



EVV Proprietary System Compliance Method – Certification (Example)

For Proprietary System Business Rules v3.0

Effective 03/20/2023

EVV Proprietary System Certification Form

The program provider or financial management services agency (FMSA) certifies that the statements listed below are true and correct. Further, the program provider or FMSA acknowledges that the Health and Human Services Commission (HHSC) has relied on these certifications to approve the program provider's or FMSA's use of the EVV proprietary system known as [EVV Proprietary System Name] to electronically document and verify the data elements for a service delivery visit. This certification form only applies to the version of the system reviewed by HHSC during the Readiness Review Process as identified on the program provider's or FMSA's EVV Proprietary System Request Form and Detailed Questionnaire.

1. My EVV proprietary system fully complies with the [HHSC EVV Business Rules for Proprietary Systems v3.0](#), except for those business rules or portions thereof that have been waived by HHSC as described in Attachment A to this certification form.
2. My EVV proprietary system fully complies with the [Electronic Visit Verification Policy Handbook](#).
3. My EVV proprietary system will be used to capture visits for only the following national provider identifiers (NPIs) and atypical provider identifiers (APIs): [All NPIs/APIs]

[Legal Entity Name]

Program Provider or FMSA Legal Entity Name

Name of Signature Authority (*printed*)


Signature (*stamped signatures not accepted*)

Date

Attachment A

Waived Business Rules from the HHSC EVV Business Rules v3.0 for Proprietary Systems

Note: This is only a template for informational purposes. Final waived business rules for a program provider or FMSA will be documented in the Certification Form prepared for the program provider or FMSA based on responses to the EVV Proprietary System Request Form and Detailed Questionnaire.

HHSC is waiving **ONLY** the business rules indicated by the check boxes **marked with an "X"**,  below for **[Legal Entity Name]**.

Consumer Directed Services

☐ HHSC waives the following business rules because **[Legal Entity Name]** does not function as a financial management services agency (FMSA) in relation to Medicaid consumer directed services (CDS):

- PAO-31P, PAO-36P, PAO-37P, SDV-37P, SDV-44P, SDV-45P, SDV-51P, SDV-59P, EVR-8P, VSS-24P, VSS-25P, VSS-26P, VSS-27P are waived in their entirety; and,
- PAO-30P, PAO-32P, PAO-33P, EVR-1P are waived in part, as shown in strikethrough text in the table below.

Business Rule ID	Business Rule text with waived provisions shown in strikethrough text
PAO-30P	<p>EVV System must allow the following relationships, at a minimum:</p> <ul style="list-style-type: none">• A Program Provider or FMSA can be associated with multiple Members.• A Program Provider or FMSA can be associated with multiple Service Providers.• A Program Provider or FMSA can be associated with multiple Subcontracted Providers.• A Program Provider or FMSA Business Unit can be associated with multiple CDS Employers.• A CDS Employer can be associated with multiple Members.• A CDS Employer can be a Member.• A CDS Employer can be associated with multiple Service Providers.

PAO-32P	<p>EVV System must allow the following relationships, at a minimum:</p> <ul style="list-style-type: none"> • A Service Provider can be associated with multiple Program Provider or FMSA Business Units. • A Service Provider can be associated with multiple CDS Employers. • A Service Provider can be associated with both Program Providers or FMSAs and CDS Employers. • A Service Provider can be associated with multiple Members.
PAO-33P	<p>EVV System must allow the following relationships, at a minimum:</p> <ul style="list-style-type: none"> • A Member can be associated with multiple Program Providers or FMSA Business Units. • A Member can be associated with only one CDS Employer. • A Member can be associated with both Program Provider and FMSA Business Units, and a CDS Employer. • A Member can be associated with multiple Service Providers
EVR-1P	<p>EVV System must provide reporting to support program requirements and monitoring. The EVV System must make the following standard reports available on demand, when applicable, based on data native to the EVV System. See Appendix G:</p> <ul style="list-style-type: none"> • EVV CDS Service Delivery Log • EVV Landline Phone Verification Report • EVV Service Delivery Exception Report • Non-EVV Relevant Time Logged

Non-EVV Services

☐ HHSC waives the following business rule because [Legal Entity Name] does not perform non-EVV services during an EVV service delivery visit:

- EVR-1P is waived in part, as shown in strikethrough text in the table below.

