registry .................................................................................................................. 1-512-438-2630
   Nurse Aide Training ............................................................................................................. 1-512-231-5800
NF Administrator Program ...................................................................................................... 1-512-231-5800
NF Policy .................................................................................................................................. 1-512-438-3161
PASARR Screening Policy Questions ...................................................................................... 1-512-438-4481
Regulatory Services ............................................................................................................... 1-512-438-2625

Health and Human Services (HHSC)
HHSC Ombudsman Office Medicaid Benefits .......................................................................... 1-877-787-8999
Medicaid Fraud ...................................................................................................................... 1-800-436-6184
Rate Analysis ........................................................................................................................... 1-512-491-1376
Resource Utilization Groups (RUGs) Information
   Nurse Specialist (Corrective Action & RUGs) ..................................................................... 1-512-491-2072
   Purpose Code X Questions ................................................................................................. 1-512-491-2025
   Texas State University RUG Training Information ............................................................ 1-512-245-7118
   Texas State University Training Online Course Questions .............................................. 1-512-245-7118
Vendor Drug .............................................................................................................................. 1-800-252-8263
Informational Websites

Texas Medicaid & Healthcare Partnership (TMHP): [www.tmhp.com](http://www.tmhp.com)

- HIPAA information: [www.tmhp.com/Pages/TMHP/TMHP_HIPAA.aspx](http://www.tmhp.com/Pages/TMHP/TMHP_HIPAA.aspx)
- Long Term Care Division: [www.tmhp.com/Pages/LTC/Ltc_home.aspx](http://www.tmhp.com/Pages/LTC/Ltc_home.aspx)
- NF LTCMI and PASARR information is also available at: [www.tmhp.com/Pages/LTC/Ltc_home.aspx](http://www.tmhp.com/Pages/LTC/Ltc_home.aspx)

**Note:** Instructions for providers on how to access clarification notices posted on LTC TMHP website: [www.tmhp.com/Pages/LTC/Ltc_home.aspx](http://www.tmhp.com/Pages/LTC/Ltc_home.aspx)

Texas Department of Aging and Disability Services (DADS): [www.dads.state.tx.us/](http://www.dads.state.tx.us/)

All DADS provider information can be found at [www.dads.state.tx.us/providers/index.cfm](http://www.dads.state.tx.us/providers/index.cfm). Please choose your particular provider type for available online resources:

- Assisted Living: [www.dads.state.tx.us/providers/alf/index.cfm](http://www.dads.state.tx.us/providers/alf/index.cfm)
- Consumer Rights and Services (includes information about how to make a complaint): [www.dads.state.tx.us/services/crs/index.html](http://www.dads.state.tx.us/services/crs/index.html)
- Nursing Facility: [www.dads.state.tx.us/providers/nf/index.cfm](http://www.dads.state.tx.us/providers/nf/index.cfm)
- Nursing Facility MDS Coordinator Support Site: [http://qmweb.dads.state.tx.us/mdsweb/#ovr](http://qmweb.dads.state.tx.us/mdsweb/#ovr)
- PASARR: [www.dads.state.tx.us/providers/pasarr/index.html](http://www.dads.state.tx.us/providers/pasarr/index.html)
- DADS Provider Claims Services: [https://hhsportal.hhs.state.tx.us/wps/portal](https://hhsportal.hhs.state.tx.us/wps/portal)
- Provider Letters: [www.dads.state.tx.us/providers/communications/letters.cfm](http://www.dads.state.tx.us/providers/communications/letters.cfm)
- See the page for your particular provider type at [www.dads.state.tx.us/providers/index.cfm](http://www.dads.state.tx.us/providers/index.cfm)


- HHSC Regions: [www.hhsc.state.tx.us/research/dssi/brt/IM0.pdf](http://www.hhsc.state.tx.us/research/dssi/brt/IM0.pdf)
- Vendor Drug Program: [www.hhsc.state.tx.us/hcf/vdp/vdpstart.html](http://www.hhsc.state.tx.us/hcf/vdp/vdpstart.html)
Other

• Centers for Medicare & Medicaid Services: [www.cms.gov](http://www.cms.gov)
• Department of State Health Services: [www.dshs.state.tx.us](http://www.dshs.state.tx.us)
• National Provider Identifier (NPI):
  – To obtain: [https://nppes.cms.hhs.gov/NPPES](https://nppes.cms.hhs.gov/NPPES)
  – Inform DADS: [www.dads.state.tx.us/providers/hipaa/forms.html](http://www.dads.state.tx.us/providers/hipaa/forms.html)
• Texas Administrative Code: [www.sos.state.tx.us/tac/index.shtml](http://www.sos.state.tx.us/tac/index.shtml)
• Texas State RUG Training: [www.txstate.edu/continuinged/professional-development/PD-Online/RUG-Training.html](http://www.txstate.edu/continuinged/professional-development/PD-Online/RUG-Training.html)
Minimum Data Set (MDS) Quick Reference Guide

MDS Telephone Numbers

AT&T Global Dialer Help Desk ................................................................. 1-800-905-2069
MDS Technical/Report Questions .......................................................... 1-512-438-2396
MDS Clinical Questions/Training ......................................................... 1-210-619-8010
MDS/RAP/Care Plan Training ............................................................... 1-512-458-1257 / 1-512-467-2242
CASPER QM/QI Clinical Questions ...................................................... 1-512-438-2396
CASPER QM/QI Report Questions ...................................................... 1-210-619-8010
jRAVEN Help Desk ............................................................................ 1-800-339-9313
Swing Bed Automation/Technical ....................................................... 1-800-339-9313
Swing Bed Clinical MDS .................................................................... 1-210-619-8010

MDS Informational Websites

- For AT&T Global Dialer, see: AT&T Global Dialer Requirements
  - For jRAVEN Download, see: jRAVEN
  - For validation report messages and descriptions, see: MDS
- Federal MDS 2.0 site: www.cms.gov/NursingHomeQualityInits/20_NHQIMDS20.asp
- MDS Software Specifications: www.cms.gov/MDS20SW Specs
- MDS/RAP/Care Planning Training: www.tahsa.org
- MDS/RAP/Care Planning Training: www.txhca.org
- jRAVEN 2.0: www.cms.gov/MinimumDataSets20/07_RAVENSoftware.asp
- jRAVEN 3.0: (not yet published by CMS)
LTC Nursing Facility/Hospice User Guide

- State MDS Policy: [www.dads.state.tx.us/providers/mds/index.cfm](http://www.dads.state.tx.us/providers/mds/index.cfm)
- State MDS Clinical Web Page/The MDS Mentor: [www.dads.state.tx.us/providers/MDS/](http://www.dads.state.tx.us/providers/MDS/)
- NF MDS Coordinator Support Site: [www.dads.state.tx.us/providers/MDS/](http://www.dads.state.tx.us/providers/MDS/)
Acronyms

- **A0310A.**: Reason for Assessment (MDS 3.0).
- **A1600.**: Entry Date (MDS 3.0).
- **AB1.**: Date of Entry (MDS 2.0).
- **AA8a.**: Reason for Assessment (MDS 2.0).
- **ARD**: Assessment Reference Date.
- **BON**: Texas Board of Nursing.
- **CHOW**: Change of Ownership.
- **CMS**: Centers for Medicare & Medicaid Services.
- **CPR**: Cardiopulmonary Resuscitation.
- **CVC**: Central Venous Catheter.
- **DADS**: Texas Department of Aging and Disability Services.
- **DLN**: Document Locator Number.
- **DON**: Director of Nurses.
- **EDI**: Electronic Data Interchange.
- **EMS**: Emergency Medical Services.
- **ER**: Emergency Room.
- **FSI**: Form Status Inquiry.
- **HHSC**: Texas Health and Human Services Commission.
- **HIPAA**: The Health Insurance Portability and Accountability Act.
- **HMO**: Health Maintenance Organization.
- **HRC**: Human Resource Code.
- **ICF/MR**: Intermediate Care Facility for Persons with Intellectual Disabilities.
- **IDD**: Intellectual and Developmental Disabilities.
- **LAR**: Legally Authorized Representative.
- **Late Assessment**: An assessment received on day 123 is considered late. The previous RUG for that resident has expired as of day 123.
- **LTC**: Long Term Care.
- **LTCMI**: Long Term Care Medicaid Information. Is the replacement for the federal MDS Section S and contains items for Medicaid state payment. Once your MDS assessments have been transmitted to CMS, TMHP will retrieve all assessments that meet the retrieval criteria and assign a DLN. The assessment will be set to “Awaiting LTC Medicaid Information” status.
• **MDS**: Minimum Data Set.
• **MEPD**: Medicaid for the Elderly and People with Disabilities.
• **MESAV**: Medicaid Eligibility Service Authorization Verifications.
• **Missed Assessment**: Missed assessment is an assessment not submitted within the Anticipated Quarter or within 92 days of the dates that the assessment covers. The Anticipated Quarter is defined as the 92-day anticipated MDS assessment quarter following the 92-day span of the current MDS assessment.
• **MN**: Medical Necessity.
• **NPI**: National Provider Identifier.
• **OES**: Office of Eligibility Services.
• **OIG**: HHSC Office of Inspector General.
• **PAN**: Prior Authorization Number.
• **PC**: Purpose Code.
• **PCCM**: Primary Care Case Management.
• **PDF**: Portable Document Format.
• **Preadmission Screening and Resident Review (PASARR)**: Is based on a revised MDS quarterly with additional state specific information. The screening must be submitted to TMHP via the LTC Online Portal for all individuals with mental illness (MI), intellectual disabilities (ID), or developmental disabilities (DD) prior to admission.
• **PICC**: Peripherally Inserted Central Catheter.
• **PMN**: Permanent MN.
• **PNA**: Personal Needs Allowance Payments.
• **PRN**: Pro Re Nada or “as needed.”
• **QTSO**: QIES Technical Support Office.
• **R2b.**: Date RN Assessment Coordinator signed as complete (MDS 2.0).
• **RA**: Route of Administration.
• **RAI**: Resident Assessment Instrument - includes instructions as to how to complete the MDS assessment.
• **Resident Assessment Validation and Entry (RAVEN)**: Free MDS data entry software that offers users the ability to enter and transmit assessments to CMS. The Centers for Medicare & Medicaid Services (CMS) provides this free MDS data entry software. Provides can download the free software at the federal CMS website indicated on the slide.
• **RN**: Registered Nurse.
• **RUG**: Resource Utilization Groups.
• **SCSA**: Significant Change in Status Assessment.
• **SNF**: Skilled Nursing Facility.
• **SSI**: Supplemental Security Income.
• **TMHP**: Texas Medicaid & Healthcare Partnership.
• **TAC**: Texas Administrative Code.
• **Z0500B.**: Date RN Assessment Coordinator signed as complete (MDS 3.0).
Medicaid Eligibility Verification – Resident with Medicaid Eligibility

Does not apply to PASARR

ID Invalid

ID Invalid

Request/Validate Medicaid ID

ID Confirmed

Request/Validate Medicaid Eligibility (ME)

ME Confirmed

Resident may not have correct NF coverage. If no, new application needs to be filed.

