# **ORTHOTIC AND PROSTHETIC DEVICES**

**CSHCN Services Program Provider Manual** 

**March 2023** 



## **ORTHOTIC AND PROSTHETIC DEVICES**

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#### 28.1 Enrollment

To enroll in the CSHCN Services Program, an orthotics and prosthetics provider must be actively enrolled in Texas Medicaid as a durable medical equipment (DME) provider or as a licensed prosthetist and/or orthotist, have a valid Provider Agreement with the CSHCN Services Program, have completed the CSHCN Services Program enrollment process by enrolling as an individual or as a group of performing providers, and comply with all applicable state laws and requirements. The CSHCN Services Program does not enroll orthotists and prosthetists as facilities. Out-of-state orthotics and prosthetics providers must meet all of these conditions, and be located in the United States, within 50 miles of the Texas state border, and approved by the Department of State Health Services (DSHS).

**Important:** CSHCN Services Program providers are responsible for knowing, understanding, and complying with the laws, administrative rules, and policies of the CSHCN Services Program and Texas Medicaid.

By enrolling in the CSHCN Services Program, providers are charged not only with knowledge of the adopted CSHCN Services Program agency rules published in Title 26 Texas Administrative Code (TAC), but also with knowledge of the adopted Medicaid agency rules published in 1 TAC, Part 15, and specifically including the fraud and abuse provisions contained in Chapter 371.

CSHCN Services Program providers also are required to comply with all applicable laws, administrative rules, and policies that apply to their professions or to their facilities. Specifically, it is a violation of program rules when a provider fails to provide health-care services or items to recipients in accordance with accepted medical community standards and standards that govern occupations, as explained in 1 TAC §371.1659 for Medicaid providers, which also applies to CSHCN Services Program providers as set forth in 26 TAC §351.6(b)(1). Accordingly, CSHCN Services Program providers can be subject to sanctions for failure to deliver, at all times, health-care items and services to recipients in full accordance with all applicable licensure and certification requirements. These include, without limitation, requirements related to documentation and record maintenance, such that a CSHCN Services Program provider can be subject to sanctions for failure to create and maintain all records required by his/her profession, as well as those required by the CSHCN Services Program and Texas Medicaid.

**Referto:** Section 2.1, "Provider Enrollment" in Chapter 2, "Provider Enrollment and Responsibilities" for more detailed information about CSHCN Services Program provider enrollment procedures.

## 28.2 Benefits, Limitations, and Authorization Requirements

Orthoses, prostheses, and prescription shoes may be a benefit of the CSHCN Services Program. These benefits are solely for external orthoses and prostheses. Items must be prescribed by a licensed physician or podiatrist (for conditions below the ankle) and fitted by an orthotist or prosthetist enrolled in the CSHCN Services Program, even if the device is supplied by another enrolled provider type. Noncustom commercial products may be supplied through a physician's office. Licensed occupational therapists may provide upper extremity splints and inhibitive casting, and licensed physical therapists may provide lower extremity inhibitive casts.

Training in the use of an orthotic or prosthetic device for a client who has not worn one previously, has not worn one for a prolonged time period, or is receiving a different type may be reimbursed when provided by a licensed PT or OT. Therapy for the purpose of training a client in the use of an orthotic or prosthetic device will be approved for up to five times a week for 1 month; then three times a week for 2 months. Additional request forms require documentation of medical necessity.

#### 28.2.1 General Authorization Requirements

All orthoses and prostheses procedures addressed in this chapter require prior authorization. Requests for prior authorization must be in writing on a completed <u>CSHCN Services Program Prior Authorization and Authorization Request for Durable Medical Equipment (DME) Form</u> with all procedure codes included. Documentation that supports the medical necessity of the requested item must be included with the prior authorization request.

Modifications of orthotic and prosthetic systems, due to growth or a change in medical status, may be prior authorized. Repairs required due to normal wear may be prior authorized. Additional information may be requested to determine if repairs and modifications are cost-effective.

