

Proprietary System Operator
EVV Proprietary System
Compliance Method – Documentation
Version 2.0

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Introduction

To gain Texas Health and Human Services Commission (HHSC) approval to use an Electronic Visit Verification (EVV) proprietary system to electronically document and verify the data elements for a service delivery visit, the program provider or Financial Management Services Agency (FMSA) must:

- Comply with the Texas Administrative Code (TAC) and HHSC EVV Policy as they relate to EVV proprietary systems;
- Comply with the HHSC EVV Business Rules for Proprietary Systems document
- Successfully complete all steps of the Readiness Review process.

HHSC will use four methods to determine compliance during the Readiness Review process:

- Attestation
- Demonstration
- Documentation
- Trading Partner Testing (TPT) with the Texas Medicaid and Healthcare Partnership (TMHP)

This document covers the documentation methods for determining the compliance of the EVV proprietary system. The program provider or FMSA must successfully document that their EVV proprietary system complies with each business rule contained in this document (a subset of the business rules contained in the HHSC EVV Business Rules for Proprietary Systems document, v1.0 dated 1/31/2020), unless HHSC has explicitly waived that business rule or the scenario, in whole or in part, as not applicable to its EVV proprietary system at this time (refer to your EVV Proprietary System Operator Certification Form).

Business Rules that Must be Documented

1. PAO-21P

Business Rule

PAO-21P: ‘Proprietary System Operator’ must provide ongoing system training, as needed, to ‘HHSC’ and ‘MCO’ staff. The training must include access to, and use of, the system where full system functionality is demonstrated.

1.1. System Training For HHSC & MCO Staff

Documentation Required

1. PSO Training plan or approach for training HHSC and MCO users on the Proprietary System.
2. PSO Training contacts and method for HHSC and MCO users to request and access training on the Proprietary System.
3. Copy of the training materials that the PSO will provide, covering all EVV system functionality.
 - a. If the PSO provides a single set of training materials (for both HHSC and MCO users), the materials must clearly show the different levels of access for HHSC versus MCO users.
 - b. Training materials must clearly state that MCO user access is limited to the data associated with Members for whom the user’s MCO is the payer, and that the MCO user’s access is further limited to only the dates of service that the user’s MCO is or was the payer for that Member.
 - c. The content of the Training Materials must include the following at a minimum:
 - i. System access and logon
 - ii. Data entry and updates
 - iii. Visit capture and validation
 - iv. Device ordering and usage
 - v. Visit maintenance/updates to EVV visit transactions
 - vi. Clock-in/Clock-out methods
 - vii. Access and creation of reporting, as well as overview of usage of the reporting
 - viii. Customer Service (system troubleshooting, issue reporting, complaints, etc.)

2. SDV-22P & VSS-13P

Business Rules

SDV-22P: ‘EVV System’ must record changes made to the service delivery transaction after the ‘System User’/‘Service Attendant’ has documented the visit. The following audit trail data must be collected at a minimum:

- Data elements changed (including the before and after values)
- Name of the ‘System User’ making the changes
- Date and time the changes were made
- ‘Reason Code’(s) with associated free text

VSS-13P: ‘EVV System’ must maintain audit trail for all data entered and updated in the ‘EVV System’, including the following data at a minimum:

- ‘EVV Visit Transaction’ data elements
- ‘Program Provider’ or ‘FMSA’ ‘Business Unit’ profile
- ‘Service Attendant’ profile
- ‘Member’ profile
- ‘Prior Authorization/Service Authorization’ data
- Service ‘Schedule’, if applicable
- ‘System User’ credentials

2.1. Service Delivery Transaction Audit Trail

Documentation Required

1. Screen prints showing the audit trail for changes to each of the following sets of data:
 - a. EVV visit transaction
 - b. Program Provider or FMSA business unit profile
 - c. Service Attendant profile
 - d. Member profile
 - e. Prior Authorization/Service Authorization
 - f. Service Schedule (when schedules are used by the Program Provider or FMSA)
 - g. System User credentials
2. Screen prints of each set of data identified in #1 above, before a change was made through visit maintenance.
3. Screen prints of each set of data identified in #1 above, after the change was made through visit maintenance.
4. Screen prints or audit log files showing documentation of the audit trail for each set of data identified in #1 above:
 - a. Data elements changed through visit maintenance (including the before and after values)
 - b. Name of the System User making the changes
 - c. Date and time the changes were made
 - d. Reason Code, Reason Code Description and Reason Code Comments associated with the visit maintenance performed

3. SDV-27P

Business Rule

SDV-27P: ‘EVV System’ must only accept valid ‘Reason Codes’ as defined in the Texas Medicaid EVV program documentation (HHSC EVV Reason Codes), see Appendix A.