SAS Request Pending

Al Confirmed

Request/Validate Applied Income Check

Pending AI

Al Confirmed

If resident is ME certified after 6 months, NF can reactivate assessment by clicking on the “Reactivate form” button.

Medicaid ID

Pending ME

ME Check Inactive

AI Confirmed

Pending AI

AI Check Inactive

Provider Reactivates

Provider Reactivates

Provider Reactivates

Provider Reactivates

Provider Reactivates
Medicaid Eligibility Verification – Resident with Pending Medicaid Eligibility

Does not apply to PASARR

**Non-TIERS:** If no AI, contact ME worker

**TIERS:** If no AI, contact PCS. If in SAS but not MESAV, contact TMHP to restart form.

(Note: If resident does not have correct NF coverage, AI will not be available, new application needs to be filed.)

**If resident is ME certified after 6 months, NF can reactivate assessment by clicking on the “Reactivate form” button.**
Appendix C: LTC Online Portal Review

Answer the following questions:

1. Name 3 benefits of using the LTC Online Portal.
   1) __________________________________________
   2) __________________________________________
   3) __________________________________________

2. In order to access the LTC Online Portal, what is the first step that you must take?
   __________________________________________
   __________________________________________

3. Under what condition would you see the yellow Form Actions bar?
   __________________________________________
   __________________________________________

4. How can you tell if a field is required?
   __________________________________________
   __________________________________________
Review Answers

1. Name 3 benefits of using the LTC Online Portal.
   – The LTC Online Portal is a web-based application that is available 24 hours a day, 7 days a week.
   – TMHP provides LTC Online Portal technical support by phone 7:00 a.m. - 7:00 p.m., Central Time, Monday through Friday-excluding holidays.
   – The LTC Online Portal provides error messages that must be resolved before submission.
   – Providers have the ability to monitor the status of their forms and assessments and to submit additional information when needed.

2. In order to access the LTC Online Portal, what is the first step that you must take?
   – Set up an administrator account or a user account if an administrator account is already available to the facility.

3. Under what condition would you see the yellow Form Actions bar?
   – When an individual form or assessment is being viewed in detail.

4. How can you tell if a field is required?
   – When it is marked with a red dot on the screen. When an error message is returned after you have submitted the form.
Appendix D: Pending Denial Review

Answer the following questions:

1. What are the two ways that a provider can get additional information to the TMHP RN when Medical Necessity is pending denial?
   1) ____________________________________________________________________________________
   2) ____________________________________________________________________________________

2. If the TMHP RN is unable to determine a reason for medical necessity or the assessment is set to status “Pending Denial (need more information),” how many days will it remain in this status?
   ____________________________________________________________________________________
   ____________________________________________________________________________________
   ____________________________________________________________________________________

3. What happens to the assessment if additional information is not provided within 21 calendar days of being set to status “Pending Denial (need more information)?”
   ____________________________________________________________________________________
   ____________________________________________________________________________________
   ____________________________________________________________________________________
Review Answers

1. What are the two ways that a provider can get additional information to the TMHP RN when Medical Necessity is pending denial?
   
   1) Calling and speaking with a TMHP nurse.
   
   2) Adding information through the “Add Note” feature.

2. If the TMHP RN is unable to determine a reason for medical necessity or the assessment is set to status “Pending Denial (need more information),” how many days will it remain in this status?

   – For up to 21 calendar days or the TMHP RN has been notified - whichever is sooner.

3. What happens to the assessment if additional information is not provided within 21 calendar days of being set to status “Pending Denial (need more information)?”

   – It is sent to the TMHP physician for review.
Appendix E: LTC Word Search

Long Term Care Nursing Facility/Hospice Workshop

Word Search

Use this activity to reinforce the terminology learned throughout this workshop.

**N T F J K H I R G N Z N O I U M P F J D**
**T O R I I P M R O Z O A N J L Z L G V B**
**N R I I P X U A E I Q A G R Z Z G W A H**
**E Q F T O N O S T L C S T A T U S Y N D**
**M N U G C Y G A V T Q V S I R K H B M I**
**S E V A Z E D P I K Q X Y I S I Y L E A**
**S C C Y R I R V N O I T A C I F I D O M**
**E E I U L T A R E C I P S O H G I T V M**
**S S V A A T E C O K U Y F Z W R G G Z N**
**S S V F I W U R W C A D M I S S I O N Q**
**A I N O E G L O L D R L H Q I I S N O O**
**U T N G R A R K A Y R K T W O U V N L K**
**H Y N N U K D K J R W A P C E C O I R F**
**M A N N F A D T K Q Z P Y W M I X E N M**
**H P N L U H F Y N U W Y T T J I V T Z L**
**L A O E V U Z B W W H W S S S R H E K V**
**E W L D L G T W E J S E A T X A N G R P**
**H S Z U C U T X Q Q C N F X I Q M G A T**
**A P O R X A B C B Q O B D S H J K N Q C**
**B F W Y M I P Y B C C E D T T N T M G N**

**ADM SSI ON**  **I NACTI VATI ON**  **QUARTERLY**
**ANNUAL**  **LTCM**  **REVI EW**
**ASSESSMENT**  **MODI FI CATI ON**  **STATUS**
**CORRECTION**  **NECESSI TY**  **VALI DATI ON**
**HOSPI CE**  **PASARR**  **WORKFLOW**
Solution

N T F J K H I R G N Z N O I U M P F J D
T O R I I P M R O Z Q A N J L Z L G V B
N R I I P X U A E I Q A G R Z Z G W A H
E Q F T O N O S T L C S T A T U S Y N D
M N U G C Y G A V T Q V S I R K H B M I
S E V A Z E D P I K Q X Y I S I Y L E A
S C Y R I R V N O I T A C I F I D O M
E E I U L T A R E C I P S O H G I T V M
S S V A A T E C O K U Y F Z W R G G Z N
S S V F I W U R W C A D M I S S I O N Q
A I N O E G L O L D R I L H Q I I S N O O
U T N G R A R K A Y R K T W O U V N L K
H Y N N U K D K J R W A P C E C O I R F
M A N N F A D T K Q Z P Y W M I X E N M
H P N L U H F Y N U W Y T T J I V T Z L
L A O E V U Z B W W H W S S S R H E K V
E W L D L G T W E J S E A T X A N G R P
H S Z U C U T X Q Q C N F X I Q M G A T
A P O R X A B C B Q O B D S H J K N Q C
B F W Y M I P Y B C C E D T T N T M G N
Appendix F: LTC Jumble

Long Term Care Nursing Facility/Hospice Workshop

Jumble

Use this activity to reinforce the terminology learned throughout this workshop.

Unscramble each of the clue words.

Take the letters that appear in ☐ boxes and unscramble them for the final message.

VSRPREIDO
TESMESSASN
IIMEDDAC
PIYHANCIS
WOOFRWLK
TOLRPA
IPHAA
DSDA
PTHM
RAPRAS
SINSOADIM
SERDEITN
PEOCISH
TMCIL

☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
Answers
PROVIDERS, ASSESSMENT, MEDICAID, PHYSICIAN, WORKFLOW, PORTAL, HIPAA, DADS, TMHP, PASARR, ADMISSION, RESIDENT, HOSPICE, LTCMI

Final Message
ELIGIBILITY
Addendum
Provider Workflow Rejection Messages

Beginning May 27, 2011, new messages will be available for providers when managing forms and assessments using the Long Term Care (LTC) Online Portal. The messages and detailed instructions in this document are intended to assist the provider with a better understanding of how to handle forms or assessments in the Provider Action Required Workflow. This addendum will replace the Provider Workflow Rejection Messages section of your Long Term Care Nursing Facility/Hospice Workshop User Guide.

General Instructions

1. Review the effective date on the form or assessment to ensure it is correct. For Forms 3618 and 3619, the effective date is the Date of Above Transaction. For Minimum Data Set (MDS) Admission assessments, the effective date is the MDS Entry Date (AB1 or A1600 Date). For all other MDS assessments, the effective date is the MDS assessment Completion Date (R2b or Z0500B Date).
   - If the effective date is incorrect, take the appropriate action to correct the form or assessment.
     ‣ Form 3618 or 3619: Correct the form on the LTC Online Portal and submit.
     ‣ MDS: Correct the assessment by following the guidelines in the Resident Assessment Instrument (RAI) User’s Manual and submit the modified MDS to the federal Centers for Medicare & Medicaid Services (CMS) database, then complete the Long Term Care Medicaid Information (LTCMI) section on the LTC Online Portal.
   - If the effective date is correct, continue to step 2.