#### 28.2.2 Orthoses and Prostheses (Not All-Inclusive)

The following listed conditions are a guide. Additional documentation of medical necessity must be provided if orthoses, prostheses (artificial limbs), or other orthopedic devices are requested for an unlisted condition:

Orthoses	Applicable Condition
Helmet	Neoplasms of the brain, subarachnoid hemor- rhage, subdural hemorrhage, hemophilia, epilepsy, cerebral palsy
Spinal orthosis, collar, corset, body jacket (thoracic-lumbar-sacral orthoses [TLSO], lumbar-sacral orthoses [LSO], cervical thoracic-lumbar-sacral orthoses [CTLSO])	Scoliosis, spinal injuries, paraplegia, kyphosis, neurofibromatosis, cerebral palsy, spina bifida, spinal tumor
Hip orthosis (HO), Pavlik harness, Ilfled, Craig	Dislocated hip, cerebral palsy, spina bifida, congenital deformities of hip
Thoracic-hip-knee-ankle orthosis (THKAO), parapodium (standing frame), swivel walker	Spina bifida, spinal injuries, spinal tumor, cerebral palsy, paraplegia
Hip-knee-ankle-foot orthosis (HKAFO), knee-ankle-foot orthosis (KAFO) (also known as a long leg brace), knee orthosis (KO), knee immobilizer	Spina bifida, cerebral palsy, paraplegia, late effects of CVA, spinal cord lesions, arthrogryposis, club foot, varus deformities of feet, genu varus and genu valgus if due to growth deformity, arthropathy associated with hematological disorders related to lower extremity conditions
Ankle foot orthosis (AFO)	Foot anomalies, cerebral palsy, hemiplegia, spina bifida, club foot, arthrogryposis, arthropathy associated with extremity conditions
Inhibitive casting	Cerebral palsy, increased muscle tone related to central nervous system lesions/disorders
Foot orthosis, Dennis Brown splint, counter- rotation system	Foot anomalies, tibial torsion, club foot, varus deformities of feet, cerebral palsy, spina bifida arthrogryposis, arthritic conditions (medical justification needed for valgus deformities of the feet)
Upper extremity orthosis, shoulder orthosis (SO), elbow orthosis (EO), wrist-hand-finger orthosis (WHFO), mobile arm support (MAS: shoulder-elbow-wrist-hand orthoses [SEWHO])	Cerebral palsy, spinal cord injury, brachial plexus lesions, nerve lesions, paralysis, juvenile rheumatoid arthritis, reduction of deformities
Static or Dynamic Mechanical Stretching Device	Cerebral palsy, increased muscle tone related to central nervous system lesions/disorders
University of California-Berkeley (UCB) shoe	Valgus deformity and significant congenital pes planus with pain, a structural problem that results in significant pes planus, acute plantar fasciitis, or a diagnosis of hemophilia

Orthoses	Applicable Condition
Reciprocating gait orthosis (RGO)	Spina bifida or similar functional disabilities
Partial foot, ankle, below knee, above knee, hip disarticulation, hemipelvectomy, immediate postsurgical	Congenital absence, surgical revision, or traumatic amputation of lower extremity or hip
Partial hand, wrist disarticulation, below elbow, above elbow, elbow disarticulation	Congenital absence, surgical revision, or traumatic amputation of upper extremity or shoulder
Myoelectric prostheses (powered limbs)	Congenital absence of limb, traumatic amputation limb, bilateral shoulder disarticulation

#### 28.2.2.1 Repairs, Replacements, and Modifications to Orthoses and Prostheses

Repairs, replacements, and modifications to orthoses and prostheses are a benefit of the CSHCN Services Program when medically necessary criteria are met.

Repairs due to normal wear and modifications due to growth or change in medical status will be considered for prior authorization when the repair or modification is more cost-effective than the replacement of the device.

- Additional information from the provider may be requested to determine cost-effectiveness.
- Documentation supporting medical necessity must be provided when requesting prior authorization.

Replacement of orthotic or prosthetic devices will be considered for prior authorization with medical justification.

- Orthotic devices are anticipated to last a minimum of 6 months from the receipt of the initial system.
- Prosthetic devices are anticipated to last a minimum of one year from the receipt of the initial definitive/permanent system.
- Preparatory or temporary prostheses may be replaced in less than 12 months of their receipt, but they will undergo medical review if the permanent prosthesis is requested less than 6 months after provision of the preparatory or temporary prosthesis.
- Replacement of an orthosis or prosthesis will be considered when loss or irreparable damage has occurred due to a traumatic event such as a vehicle accident, a residential fire, or theft. A copy of the police or fire report is required when appropriate, along with the measures to be taken to prevent a repeat of similar loss.