3.1. Valid Reason Codes

Documentation Required

1. Screen print or a file of the Reason Code reference table in the EVV system, depicting the following:
 - a. All Valid Reason Code and Reason Code Description combinations, exactly as they appear in the current version of the HHSC EVV Reason Codes table (refer to the HHSC EVV Business Rules for Proprietary Systems document, Appendix A for the link to the current reason codes).
 - b. Effective begin and end date of each Reason Code and Reason Code Description combination.

4. EVR-1P

Business Rule

EVR-1P: ‘Proprietary System Operator’ must provide reporting to support program requirements and monitoring to the ‘System User’. The following standard reports must be available on demand to the ‘System User’ (if applicable), see Appendix G:

- EVV Attendant History Report
- EVV CDS Service Delivery Log
- EVV Clock-In/Clock-Out Usage Report
- EVV Landline Phone Verification Report
- EVV Reason Code Usage and Free Text Report
- EVV Units of Service Summary Report
- Non-EVV Relevant Time Logged

Note for all EVR-1P report documentation requirements below that use the term ‘visit’: The status of a visit (accepted, rejected or un-submitted) must be set by exchanging EVV Visit Transaction Files with TMHP in the test environment and can be documented using a screen print of the visit transaction that includes the status of the transaction.

HHSC will limit the scenarios used during Readiness Review to the standard system reports that are required by the PSO according to the Detailed Questionnaire responses listed below.

Question 2: PSO Response has FMSA or Both checked, indicating the CDS business rules apply and the following reports are required:

- The Proprietary System must request these reports from the EVV Aggregator web service in a PDF and Excel format. The PSO will use this approach to provide access to these reports by CDS Employers while providing standardization with the EVV Portal reports at TMHP.
 - EVV Attendant History Report
 - EVV Clock-In/Clock-Out Usage Report
 - EVV Reason Code Usage and Free Text Report
 - EVV Units of Service Summary Report
- The Proprietary System will generate this report solely within the EVV Proprietary System in a PDF and Excel format:
 - EVV CDS Service Delivery Log

Question 20: Response is Yes, PSO will be using a Landline Electronic Visit Verification Method.

- The Proprietary System will generate this report solely within the EVV Proprietary System in a PDF and Excel format:
 - EVV Landline Phone Verification Report

Question 8: Response is Yes, Service Attendants or CDS employees deliver non-EVV services.

- The Proprietary System will generate this report within the EVV Proprietary System in a PDF and Excel format:
 - Non-EVV Relevant Time Logged

4.1. EVV Attendant History Report

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not an FMSA (Detailed Questionnaire #2 Program Provider only is checked).

1. Submit a copy of the EVV Attendant History Report in a PDF and Excel format.
2. Report must be requested from the TMHP EVV Portal using the Standard System Reports Web Service as indicated in the EVV Standard Report Specifications provided by TMHP.
3. The report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. At least two Service Attendants
 - c. Only accepted visits (at least 10)
 - d. At least two accepted visits for the same date of service
 - e. At least two different HCPCS/Modifier combinations

4.2. EVV Clock-In/Clock-Out Usage Report

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not an FMSA (Detailed Questionnaire #2 Program Provider only is checked).

1. Submit a copy of the EVV Clock-In/Clock-Out Usage Report in a PDF and Excel format.
2. Report must be requested from the TMHP EVV Portal using the Standard System Reports Web Service as indicated in the EVV Standard Report Specifications provided by TMHP.
3. The report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. At least two Service Attendants
 - c. Only accepted visits (at least 25)
 - d. Date range of at least 30 days
 - e. At least two auto-verified accepted visits
 - f. At least two clock-ins or clock-outs using each of the following methods: GUI, Home Landline, Alternative Device, Mobile
 - g. At least five accepted visits where one method was used to clock-in and a different method was used to clock-out.

4.3. EVV Reason Code Usage and Free Text Report

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not an FMSA (Detailed Questionnaire #2 Program Provider only is checked).