2. If a Form 3619 (admission or discharge) is rejected, and the Date of Above Transaction is prior to the most recent Service Authorization begin date on the recipient’s Medicaid Eligibility Service Authorization Verification (MESAV), contact the Texas Department of Aging and Disability Services (DADS) Provider Claims Services to request manual processing.

3. If a Form 3618 or 3619 needs to be resubmitted and is set to status “Submitted to manual workflow,” click the “Correct this form” button, add a comment (example: Resubmit), then click the “Submit Form” button.

4. If the steps above do not resolve the error message, continue on to the Specific Instructions section for the specific Provider Message displayed in the History trail of the form or assessment and its Suggested Action to correct the message.
## Specific Instructions

<table>
<thead>
<tr>
<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
</table>
| GN-9101 – GN-9105: This form cannot be processed because the client’s Applied Income is not available to DADS. Contact the HHSC Eligibility Worker to update the client’s Applied Income. Once the Applied Income has been updated, this form can be resubmitted. | 3618, 3619, MDS | The recipient’s applied income is not available to DADS.  
- Pull a MESAV for the recipient covering the date requested on the form or assessment.  
  **Note:** If the recipient does not already have Service Authorizations for your contract, this information will not be available on the MESAV.  
- If the MESAV does not show an Applied Income for the dates of the form or assessment, contact the Texas Health and Human Services Commission (HHSC) Eligibility Worker to update the Applied Income records.  
  - Once the Applied Income has been updated, resubmit the rejected form or assessment. If the recipient is already established in your facility, you may monitor the MESAV for updated Applied Income.  
- If the MESAV does show an Applied Income for the dates of the form or assessment, resubmit the rejected form or assessment. |
| GN-9106: This form cannot be processed because DADS does not have Long Term Care Financial Eligibility for this client and timeframe. Contact the HHSC Eligibility Worker or SSI office. | 3618, 3619, MDS | The recipient’s Medicaid eligibility is not available to DADS.  
- Pull a MESAV for the recipient covering the date requested on the form or assessment.  
  **Note:** If the recipient does not already have Service Authorizations for your contract, this information will not be available on the MESAV.  
- If the MESAV does not show Long Term Care Financial Eligibility for the dates of the form or assessment, contact the HHSC Eligibility Worker or Supplemental Security Income (SSI) office to update the Financial Eligibility records.  
  - Once the Financial Eligibility has been updated, resubmit the rejected form or assessment. If the recipient is already established in your facility, you may monitor the MESAV for updated Financial Eligibility.  
- If the MESAV does show Financial Eligibility for the dates of the form or assessment, resubmit the rejected form or assessment. |
| GN-9248: This form cannot be processed due to one or more invalid Diagnosis Codes. Correct the Diagnosis Codes and resubmit. | MDS | The submitted Primary Diagnosis International Classification of Diseases (ICD) Code is not valid.  
- Modify the Primary Diagnosis Code on the LTCMI section as needed and resubmit the rejected assessment.  
- If the Primary Diagnosis Code on the LTCMI section is valid, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
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</table>
| GN-9254: This form cannot be processed because the provider is not authorized to provide services on the effective date of the form. Correct the effective date as needed. For 3619 admissions, resubmit once the Medicare contract is effective in the system. | All | The provider’s contract is either not in effect as of the effective date of the form or assessment, or the provider is not authorized to bill for the type of services covered by the form or assessment.  
- Review the facility contract to determine if the contract is in effect and authorizes the type of services covered by the form or assessment.  
- If the effective date of the form or assessment is wrong, modify the form or assessment and resubmit the rejected form or assessment.  
- If the contract is not yet in effect, resubmit the rejected form or assessment once the service code is effective in the system. For 3619 admissions, resubmit the rejected form once the Medicare contract is effective in the system. |
| NF-0001: This form cannot be processed because the client’s Applied Income is not available to DADS. Contact the HHSC Eligibility Worker to update the client’s Applied Income. Once the Applied Income has been updated, this form can be resubmitted. | MDS, 3619 (Admit) | The recipient’s Applied Income is not available to DADS.  
- Pull a MESAV for the recipient covering the date requested on the form or assessment.  
  Note: If the recipient does not already have Service Authorizations for your contract, this information will not be available on the MESAV.  
- If the MESAV does not show an Applied Income for the dates of the form or assessment, contact the HHSC Eligibility Worker to update the Applied Income records.  
  – Once the Applied Income has been updated, resubmit the rejected form or assessment. If the recipient is already established in your facility, you may monitor the MESAV for updated Applied Income.  
- If the MESAV does show an Applied Income for the dates of the form or assessment, resubmit the rejected form or assessment. |
| NF-0002: This assessment cannot be processed because there is no gap in the Level records for this client, for the Purpose Code timeframe on the assessment. | MDS (Admit, Annual, Quarterly) | There is no gap in Level records for the resident during the Purpose Code timeframe.  
- Pull a MESAV for the Purpose Code timeframe requested on this assessment, and determine if the dates are reflected in the Level section of the resident’s MESAV. Validate whether a gap in coverage exists.  
- If there is a Level record with valid continuous coverage on file, a Purpose Code is not needed. Inactivate the assessment on the federal CMS database.  
- If the expected gap is not reflected on the Level record, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.  
- If the Purpose Code dates are wrong, modify the Purpose Code dates on the LTCMI and resubmit the rejected assessment. |
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| NF-0003: This assessment cannot be processed because the client does not have 3 month prior Medicaid or SSI eligibility. Contact the HHSC Eligibility Worker or SSI office. | MDS (Admit, Annual, Quarterly) | There is no “3 month prior Nursing Facility” or “prior month SSI” eligibility for the resident during the Purpose Code timeframe. One of these two specific “flavors” of eligibility is required to process an assessment with Purpose Code M.  
- Pull a MESAV for the Purpose Code timeframe requested on this assessment, and determine if a Level record is needed for the dates requested on the LTCMI. If a Level record is needed, continue with the steps below. If not, inactivate the MDS.  
- Determine if the MESAV reflects either Prior Coverage (P) or Type Program 11 in the Medicaid section of the MESAV for the dates requested on the LTCMI.  
- If the Prior Coverage (P) or Type Program 11 verified through the resident’s MESAV matches the dates requested on the LTCMI, resubmit the rejected assessment.  
- If the Prior Coverage (P) or Type Program 11 dates on the recipient’s MESAV differ from the dates requested on the LTCMI, modify the dates on the LTCMI and resubmit the rejected assessment.  
- If the resident’s MESAV does not reflect Prior Coverage (P) or Type Program 11 eligibility for the period requested, contact the HHSC Eligibility Worker or SSI office. If the resident is ineligible, change the purpose code to “E,” if a Level record is needed. |
| NF-0004: This assessment cannot be processed because the client does not have a corresponding Nursing Facility admission (missing 3618/3619). Verify that the admission 3618/3619 has been processed. | MDS | There is no 3618/3619 admission for the resident that covers one or more days of the assessment period (If the resident is a Hospice resident, a Hospice provider number should be entered on the LTCMI).  
- Review the facility’s records to determine whether the resident is considered Medicare or Medicaid and what is the admission date to your facility.  
- Review the LTC Online Portal to determine the status of the admission (3618/3619).  
- If the 3618/3619 is not processed, determine why the form rejected. Correct the current 3618/3619 admission, or inactivate the rejected form and submit a new 3618/3619 admission.  
- If the 3618/3619 is processed, compare the processed date to the rejection date of the MDS. If the admission was processed after the MDS rejected, resubmit the rejected MDS.  
- If a 3618/3619 admission has not been submitted because the resident is Hospice, review the LTCMI to verify that a Hospice contract number has been entered. If not, modify the LTCMI on the LTC Online Portal to include the Hospice contract number.  
- If the processed date on the admission is prior to the MDS rejection, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
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<tr>
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</table>
| NF-0008: This assessment cannot be processed because an assessment with the same effective date but different Reason for Assessment has already been processed. Continue to submit assessments based on the client’s MDS assessment schedule. | MDS (Quarterly) | An assessment with the same effective date and a different Assessment Reason is already on file. A Quarterly assessment cannot replace it.  
- Verify if the MDS Assessment Complete Date on the rejected assessment is correct. If not, submit a modification to the federal CMS database to correct it.  
- If the MDS Assessment Completion Date is correct, determine which Reason for Assessment is appropriate and inactivate the other MDS.  
- If the processed assessment is inactivated, the rejected assessment can be resubmitted once the inactivation is processed. If the rejected assessment is inactivated, no further actions are needed. |
| NF-0010: This assessment cannot be processed because an assessment with the same effective date has already been processed and is not a Quarterly Review Assessment. Continue to submit assessments based on the client’s MDS assessment schedule. | MDS (Significant Correction to a Prior Quarterly) | An assessment other than a Quarterly with the same effective date is already on file. A Significant Correction to a Prior Quarterly cannot replace it.  
- Verify if the MDS Assessment Completion Date on the rejected assessment is correct. If not, submit a modification to the federal CMS database to correct it.  
- If the MDS Assessment Complete Date is correct, determine which Reason for Assessment is appropriate and inactivate the other MDS. If the processed assessment is inactivated, the rejected assessment can be resubmitted once the inactivation is processed. If the rejected assessment is inactivated, no further actions are needed. |
| NF-0011: This admission cannot be processed because you have reached the limit of Swing Bed days for this client for a 12-month period. Submit an admission if the client becomes eligible to receive additional Swing Bed services. | 3618 (Admit) | The provider has reached the limit of Swing Bed days allowed for the recipient during a 12-month period.  
- Medicaid Swing Bed services are limited to 30 days per stay. Verify dates and, if the submitted date is wrong, correct the rejected admission and resubmit. |
| NF-0012: This form cannot be processed because the client is currently in Hospice. If the client is no longer enrolled in the Hospice program, contact the Hospice provider and request that they discharge the client from the program. Once the Hospice discharge is processed, resubmit your form. If the client is a Hospice recipient, inactivate your form. | 3618, 3619 | The recipient has a Service Authorization for Hospice as of the effective date of the submitted form.  
- Review the facility’s records to determine if the recipient is Hospice.  
- If the recipient is Hospice, inactivate the Nursing Facility form.  
Note: 3618/3619s should not be submitted on Hospice recipients.  
- If the recipient has requested to terminate the Hospice program, contact the Hospice provider and request that the provider submit a discharge Form 3071.  
  - If the Form 3071 has already been submitted, allow 10 days for processing before resubmitting the rejected admission.  
Note: If the form rejects again, the Hospice provider needs to follow up with DADS Provider Claims Services.  
  - If the Form 3071 has not yet been submitted, allow the time requested by the Hospice provider for processing of the Hospice discharge before resubmitting the rejected admission. |
<table>
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<tr>
<th>Provider Message (Displayed in History)</th>
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</thead>
</table>
| **NF-0013**: This admission cannot be processed because it is effective during a Service Authorization for a different provider. Correct the admission date or contact the other provider to determine proper dates. | 3618 (Admit) | The recipient has a Service Authorization for a different facility (processed admission and discharge for a different provider cover the submitted admission date).  
- Contact the prior facility to request that a correcting discharge be submitted for their discharge. If the other facility’s discharge is incorrect, allow seven days for processing time and resubmit the rejected admission.  
- If the recipient was in the other provider’s facility before and after being in your facility, the other facility must submit a retroactive discharge and admission creating a gap during which the recipient was in your facility. An admission and discharge pair will also need to process for your facility to fill the gap. Two pairs will need to be processed. Coordinate with the other facility. |
| **NF-0014**: This admission cannot be processed because an earlier admission into your facility has already been processed. Verify the discharges and admissions for this client and submit the missing discharge. Resubmit this admission once the previous discharge is submitted. | 3618 (Admit) | The recipient has a Service Authorization for the same facility (processed admission for the same provider covers the submitted admission date).  