Business Rule ID	Business Rule text with waived provisions shown in strikethrough text
EVR-1P	EVV System must provide reporting to support program requirements and monitoring. The EVV System must make the following standard reports available on demand, when applicable, based on data native to the EVV System. See Appendix G: <ul style="list-style-type: none">• EVV CDS Service Delivery Log• EVV Landline Phone Verification Report• EVV Service Delivery Exception Report• Non-EVV Relevant Time Logged

☐ HHSC waives the following business rule because [Legal Entity Name] performs non-EVV services during an EVV service delivery visit but does not intend to deduct the time from the EVV Visit:

- SDV-10P is waived in its entirety

EVV Optional Services

☐ HHSC waives the following business rules because [Legal Entity Name] performs EVV Optional services during an EVV service delivery visit, but does not allow Service Providers or CDS employees to clock in and clock out for those services:

- SDV-83P is waived in its entirety

Web Services

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use the EVV Master Provider web service to validate program provider or FMSA identification data elements:

- PAO-4P, PAO-5P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use the Prior Authorization Web Service to obtain Fee for Service authorization data:

- ESA-2P, ESA-3P, ESA-4P, ESA-17P

☐ HHSC waives the following business rule in its entirety because [Legal Entity Name] does not use the Payer Plan Code Service:

- SDV-55P

X12 270/271 Member Eligibility

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use the Medicaid Eligibility X12 270/271 to validate Member Eligibility data:

- PAO-16P, SDV-14P

Electronic Verification Methods

☐ HHSC waives the following business rules because [Legal Entity Name] does not use the home landline phone as an electronic verification method:

- EVM-3P, EVM-4P, EVM-22P, PAO-42P, SDV26P are waived in their entirety; and,
- EVR-1P is waived in part, as shown in strikethrough text in the table below.

Business Rule ID	Business Rule text with waived provisions shown in strikethrough text
EVR-1P	EVV System must provide reporting to support program requirements and monitoring. The EVV System must make the following standard reports available on demand, when applicable, based on data native to the EVV System. See Appendix G: <ul style="list-style-type: none">• EVV CDS Service Delivery Log• EVV Landline Phone Verification Report• EVV Service Delivery Exception Report• Non-EVV Relevant Time Logged

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use an alternative device as an electronic verification method:

- EVM-5P, EVM-6P, EVM-23P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use a mobile application as an electronic verification method:

- EVM-7P, EVM-8P, EVM-10P, EVM-11P, EVM-12P, EVM-16P, EVM-17P, EVM-18P, EVM-19P, EVM-20P, EVM-21P, EVM-24P, EVM-25P, EVM-27P, EVM-28P, SDV-10P, PAO-34P,

Service Delivery Schedules

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not deliver services that require the use of service delivery schedules:

- ESA-8P, ESA-18P, ESA-19P, ESA-20P, ESA-21P, SDV-49P, SDV-50P.
- All Daily Variable Schedule Rules: ESA-22P, ESA-23P, ESA-24P, ESA-25P, ESA-26P, ESA-27P, ESA-28P, SDV-19P.
- All Weekly Variable Schedule Rules: ESA-29P, ESA-30P, ESA-31P, ESA-32P, ESA-33P, ESA-34P, ESA-35P, ESA-36P, ESA-37P, ESA-38P, ESA-39P, ESA-40P, ESA-41P, ESA-42P; and
- All Daily Fixed Schedule Rules: ESA-43P, ESA-44P, ESA-45P, ESA-46P, ESA-47P, ESA-48P, ESA-49P, ESA-50P, ESA-51P.

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not offer more than one type of schedule:

- ESA-18P, ESA-21P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use a Daily Fixed Schedule:

- ESA-43P, ESA-44P, ESA-45P, ESA-46P, ESA-47P, ESA-48P, ESA-49P, ESA-50P, ESA-51P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use a Daily Variable Schedule:

- ESA-22P, ESA-23P, ESA-24P, ESA-25P, ESA-26P, ESA-27P, ESA-28P, SDV-19P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] does not use a Weekly Variable Schedule:

- ESA-29P, ESA-30P, ESA-31P, ESA-32P, ESA-33P, ESA-34P, ESA-35P, ESA-36P, ESA-37P, ESA-38P, ESA-39P, ESA-40P, ESA-41P, ESA-42P

Optional Visit Maintenance Reduction Strategies

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] has opted not to use the Optional Expanded Time for Auto-Verification visit maintenance reduction option when using Daily Fixed and/or Daily Variable schedule type:

- SDV-49P, ESA-27P, ESA-50P

☐ HHSC waives the following business rules in their entirety because [Legal Entity Name] has opted not to use the Optional Automatic Downward Adjustment visit maintenance reduction option when using Daily Fixed and/or Daily Variable schedule type:

- SDV-50P, ESA-28P, ESA-51P

Service Authorizations

☐ HHSC waives the following business rule in its entirety because [Legal Entity Name] is an FMSA and does not allow or plan to allow a Service Provider to clock in and clock out without an Active Service Authorization:

- ESA-55P