1. Submit a copy of the EVV Reason Code Usage and Free Text Report in a PDF and Excel format.

2. Report must be requested from the TMHP EVV Portal using the Standard System Reports Web Service as indicated in the EVV Standard Report Specifications provided by TMHP.
3. Report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. Only accepted visits (at least 10), all with reason codes
 - c. At least three different reason codes
 - d. At least two reason codes must appear on multiple accepted visits
 - e. At least five accepted visits with reason code additional comments (free text)
 - f. At least one accepted visit with no reason code additional comments (free text)

4.4. EVV Units of Service Summary Report

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not an FMSA (Detailed Questionnaire #2 Program Provider only is checked).

1. Submit a copy of the EVV Units of Service Summary Report in a PDF and Excel format.
2. Report must be requested from the TMHP EVV Portal using the Standard System Reports Web Service as indicated in the EVV Standard Report Specifications provided by TMHP.
3. Report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. Only accepted visits
 - c. At least two HCPCS/Modifier combinations

4.5. EVV CDS Service Delivery Log

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not an FMSA (Detailed Questionnaire #2 Program Provider only is checked).

1. Submit a copy of the EVV CDS Service Delivery Log in a PDF and Excel format.
2. Report must be created within the EVV System and conform to the EVV Standard Report Specifications provided by TMHP.
3. The report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. At least two Service Attendants (can be same report or separate reports)
 - c. At least five visits per Service Attendant
 - d. At least two different places of service
 - e. At least one accepted visit
 - f. At least one rejected visit
 - g. At least one un-submitted visit

4.6. EVV Landline Phone Verification Report

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not using the Landline Electronic Verification Method (Detailed Questionnaire #20 is No).

1. Submit a copy of the EVV Landline Phone Verification Report in a PDF and Excel format.
2. Report must be created within the EVV System and conform to the EVV Standard Report Specifications and sample report layout provided by TMHP.
3. The report must use realistic, production-like data and must include the following:
 - a. At least five Members
 - b. All four listed phone types (Landline, Mobile, Fixed VOIP, and Non-fixed VOIP)
 - c. At least five listed carriers
 - d. At least 15 entries (rows of data)

4.7. Non-EVV Relevant Time Logged

Documentation Required

Note: HHSC may waive this Scenario if the PSO is not delivering non-EVV relevant services (Detailed Questionnaire #8 is No).

1. Submit a copy of the Non-EVV Relevant Time Logged report in a PDF and Excel format.
2. Report must be created within the EVV System and conform to the EVV Standard Report Specifications provided by TMHP.
3. The report must use realistic, production-like data and must include the following:
 - a. One Member
 - b. At least two Service Attendants
 - c. At least 10 entries (rows of data)

5. EVR-4P

Business Rule

EVR-4P: ‘EVR System’ must provide Service Delivery Exception Report to ‘HHSC’ monthly. The Service Delivery Exception Report must include the following data points at a minimum:

- Service ‘Scheduled’ but not performed
- Service performed but not ‘Scheduled’
- Service performed but not authorized
- Service authorized but not performed
- Service performed but not approved/confirmed

This data must include services regardless of service delivery locations (home or community location, and ‘GPS’ coordinates when the mobile method is used to clock in/out).

5.1. Service Delivery Exception Report

Documentation Required

1. Submit a copy of the report for any given month in a PDF and Excel format.
2. Report must be created within the EVV System and conform to the EVV Standard Report Specifications provided by TMHP.
3. The report must use realistic, production-like data and include at least one of each of the following:
 - a. Service scheduled but not performed
 - b. Service performed but not scheduled
 - c. Service performed but not authorized
 - d. Service authorized but not performed
 - e. Service performed but not approved/confirmed
 - f. Service with a delivery location of home
 - g. Service with a delivery location of community
 - h. Service with GPS coordinates (where the mobile method is used to clock in/out)

6. VSS-23P

Business Rule

VSS-23P: 'Proprietary System Operator' must maintain a mapping of Texas-specific terms to the terms visible to users in the 'EVV System' User Interface (UI). Refer to Appendix I for a list of Texas-EVV specific terms.

6.1 Mapping of Texas-EVV Specific Terms

Documentation Required

1. Submit a document that includes all the Texas-EVV specific terms listed in Appendix I of the PS Business Rules.
2. The following information must be captured for each term:
 - a. An indication as to whether the term is visible to users in the EVV System.
 - b. For each term that is visible to users in the EVV System, the document must contain the following information for each instance of that term, including the following:
 - i. The component of the EVV system (back office application, mobile method, etc.)
 - ii. The window, screen, tab, etc. where the term appears
 - iii. The label associated with that term in the EVV System