- Review the recipient’s records to find the discharge date between the begin date of the current Service Authorization and this admission form.  
- Pull a MESAV to verify the begin date of the most recent Service Authorization.  
- Determine through the LTC Online Portal whether that discharge form has been submitted or not. Correct the discharge if it was rejected, or submit a discharge, if it was missing.  
- Resubmit the rejected admission. |
| **NF-0017**: This admission cannot be processed because a later admission has already been processed. This admission occurs in the past and must be one of a pair, which will create a separate Service Authorization. If the discharge following this admission is missing or rejected, both forms must be submitted on the same day. | 3618/3619 (Admit) | A later admission is already in the recipient’s file. This admission will have to be submitted with a matching discharge to process as a retroactive pair.  
- Review the facility’s records to determine which discharge follows this admission.  
- Pull a MESAV and review the Service Authorizations to see if a gap exists for the period that will be created by the admission and discharge pair.  
- If a gap exists, resubmit the rejected admission, then submit the following discharge. Both forms must be submitted on the same day. The system will process both forms as a pair.  
- If a gap does not exist, review the facility’s records to determine if a discharge prior to the rejected admission is reflected on the recipient’s MESAV.  
- If the discharge is not reflected on the recipient’s MESAV, submit the missing or rejected discharge, followed by the admission and discharge pair.  
- If the discharge is reflected on the recipient’s MESAV, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
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<tr>
<th>Provider Message (Displayed in History)</th>
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</thead>
<tbody>
<tr>
<td>NF-0018: This discharge cannot be proce-</td>
<td>3618 (Discharge)</td>
<td>The recipient has a Service Authorization for Medicare Part A Coinsurance as of the submitted discharge date.</td>
</tr>
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<td>sed because the client is currently ad-</td>
<td></td>
<td>• Review the facility's records to determine which admission is prior to this discharge.</td>
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<td>mitted to Medicare Part A Coinsurance</td>
<td></td>
<td>• Pull a MESAV and review the Service Authorizations to determine if Coinsurance is authorized for your facility. If so, submit a 3619 discharge to close the Coinsurance.</td>
</tr>
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<td>and does not have a corresponding Nur-</td>
<td></td>
<td>• Review the LTC Online Portal to determine the status of the prior 3618 admission. If it is rejected, verify if the issue still exists and take the necessary actions to process the admission.</td>
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<tr>
<td>sity admission (missing 3618). Verify</td>
<td></td>
<td>• Once the admission has been processed, resubmit the rejected discharge.</td>
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<td>that the admission 3618 has been pro-</td>
<td></td>
<td>• If the rejected discharge is reflected on the recipient's MESAV, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.</td>
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<td>cessed.</td>
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<tr>
<td>NF-0019: This discharge cannot be pro-</td>
<td>3618 (Discharge)</td>
<td>This admission and discharge pair is either retroactive to the current authorizations or the recipient is currently authorized at a prior facility.</td>
</tr>
<tr>
<td>cessed because the client is not ad-</td>
<td></td>
<td>• Review the facility records to identify the admission prior to this discharge.</td>
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<td>mitted into your facility. If an ad-</td>
<td></td>
<td>• If the pair is retroactive, the admission and discharge must be submitted at the same time. A gap in the Service Authorizations must exist for this time period to fill. If the recipient has been in your facility previously, you may be able confirm this gap by pulling a MESAV and verifying dates.</td>
</tr>
<tr>
<td>mission prior to this discharge is re-</td>
<td></td>
<td>• If the prior admission form was rejected, correct that form and resubmit. The admission must be processed before the discharge can process.</td>
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<td>jected, the rejected admission must be</td>
<td></td>
<td>• If the prior admission form is missing, submit that missing form, then resubmit the rejected discharge.</td>
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<td>processed first. This discharge can</td>
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<td>then be resubmitted.</td>
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<tr>
<td>NF-0020: This discharge cannot be pro-</td>
<td>3618 (Discharge)</td>
<td>This discharge is part of a retroactive pair.</td>
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<td>cessed because a later discharge has</td>
<td></td>
<td>• Review the facility records to identify the admission prior to this discharge.</td>
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<td>already been processed. This dischaf-</td>
<td></td>
<td>• Pull a MESAV and review the Service Authorizations. The discharge and admission should split one of the authorizations when these forms process.</td>
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<td>rage appears to be one of a retroactive</td>
<td></td>
<td>• The discharge and admission pair must be submitted at the same time.</td>
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<tr>
<td>pair. If an admission after this dis-</td>
<td></td>
<td>• If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate the rejected discharge and correct the transaction date of the later discharge.</td>
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<td>charge is missing or rejected, resub-</td>
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<td>mit the admission and this discharge</td>
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<td>on the same day.</td>
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<td>NF-0021: This discharge cannot be pro-</td>
<td>3618 (Discharge)</td>
<td>This discharge is part of a retroactive pair.</td>
</tr>
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<td>cessed because a later admission to</td>
<td></td>
<td>• Review the facility records to identify the admission prior to this discharge.</td>
</tr>
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<td>another provider has already been pro-</td>
<td></td>
<td>• Pull a MESAV and review the Service Authorizations. There should be no other authorization during the admission and discharge timeframe when these forms process.</td>
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<td>cessed. This discharge appears to be</td>
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<td>• The admission and discharge pair must be submitted at the same time.</td>
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<tr>
<td>one of a retroactive pair. If an ad-</td>
<td></td>
<td>• If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate the rejected discharge and correct the transaction date of the earlier discharge.</td>
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<td>mission prior to this discharge is mis-</td>
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<td>sing or rejected, resubmit the admiss-</td>
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<td>ion and this discharge on the same</td>
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<td>Provider Message (Displayed in History)</td>
<td>Form/Assessment</td>
<td>Suggested Action</td>
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<tr>
<td>NF-0022: This discharge cannot be pro-</td>
<td>3618 (Discharge)</td>
<td>The corresponding Nursing Facility admission is not in the recipient’s file.</td>
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<td>cessed because the client does not have</td>
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<td>• Review the facility’s records to determine the admission prior to this discharge.</td>
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<td>a corresponding Nursing Facility admission (missing 3618). Verify that the admission 3618 has been processed.</td>
<td></td>
<td>• Pull a MESAV and review the Service Authorizations to determine if the prior admission has processed and authorized services. If the MDS for the admission has not processed, you will not have services authorized.</td>
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<td></td>
<td></td>
<td>• If the MESAV reflects that the recipient is currently in the facility per an admission prior to the admission that corresponds with this discharge, research the recipient’s records to identify the discharge between those two admissions.</td>
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<td></td>
<td></td>
<td>– Submit that missing or rejected discharge, followed by the admission that corresponds with this rejected discharge.</td>
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<tr>
<td></td>
<td></td>
<td>– Resubmit this rejected discharge.</td>
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<td></td>
<td></td>
<td>• If this rejected discharge is reflected on the recipient’s MESAV, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.</td>
</tr>
<tr>
<td>NF-0023: This admission cannot be pro-</td>
<td>3619 (Admit)</td>
<td>The recipient has a Service Authorization for a different facility (processed admission and discharge for a different provider cover the submitted admission date).</td>
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<td>cessed because it is effective during a</td>
<td></td>
<td>• Contact the prior facility to request that a correcting discharge be submitted for their discharge. If the other facility’s discharge is incorrect, allow seven days for processing time and resubmit the rejected admission.</td>
</tr>
<tr>
<td>Service Authorization for a different provider. Correct the admission date or contact the other provider to determine proper dates.</td>
<td></td>
<td>• If the recipient was in the other provider’s facility before and after being in your facility, the other facility must submit a retroactive discharge and admission creating a gap during which the recipient was in your facility. An admission and discharge pair will also need to process for your facility to fill the gap. Two pairs will need to be processed. Coordinate with the other facility.</td>
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<td>NF-0024: This admission cannot be pro-</td>
<td>3619 (Admit)</td>
<td>The recipient has an existing Service Authorization for your</td>
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<td>cessed alone because a later admission has</td>
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<td>facility (processed admission and discharge for your facility</td>
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<td>already been processed. This admission</td>
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<td>cover the submitted admission date). This admission is miss-</td>
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<td>is part of a retroactive pair. Identify the</td>
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<td>ing one of a pair.</td>
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<td>discharge following this admission and</td>
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<td>• Review the facility’s records to determine which discharge</td>
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<td>submit as a pair.</td>
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<td>is prior to this admission.</td>
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<td>• Pull a MESAV and review the Service Authorizations to</td>
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<td>determine the authorized services.</td>
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<td>• If the recipient has a closed Service Authorization for Code</td>
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<td>3 with an end date after the rejected 3619 admission,</td>
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<td></td>
<td>the rejected 3619 is part of a retroactive pair. Determine</td>
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<td>the discharge prior to this admission and submit that</td>
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<td>discharge and the rejected admission as a pair to create a</td>
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<td>• If the recipient has a closed Service Authorization for Code</td>
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<td>1 with an end date after the rejected 3619 admission,</td>
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<td>determine if the end date is correct.</td>
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<td>– If the end date is wrong, submit a correcting 3618</td>
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<td>discharge to change the end date to be prior to the</td>
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<td>rejected 3619 admission. Once the Service Authorization</td>
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<td>ends prior to the 3619 Admission, resubmit the</td>
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<td>rejected 3619 admission.</td>
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<td>– If the end date is correct, there is a 3618 retroactive</td>
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<td>pair that needs to be processed to create a gap for the</td>
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<td>rejected 3619 admission and corresponding discharge.</td>
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<td>– Identify the 3618 discharge prior to the rejected 3619</td>
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<td>admission and the 3618 admission prior to the current</td>
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<td>end date and submit as a retroactive pair.</td>
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<td>– Once the gap has been created within the Code 1,</td>
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<td>resubmit the rejected 3619 admission with the corre-</td>
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<td>sponding 3619 discharge.</td>
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## Provider Message (Displayed in History)

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<th>Provider Message</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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</thead>
</table>
| NF-0026: This admission cannot be processed because the client is admitted to Full Medicaid as of the submitted admission date. Verify the Medicaid dates and submit the needed 3618s. Resubmit the rejected Medicare coinsurance admission once the client is discharged from Medicaid. | 3619 (Admit) | The recipient has an existing Service Authorization for Full Medicaid.  
- Review the facility’s records to determine which discharge is prior to this admission.  
- Pull a MESAV and review the Service Authorizations to determine the authorized services. If the MDS for the recipient has not processed you will not have services authorized.  
- If the recipient has an ongoing Service Authorization with a begin date prior to the rejected admission and the current Service Authorization is for Full Medicaid (Code 1), a 3618 discharge must be processed prior to resubmitting the rejected 3619 admission.  
- If the recipient has a closed Service Authorization for Code 1 with an end date after the rejected 3619 admission.  
  - Verify that the 3618 discharge was submitted for the correct date. If not, correct the discharge. If the discharge is now prior to the rejected 3619 admission, it can be resubmitted.  
  - If the 3618 discharge is correct, there are quite a few 3618/3619s that need to process between the begin and end dates of the Service Authorization. Verify all dates and submit the needed forms.  
- If the recipient does not have Service Authorizations on the MESAV, use the statuses on the LTC Online Portal to determine the forms that have processed. Remember, authorizations will only display if the MDS has also processed.  
  - If the most recent processed form is a 3618 admission prior to the rejected 3619 admission, a 3618 discharge must be processed prior to resubmitting the rejected 3619 admission.  
  - If the most recent processed form is a 3618 discharge after the rejected 3619 admission, verify that the 3618 discharge was submitted for the correct date. If the date is wrong, correct the 3618 discharge and resubmit. If the 3618 discharge is now prior to the rejected 3619 admission, resubmit the rejected 3619 admission. |
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<th>Form/Assessment</th>
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| NF-0028: This admission cannot be processed because the Qualifying Stay days, plus any Full Medicare days already documented, add up to more than the 20 days allowed for this Spell of Illness. | 3619 (Admit, Admit Mod) | For each Medicare Spell of Illness, only 20 days of Full Medicare coverage are allowed between one or more providers. The recipient will exceed the 20-day limit if the form is processed as submitted.  
• Review the recipient’s Medicare remittance to determine the Full Medicare Qualifying Stay dates for this Spell of Illness.  
• Check the Dates of Qualifying Stay on the form. The number of days on the form, plus any Full Medicare days already documented for that Spell of Illness, cannot exceed 20 days.  
• If the Dates of Qualifying Stay on the form are wrong, correct the admission and resubmit.  
• To determine if the Qualifying Stay dates from the Medicare remittance advice are on file, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.  
• If a different 3619 admission was submitted with incorrect Dates of Qualifying Stay, submit a correction for that form prior to resubmitting this rejected admission.  
• If this form cannot be corrected, inactivate the form.  
**Note:** If this is not traditional Medicare, document this in the comment section and call 512-438-2200, Option 3, or fax the Medicare Replacement’s explanation of benefits (EOB) with a copy of the 3619 to 512-438-3400, attention: Medicare Advantage Plan. |
| NF-0029: The days of Qualifying Stay have been recorded. However, the admission for Medicare Part A Coinsurance cannot be processed because the Qualifying Stay days, plus any Full Medicare days already documented, are less than the 20 days required for this Spell of Illness. | 3619 (Admit, Admit Mod) | For each Medicare Spell of Illness, 20 days of Full Medicare coverage are required, between one or more providers. The recipient has not yet met the 20-day requirement, so a Medicare Part A Coinsurance Service Authorization was not created.  
• Review the recipient’s Medicare remittance to determine the Full Medicare Qualifying Stay dates for this Spell of Illness.  
• Check the Dates of Qualifying Stay on the form. The dates entered must add up to the 20-day requirement, or an additional form must document the remainder of the 20 days of Qualifying Stay (Some Full Medicare dates may have already been recorded from previous 3619 admissions).  
• If the Dates of Qualifying Stay on the form are wrong, correct the admission and resubmit.  
• If the Dates of Qualifying Stay on the form are correct, submit another form to document the remaining days of Qualifying Stay once that information becomes available.  
• To determine if the Qualifying Stay dates from the Medicare remittance advice are on file, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.  
• If a different 3619 admission was submitted with incorrect Dates of Qualifying Stay, submit a correction for that form prior to resubmitting this rejected admission.  
• If this form cannot be corrected, inactivate the form.  
**Note:** If this is not traditional Medicare, document this in the comment section and call 512-438-2200, Option 3 or fax the Medicare Replacement’s EOB with a copy of the 3619 to 512-438-3400, attention: Medicare Advantage Plan. |
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| **NF-0030**: This admission cannot be processed because it has not been more than 60 consecutive days since the client was discharged from Medicare (cannot begin a new Spell of Illness). Review Medicare remittance to determine when Medicare Part A Coinsurance is due. Submit a new 3619 based the client’s Medicare remittance. | 3619 (Admit) | For each Medicare Spell of Illness, the state will pay a maximum of 80 days of Medicare Part A Coinsurance to one or more providers. The recipient will exceed the 80-day limit if the admission is processed as submitted.  
- Review the recipient’s Medicare remittance to determine the Medicare dates for the Spell of Illness for this admission.  
- Pull a MESAV and review the Service Authorizations to determine which authorizations are covered by the Spell of Illness for this admission.  
- Validate the dates of the Spell of Illness to see if this admission is part of the prior stay, or if it begins a new Spell of Illness (more than 60 days between Code 3 Service Authorizations). Submit corrections of any earlier 3619s, as needed, and resubmit this rejected admission accordingly.  
- If the prior Spell of Illness was not ended properly, submit a 3619 discharge or 3619 correction to adjust the Code 3 to reflect the proper end date of that Spell of Illness.  
- Now that the 60 days between Spells of Illness has been resolved, resubmit the rejected admission. |

| NF-0032: This discharge cannot be processed because the client does not have a Service Authorization for Medicare Part A Coinsurance for your facility. Either the 3619 admission for your facility has not processed, or the discharge date exceeds the client’s maximum of 80 days of traditional Coinsurance (for all providers) for this Spell of Illness. | 3619 (Discharge) | The corresponding Medicare Part A Coinsurance admission has not processed on the recipient’s file.  
- Review the facility records to identify the Coinsurance admission date prior to this discharge and the Spell of Illness for this discharge.  
- Pull a MESAV and review the Service Authorizations to determine if the 3619 admission has processed and if the Spell of Illness has been authorized.  
- If Coinsurance is not authorized, use the LTC Online Portal to determine the status of the 3619 admission.  
  - If the 3619 admission was rejected, correct the 3619 admission and resubmit.  
  - If the 3619 admission was never entered, submit the missing 3619 admission.  
- If Coinsurance is authorized, compare the end date of the Service Authorization to the transaction date of the rejected discharge.  
  - If the transaction date of the rejected discharge is later than the Service Authorization end date by more than one day, the discharge exceeds the 80-day limit of Coinsurance. An earlier discharge and readmission may be needed prior to the rejected discharge, to allow for additional days before reaching 80 day limit. If so, submit the missing or rejected forms.  
  - If the transaction date of the rejected discharge is earlier than the Service Authorization end date, the forms may have attempted to process out of order. If the admission was processed after the 3619 rejected, resubmit the rejected discharge.  
- Once the missing or rejected forms are processed, resubmit the rejected discharge. |
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<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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| NF-0033: This discharge cannot be processed because a later discharge has already been processed. If an admission after this discharge is missing, resubmit with the submission of the matching admission. | 3619 (Discharge) | This discharge is part of a retroactive pair.  
• Review the facility’s records to determine which admission is after this discharge.  
• Pull a MESAV and review the Service Authorizations. The discharge and admission should split one of the authorizations when these forms process.  
• Once identified, the discharge and admission must be submitted on the same day as a pair.  
• If the form is not part of a pair, it should be a correcting discharge, not a new discharge. Inactivate this form and correct the transaction date of the later discharge. |
| NF-0044: This form cannot be processed because the other half of the pair of forms failed to process. Validate and submit both forms. | 3618/3619 (Pair) | This form is part of a retroactive pair. The other half of the pair failed to process, so this form could not be processed alone. Determine how to resolve the problem that caused the other half of this pair to be rejected.  
• Review the facility’s records to determine which transaction is the other half of the pair.  
• If the discharge date is before the admission date, the pair is creating a gap in a Service Authorization. Pull a MESAV and review the Service Authorizations. The discharge and admission should split one of the authorizations when these forms process.  
• If the admission date is before the discharge date, the pair is filling a gap between, or prior to, Service Authorizations. Pull a MESAV and review the Service Authorizations to see if a gap exists for the period that will be created by the admission and discharge pair.  
• Once resolved, resubmit the pair together on the same date. |
| NF-0046: This admission modification cannot be processed because the new admission date of this modification is later than the existing enrollment end date. Modify the admission date and resubmit this form, or inactivate this form and modify the corresponding discharge form to make it a counteracting form, cancelling the admission timeframe. | 3618 (Admit Mod) | This admission modification is later than the end date of the Service Authorization it is trying to change.  
• Review the facility’s records to determine the recipient’s admission and discharge dates.  
• Pull a MESAV and review the Service Authorizations on file.  
• If the correction was not done on the right admission, adjust the admission date on this correction back to the original admission date and resubmit. Then correct the admission date on the appropriate admission form and submit.  
• If the end date of the Service Authorization being modified is not correct, submit a discharge correction to adjust the end date and resubmit the rejected admission correction the next day.  
• If the Service Authorization being changed should be cancelled, inactivate this correction and submit a correction to the corresponding discharge, making it a counteracting form to the admission form. |
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<th>Provider Message</th>
<th>Form/ Assessment</th>
<th>Suggested Action</th>
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| **NF-0047:** This assessment modification cannot be processed because it is an invalid change to an existing Purpose Code. | MDS Mod (Admit, Annual, Quarterly) | This is a modification of a processed MDS assessment that had a Purpose Code on the LTCMI. Once an MDS has been processed as either a PC E or M, the form must continue to have a Purpose Code on the LTCMI. A modification can change a PC M to a PC E, but a PC E cannot be changed to a PC M, and a PC E or M cannot be changed to no Purpose Code.  
- Review the LTCMI on the prior submission (parent form), noting the Purpose Code and the dates requested.  
- Modify the rejected assessment, entering the appropriate Purpose Code and proper dates, then resubmit the rejected admission. |
| **NF-0048:** This assessment cannot be processed because more than one assessment was submitted on the same day with the same assessment effective date. | MDS | Two assessments attempted to process on the same day using the same assessment effective date.  
- Validate the effective dates on the MDSs submitted.  
- If the assessment effective date is incorrect on one MDS, submit a modification to the federal CMS database for that assessment and resubmit the other rejected assessment.  
- If one of the assessments was submitted in error, inactivate the assessment that is not needed and resubmit the other rejected assessment. |
| **NF-0049:** This assessment cannot be processed because an admission assessment is not appropriate, or the Date of Entry does not correspond with the correct admission. If an admission assessment is not appropriate, inactivate this assessment and submit the appropriate MDS assessment type. If the admission assessment is appropriate, modify the Date of Entry. | MDS (Admit) | An assessment that covers the Date of Entry is already on file for this resident and provider.  
- If the prior 3618 discharge was Return Not Anticipated, validate that Return Not Anticipated was correctly marked on the discharge form. If it was not, correct the discharge and submit. Once the correction to Return Not Anticipated is processed ending the Levels per that discharge, the rejected MDS can be resubmitted. If the discharge was Return Not Anticipated and the form was marked correctly, verify that the MDS Entry Date corresponds to the admission following that discharge. If the MDS Entry Date corresponds to an earlier admission, submit a modification to the federal CMS database to correct the Entry Date.  
- If this MDS was only submitted because a current resident admitted from the hospital as Medicare and a dually-coded MDS was being submitted, there are three options.  
  - Inactivate the MDS at the federal CMS database and resubmit it as a dually-coded form using an appropriate Medicaid Reason for Assessment (typically a Quarterly, Annual or SCSA). This will allow Medicaid to use the MDS for payment.  
  - Inactivate the MDS at the federal CMS database and resubmit it as Medicare only (no Medicaid Reason for Assessment). The resubmitted form will not appear on the LTC Online Portal.  
  - Contact DADS Provider Claims Services at 512-438-2200, Option 1, and request that the MDS be moved to invalid/complete status because an admission assessment was not appropriate.  
- If neither situation above applies, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
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<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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| NF-0050: This form cannot be processed as a retroactive pair because the effective date on the discharge of pair is later then the Qualifying Stay begin date on the admission of pair. The discharge prior to the Qualifying Stay begin date and a subsequent admission are needed along with this pair to process automatically. | 3619 (Pair) | This 3619 has been identified as part of a retroactive pair attempting to process together. The Qualifying Stay dates fall between the admission and discharge dates submitted, which is not allowed. Full Medicare (Qualifying Stay) days cannot split a single admission and discharge pair. Two pairs of retroactive 3619s must be submitted instead.  
- Verify the Medicare Part A Coinsurance dates through the Medicare Remittance advice.  
- Resubmit the rejected 3619 admission for the first day of Coinsurance, paired with a discharge matching the first day of Full Medicare, which will end the Coinsurance Service Authorization the day before the Qualifying Stay (included on the admission of the pair).  
- Then resubmit the rejected 3619 discharge from Coinsurance, paired with an admission beginning after the Full Medicare (Qualifying Stay) ends. |
| NF-0051: This form cannot be processed as a retroactive pair because the discharge of pair is marked as a death and a subsequent admission has already been processed. Verify that the client was discharged and correct the form as needed. If the client is deceased, contact Provider Claims Services for assistance. | 3618/3619 (Pair) | This form has been identified as part of a retroactive pair attempting to process together. However, a discharge marked Deceased cannot be processed as part of a retroactive pair, since there is a subsequent admission on file.  
- Review the facility’s records to determine the recipient’s admission and discharge dates.  
- Pull a MESAV and review the Service Authorizations for this recipient. Compare those dates to the dates that the recipient was in your facility.  
- If the discharge of the pair was submitted as a Death in error, inactivate the form then resubmit it as a Discharge.  
- If the form was correctly used to report the recipient’s death, validate the transaction date and correct the form as needed.  
- If the transaction type (Death) and transaction date are correct, identify the admission form that was submitted with an effective date after the death and correct the transaction date on that admission. It may be necessary to contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
**Provider Message (Displayed in History)** | **Form/ Assessment** | **Suggested Action**
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NF-0052: This admission modification cannot be processed because the new admission date would create an overlap with an existing Service Authorization. Verify the Service Authorizations already established and submit any additional modifications. | 3618/3619 (Admit Mod) | The earlier admission date on this correction will create an overlap with an existing Service Authorization if this correction is processed.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Pull a MESAV and compare the Service Authorizations to the earlier admission date that would be created by the rejected admission. Consider the Qualifying Stays reported on any processed 3619s. These dates create Full Medicare periods, which do not appear on the MESAV.  
- If the end date of an existing Service Authorization needs to be changed, submit a correction to that discharge.  
- If the submitted admission date would overlap with a reported Qualifying Stay period, submit a correction to adjust the Qualifying Stay dates.  
  - To determine if the Qualifying Stay dates from the Medicare remittance advice correspond with those on file, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.  
- If the recipient was previously receiving Hospice services, verify the dates of service with the Hospice Provider and make corrections as needed.  
- This rejected admission should be resubmitted once the file has been adjusted.
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<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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| NF-0055: This admission modification cannot be processed because the new admission date would result in more than 80 days of Medicare Part A Coinsurance for this Spell of Illness. Confirm the 80 days of Coinsurance and submit any additional modifications. | 3619 (Admit Mod) | For each Medicare Spell of Illness, the state will pay a maximum of 80 days of Medicare Part A Coinsurance to one or more providers. The recipient will exceed the 80-day limit if this correction is processed as submitted.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Pull a MESAV and review the Service Authorizations to determine the number of Coinsurance days on file, plus the number of new days that would be added by the rejected earlier admission date. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Coinsurance days to 80 days or less, the rejected admission should be resubmitted once the new correction forms have processed.  
- If the begin and end dates on file are correct and the recipient has a Medicare Replacement policy that allows more than 80 days of Coinsurance, state this in the comment section of the 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400, attention: Medicare Advantage Plan.  
- If the Spell of Illness involved another facility and your facility’s begin and end dates are right except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Coinsurance is due for the time period that your 3619s indicate, fax them with a copy of the 3619s to 512-438-3400, attention: ECF Form Processing, or call 512-438-2200, Option 3.  
- If all the begin and end dates on the MESAV are correct (except for the admission the rejected form is attempting to correct), the last discharge date will need to be adjusted so the total of the new days added plus the adjusted existing dates equal 80 or less days. The rejected admission should then be resubmitted. |
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<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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| NF-0056: This modification cannot be processed because the corresponding adjustment based on the 80-day limit would cancel a later admission that has already been processed. Verify the Service Authorizations already established and submit any additional modifications. | 3619 (Mod)     | For each Medicare Spell of Illness, the state will pay a maximum of 80 days of Medicare Part A Coinsurance to one or more providers. The recipient will exceed the 80-day limit if this correction is processed as submitted. In order to reduce the total to 80 days, the system would have to cancel a processed admission.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Pull a MESAV and review the Service Authorizations to determine the number of Coinsurance days on file, plus the number of new days that would be added by the rejected form. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Coinsurance days to 80 days or less, the rejected form should be resubmitted once the new correction forms have processed.  
- If the begin and end dates on file are correct and the recipient has a Medicare Replacement policy that allows more than 80 days, state this in the comment section of the 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400, attention: Medicare Advantage Plan.  
- If the Spell of Illness involved another facility and your facility’s begin and end dates are right except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Coinsurance is due for the time period that your 3619s indicate, fax them with a copy of the 3619s to 512-438-3400, attention: ECF Form Processing, or call 512-438-3400, Option 3.  
- If all the begin and end dates on the MESAV are correct, the rejected form will need to be corrected so the total of the new days added plus the existing dates equal 80 or less days. |
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<th>Form/Assessment</th>
<th>Suggested Action</th>
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</thead>
</table>
| **NF-0057:** This discharge modification cannot be processed because the new discharge date would cancel the Medicare Part A Coinsurance record being modified. If the new discharge date is incorrect, modify and resubmit. | 3619 (Discharge Mod) | The effective date of the discharge correction is prior to the Service Authorization it is attempting to close.  
  - Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
  - Pull a MESAV and review the Service Authorizations to determine which Service Authorization ended based on the original discharge date. The system has determined that the new discharge date is prior to that begin date.  
  - Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Also, compare the time periods for Medicare Part A Coinsurance to your Medicare remittance indicating what days should be Coinsurance. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
  - Verify if the new discharge date is actually part of a retroactive pair rather than a correction. If so:  
    - Correct the discharge date back to the original date.  
    - Identify the admission that would complete the retroactive pair.  
    - Submit the rejected discharge and new admission on the same day. |
**Provider Message (Displayed in History)** | **Form/Assessment** | **Suggested Action**
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NF-0058: This discharge modification cannot be processed because the new discharge date would result in more than 80 days of Medicare Part A Coinsurance for this Spell of Illness. Confirm the 80 days of Coinsurance and submit any additional modifications. | 3619 (Discharge Mod) | For each Medicare Spell of Illness, the state will pay a maximum of 80 days of Medicare Part A Coinsurance to one or more providers. The recipient will exceed the 80-day limit if this correction is processed as submitted.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Pull a MESAV and review the Service Authorizations to determine the number of Coinsurance days on file plus the number of new days that would be added by the rejected later discharge date. Verify the begin and end dates of the Service Authorizations on file based on the actual admissions and discharges that have occurred. Remember that the discharge date results in a Service Authorization end date one day earlier than the transaction date.  
- Submit any corrections needed because of incorrect begin or end dates. If these corrections will reduce the total number of Coinsurance days to 80 days or less, the rejected discharge should be resubmitted once the new correction forms have processed.  
- If the begin and ends on file are correct and the recipient has a Medicare Replacement policy that allows more than 80 days, state this in the comment section of the 3619 and call 512-438-2200, Option 3, or fax the Medicare Replacement EOBs with a copy for the 3619 to 512-438-3400, attention: Medicare Advantage Plan.  
- If the Spell of Illness involved another facility and your facility’s begin and end dates are correct except for the correction, review your Medicare Remittance. If the Medicare Remittance advice validates that Coinsurance is due for the time period that your 3619s indicate, fax them with a copy of the 3619s to 512-438-3400, attention: ECF Form Processing, or call 512-438-3400, Option 3.  
- If all the begin and end dates on the MESAV are correct (except for the discharge the rejected form is attempting to correct), the last discharge date will need to be adjusted so the total of the new days added plus the adjusted existing dates equal 80 or less days. The rejected discharge should then be resubmitted.
<table>
<thead>
<tr>
<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
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</thead>
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| **NF-0059:** This discharge modification cannot be processed because the new discharge would create an overlap with an existing Service Authorization. Verify the Service Authorizations already established and submit any additional modifications. | 3618/3619 (Discharge Mod) | The later discharge date on this correction will create an overlap with existing Service Authorizations if this correction is processed as submitted.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Pull a MESAV and compare the Service Authorizations to the later discharge date that would be created by the rejected discharge. Consider the Qualifying Stays reported on the processed 3619s. These dates create Full Medicare periods, which do not appear on the MESAV.  
- If the begin date of an existing Service Authorization needs to be changed, submit a correction to that admission.  
- If the submitted discharge date would overlap with a reported Qualifying Stay period, submit a correction to adjust the Qualifying Stay dates.  
  - To determine if the Qualifying Stay dates from the Medicare remittance advice correspond with those on file, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance.  
- If the recipient is currently receiving Hospice services, verify the dates of service with the Hospice Provider and make corrections as needed.  
- This rejected discharge should be resubmitted once the file has been adjusted. |
| **NF-0061:** This admission cannot be processed because a Nursing Facility admission is not appropriate for a PACE client. Contact the client’s PACE organization. | 3618/3619 (Admit) | The recipient has a Service Authorization for PACE (the Program for All Inclusive Care for the Elderly) as of the admission date.  
- Review the facility’s records to verify that the transaction date on the rejected admission is correct.  
- If the submitted admission date is wrong, correct the rejected admission and resubmit.  
- If the admission date is correct, contact the recipient’s PACE organization. |
<table>
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<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
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| **NF-0062:** This discharge cannot be processed because the client is currently authorized for Full Medicaid. A prior 3618 discharge and a 3619 admission need to be processed prior to this discharge. If the Full Medicaid authorization is for this provider, submit the 3618 discharge prior to the Medicare stay. A 3619 admission must be processed prior to this discharge. | 3619 (Discharge) | The recipient has a Service Authorization for Full Medicaid (Code 1) as of the Medicare Part A Coinsurance discharge date.  
- Review the facility’s records to determine the recipient’s admission and discharge dates.  
- If the recipient is Full Medicaid in your facility, pull a MESAV and compare the Service Authorizations to the facility’s records.  
- If the recipient should be classified as Medicare on this discharge date:  
  - Determine if the 3618 discharge to the hospital prior to the Medicare Stay has been submitted. If not, submit that 3618 discharge. If it was rejected, resolve the issue and resubmit the 3618 discharge.  
  - Determine if the 3619 admission to begin Medicare Part A Coinsurance has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
  - Once the 3618 discharge and 3619 admission are processed and reflected on the MESAV, resubmit the rejected discharge.  
- If the recipient should not be classified as Medicare on this discharge date:  
  - Determine if the discharge should be a 3618 discharge instead. If so, inactivate the rejected form and submit a 3618 discharge to close the recipient’s file.  
- If the recipient is Full Medicaid in a different facility, determine if the 3619 admission to begin Coinsurance has been submitted. If not, submit the 3619 admission. If it was rejected, resolve the issue and resubmit the 3619 admission. Then resubmit the rejected 3619 discharge. |
| **NF-0063:** This discharge cannot be processed because the client is admitted to Medicare Part A Coinsurance for a different provider. If an admission prior to this discharge is missing or rejected, that admission must be processed prior to this discharge. | 3619 (Discharge) | The recipient has a Service Authorization for Medicare Part A Coinsurance with a different provider as of the submitted discharge date.  
- Review the facility’s records to identify the Medicare Part A Coinsurance admission date prior to this discharge.  
- Determine if the 3619 admission prior to this discharge has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
- Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
| **NF-0064:** This discharge cannot be processed because an admission to Medicare Part A Coinsurance for a different provider has already been processed for the same day. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, resubmit the admission and this discharge on the same day. | 3619 (Discharge) | The recipient has a Service Authorization with a different provider that begins after the submitted discharge date. The rejected discharge and matching admission must be submitted as a retroactive pair.  
- Review the facility records to identify the Medicare Part A Coinsurance admission date prior to this discharge.  
- Determine if the 3619 admission prior to this discharge has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
- Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
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</thead>
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| NF-0065: This discharge cannot be processed because the client is currently authorized for Full Medicaid for this provider. If a 3618 discharge prior to the Medicare stay and a 3619 admission are missing or rejected, resubmit those forms and this discharge on the same day. | 3619 (Discharge) | The recipient has a Service Authorization for Full Medicaid (Code 1) with the same provider as of the submitted discharge date.  
- Review the facility records to determine the recipient’s admission and discharge dates.  
- Pull a MESAV and verify the begin date and type of service currently authorized for the recipient.  
- If the recipient should be classified as Medicare on this discharge date:  
  - Determine if the 3618 discharge to the hospital prior to the Medicare stay has been submitted. If not, submit that 3618 discharge. If it was rejected, resolve the issue and resubmit that 3618 discharge.  
  - Determine if the 3619 admission to begin Medicare Part A Coinsurance has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
  - Resubmit the rejected 3619 discharge after the missing or corrected forms have been processed.  
- If the recipient should not be classified as Medicare on this discharge date:  
  - Determine if the discharge should be a 3618 discharge instead. If so, inactivate the rejected form and submit a 3618 discharge to close the recipient’s file. |
| NF-0066: This discharge cannot be processed because the client is admitted by a different provider. If an admission prior to this discharge is missing or rejected, the admission must be processed prior to this discharge. | 3619 (Discharge) | The recipient has a Service Authorization with a different provider as of the submitted discharge date.  
- Review the facility’s records to identify the Medicare Part A Coinsurance admission date prior to this discharge.  
- Determine if the 3619 admission prior to this discharge has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
- Resubmit the rejected 3619 discharge after the missing or corrected admission has been processed. |
| NF-0067: This discharge cannot be processed because an admission for a different provider has already been processed for the same day. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, resubmit the admission and this discharge on the same day. | 3619 (Discharge) | The recipient has a Service Authorization with a different provider that begins after the submitted discharge date. The rejected discharge and matching admission and must be submitted as a retroactive pair.  
- Review the facility records to identify the Medicare Part A Coinsurance admission date prior to this discharge.  
- Determine if the 3619 admission prior to this discharge has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
- Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
| NF-0068: This discharge cannot be processed because the client already has a subsequent authorization. This discharge appears to be one of a retroactive pair. If an admission prior to this discharge is missing or rejected, resubmit the admission and this discharge on the same day. | 3619 (Discharge) | The recipient has a Service Authorization that begins after the submitted discharge date. The rejected discharge and matching admission and must be submitted as a retroactive pair.  
- Review the facility records to identify the Medicare Part A Coinsurance admission date prior to this discharge.  
- Determine if the 3619 admission prior to this discharge has been submitted. If not, submit that 3619 admission. If it was rejected, resolve the issue and resubmit that 3619 admission.  
- Resubmit the rejected 3619 discharge on the same day as the missing or corrected admission. |
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<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
</table>
| NF-0069: This discharge cannot be processed because the client is admitted by a different provider. If an admission prior to this discharge is missing or rejected, the admission must be processed prior to this discharge. | 3618 (Discharge) | The recipient has a Service Authorization with a different provider as of the submitted discharge date.  
- Review the facility’s records to verify that the transaction date on the rejected discharge is correct.  
- Determine if the 3618 admission prior to this discharge has been submitted. If not, submit that 3618 admission. If it was rejected, resolve the issue and resubmit that 3618 admission.  
- Resubmit the rejected 3618 discharge after the missing or corrected admission has been processed. |
| NF-0070: This admission cannot be processed because it would cancel the client’s Enrollment with a different provider. Verify the effective date as well as the Qualifying Stay date ranges and correct them as needed. | 3619 (Admit) | The Qualifying Stay dates or transaction date on this admission would cancel the previous provider’s Service Authorization rather than “auto discharge” the recipient from the previous provider.  
- Review the facility’s records to determine the recipient’s admission and discharge dates and identify the Spell of Illness.  
- Verify the begin and end dates of Qualifying Stay and submit corrections as needed.  
- Verify the begin date of Medicare Part A Coinsurance and submit a correction as needed.  
- If the dates are correct and the Medicare remittance advice validates that Coinsurance is due for the time period that your 3619s indicate, fax the remittance advice with a copy of the 3619s to 512-438-3400, attention: ECF Form Processing or call 512-438-3400, Option 3. If the Medicare remittance Advice does not correspond to the 3619s submitted, the forms will not be processed. |
| NF-0073: This discharge modification cannot be processed because the new discharge would create an overlap with an existing Full Medicare period. Verify the Full Medicare periods and Service Authorizations already established and submit any additional modifications. | 3619 (Discharge Mod) | The additional days of Medicare Part A Coinsurance on this correction would create an overlap with Full Medicare dates already on the recipient’s file if this correction is processed as submitted.  
- Review the Medicare Remittances for this Spell of Illness to determine the Full Medicare and Coinsurance dates.  
- Pull a MESAV and compare the Service Authorizations on file and the additional Coinsurance to the remittance dates. The system has determined that the additional Coinsurance dates would create an overlap with existing Service Authorizations. Also, consider the Qualifying Stays reported on the processed 3619s. These dates create Full Medicare periods, which do not appear on the MESAV.  
- Submit any additional 3619 corrections to adjust begin or end dates to allow this discharge correction to process. |
| NF-0074: This form cannot be processed because the submitted Contract Number is not valid as of the form effective date. Adjust the effective date or resubmit with the correct Contract Number. | 3618, 3619 | The effective date of this form is outside the provider’s contract dates.  
Note: The effective date of a discharge is the Date of Above Transaction minus one day. Exception: For a 3618 discharge marked Deceased, use Date of Above Transaction instead, because DADS pays for the date of death.  
- Confirm the transaction date for the rejected form and submit a correction of the date as needed.  
- If the date is correct, but the form is under the incorrect contract, inactivate the form and resubmit with the proper contract.  
- If there is not an active contract for the transaction date, the submission will have to be held until the contract has been approved. |
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<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
</table>
| **NF-0075**: This discharge cannot be processed because a different Contract Number for the same Vendor Number is valid as of the form effective date. Adjust the effective date or inactivate this discharge and submit for the correct Contract Number. | 3618 (Discharge) | This discharge has been submitted using the incorrect Contract Number. The facility has had a Change of Ownership and the discharge needs to be submitted using the Contract Number that was active on the effective date of the discharge.  
Note: The effective date of a discharge is the Date of Above Transaction minus one day. Exception: For a 3618 discharge marked Deceased, use Date of Above Transaction instead, because DADS pays for the date of death.  
- Confirm the transaction date for the rejected form and submit a correction of the date as needed.  
- If the date is correct, but the form is under the incorrect contract, inactivate the form and resubmit with the proper contract.  
- If there is not an active contract for the transaction date, the submission will have to be held until the contract has been approved. |
| **NF-0076**: This admission assessment cannot be processed because the Entry Date is earlier than the Service Authorization begin date. Verify the Entry Date and correct it as needed, or submit an earlier 3618/3619 admission. If the 3618/3619 admission and MDS Entry Date are correct, contact Provider Claims Services for assistance. | MDS (Admit) | The submitted MDS admission Entry Date is earlier than the Service Authorization begin date on the recipient’s file.  
- Verify the Entry Date and submit a modification to the federal CMS database as needed.  
- If an earlier 3618 or 3619 admission is needed, submit a matching admission and discharge pair, then resubmit the rejected MDS admission.  
- If the 3618 or 3619 admission date and the MDS Entry Date are correct, contact DADS Provider Claims Services at 512-438-2200, Option 1, for assistance. |
| **NF-0077**: This admission modification cannot be processed because the new Full Medicare period would create an overlap with an existing Service Authorization. Verify the Full Medicare periods and Service Authorizations already established and submit any additional modifications. | 3619 (Admit Mod) | The adjusted days of Full Medicare on this correction would create an overlap with Service Authorizations already in the recipient’s file if this correction is processed as submitted.  
- Review the Medicare Remittances for this Spell of Illness to determine the Full Medicare and Medicare Part A Coinsurance dates.  
- Pull a MESAV and compare the Service Authorizations on file and the Full Medicare Qualifying Stay dates to the remittance dates. The system has determined that the additional Qualifying Stay dates would create an overlap with existing Service Authorizations. Also, consider the Qualifying Stays reported on the processed 3619s. These dates create Full Medicare periods, which do not appear on the MESAV.  
- Submit any additional 3619 corrections to adjust begin or end dates to allow this admission correction to process. |
| **NF-0078**: This admission cannot be processed because the earliest Qualifying Stay date is too old, compared to the transaction date. Verify the Qualifying Stay dates and correct them as needed. If the Qualifying Stay dates are correct, contact Provider Claims Services for assistance. | 3619 (Admit) | The difference between the earliest Qualifying Stay date and the transaction date is too great for this admission to be processed automatically.  
- Review the Medicare Remittances for this Spell of Illness to determine the Full Medicare and Medicare Part A Coinsurance dates.  
- If the dates on the form are correct, contact Provider Claims Services and request that the form be processed manually. Confirmation will be made that the Spell of Illness does not exceed 80 days. |
### LTC Nursing Facility/Hospice User Guide Addendum

<table>
<thead>
<tr>
<th>Provider Message (Displayed in History)</th>
<th>Form/Assessment</th>
<th>Suggested Action</th>
</tr>
</thead>
</table>
| NF-0080: This admission cannot be processed because it would cancel the client’s Enrollment with a different provider. Verify the effective date and correct it as needed. If the date is correct, contact Provider Claims Services for assistance. | 3618 (Admit) | This 3618 admission would cancel the previous provider’s Service Authorization rather than “auto discharge” the recipient from the previous provider.  
- Review the facility’s records to determine the recipient’s admission and discharge dates.  
- If the 3618 admission’s transaction date is correct, contact the prior facility and request that they review their admissions and discharges.  
  - If the prior facility agrees to make adjustments, allow processing time and resubmit your rejected admission. |
| NF-0081: This admission cannot be processed because the client is already admitted into your facility as of the submitted admission date. Verify current Service Authorizations on file and submit the needed 3618/3619 discharge prior to the submitted admission date, to allow this 3619 admission to process. | 3619 (Admit) | The recipient has an ongoing Service Authorization for your facility (processed 3618 or 3619 admission).  
- Review the facility’s records to determine which discharge is prior to this admission.  
- Pull a MESAV and review the Service Authorizations to determine the authorized services. If the MDS for the recipient has not processed you will not have services authorized.  
- If the recipient has an ongoing Service Authorization with a begin date prior to the rejected admission;  
  - If the current Service Authorization is for Full Medicaid (Code 1), a 3618 discharge must be processed prior to resubmitting the rejected 3619 admission.  
  - If the current Service Authorization is for Medicare Part A Coinsurance (Code 3), a 3619 discharge must be processed prior to resubmitting the rejected 3619 admission.  
- If the recipient does not have Service Authorizations on the MESAV, use the statuses on the LTC Online Portal to determine the forms that have processed. Remember, authorizations will only display if the MDS has also processed.  
  - If the most recent processed form is a 3618 admission prior to the rejected 3619 admission, a 3618 discharge must be processed prior to resubmitting the rejected 3619 admission. |