Socket replacements will be considered for prior authorization with documentation of functional or physiological need, including, but not limited to, changes in the residual limb, functional need changes, or irreparable damage or wear due to excessive weight or prosthetic demands of very active amputees.

#### 28.2.2.2 Mechanical Stretching Devices

Mechanical stretching devices are a benefit of the CSHCN Services Program. Mechanical stretching devices are not motorized and may be prefabricated or custom fabricated. The following are Classifications of Stretching Devices:

- Dynamic low-load prolonged-duration stretch (LLPS) devices
- Static progressive stretch (SPS) device
- Patient-actuated serial stretch (PASS) device

#### 28.2.2.3 Orthoses and Prostheses Training

Training in the use of an orthosis or prosthesis for a client who has not worn one previously, has not worn one for a prolonged time period, or is receiving a different type is a benefit when the training is provided by a licensed physical or occupational therapist.

Therapy for the purpose of training a client in the use of an orthosis or prosthesis may be approved for up to 5 times per week for 1 month; then 3 times per week for 2 months. Additional requests will require medical review.

RGO and dynamic splints require medical review at the onset of training therapy.

#### 28.3 Orthoses and Related Services

All requests for prior authorization must include documentation of medical necessity including documentation that the client meets one of the following general indications for the requested device:

- Reducing pain by restricting mobility of the affected body part
- Facilitating healing following injury or surgery to the affected body part
- Supporting weak muscles or a deformity of the affected body part

The provider must maintain written documentation in the client's medical record including the prescription for the device and accurate diagnostic information supporting the medical necessity for the requested device.

#### 28.3.1 Prior Authorization and Documentation Requirements

Prior authorization is required for all orthoses and related services. All requests for prior authorization must include documentation of medical necessity including, but not limited to, documentation that the client meets all of the following general indications for the requested device.

Orthoses will be considered for prior authorization with documentation that the device is needed for one of the following indications:

- To reduce pain by restricting mobility of the affected body part.
- To facilitate healing following an injury to the affected body part or related soft tissue.
- To facilitate healing following a surgical procedure on the affected body part or related soft tissue.
- To support weak muscles and/or a deformity of the affected body part.

The provider must maintain the following written documentation in the client's medical record:

- The prescription for the device.
- Orthotic and devices must be prescribed by a physician (M.D., D.O.) or a podiatrist. A podiatrist prescription is valid for conditions of the ankle and foot.
- Accurate diagnostic information supporting the medical necessity for the requested device.
- The prior authorization is valid for a maximum period of six months from the prescription signature date. At the end of the six-month authorization period, a new prescription is required for prior authorization of additional services.
- Other orthopedic devices will be considered for prior authorization with documentation of medical necessity as outlined for the specific orthotic device.

## 28.3.2 Orthotic and Orthopedic Devices Procedure Codes

The following orthoses procedure codes may be reimbursed in the home setting to an orthotist, prosthetist, medical supplier (DME), and custom DME provider:

Outhors	o Duo oo daa	us Codos							
	s Procedu								
	ve Helmet		1.0000	1 4 0 0 0 4					
A8000	A8001	A8002	A8003	A8004					
	nd Dynam	1					1	1	T
E1800	E1801	E1802	E1805	E1806	E1810	E1811	E1812	E1815	E1816
E1818	E1820 (p only)	ourchase	E1821 (ponly)	ourchase	E1825	E1830	E1840	E1841	
Cervical	Orthoses								
L0112	L0113	L0120	L0130	L0140	L0150	L0160	L0170	L0172	L0174
L0180	L0190	L0200		•			•		
Thoraci	c Rib Belts	3							
L0220									
Thoraci	c–Lumbar	-Sacral O	rthoses						
L0450	L0452	L0454	L0455	L0456	L0457	L0458	L0460	L0462	L0464
L0466	L0467	L0468	L0469	L0470	L0472	L0480	L0482	L0484	L0486
L0488	L0490	L0491	L0492				<u> </u>	l .	l .
Sacroili	ac Orthose	es	·						
L0621	L0622	L0623	L0624						
Lumbar	Orthoses	1	·						
L0625	L0626	L0627							
Lumbar	-Sacral O	rthoses							
L0628	L0629	L0630	L0631	L0632	L0633	L0634	L0635	L0636	L0637
L0638	L0639	L0640	L0641	L0642	L0643	L0648	L0649	L0650	L0651
Cervical	l-Thoracio	-Lumbar	-Sacral O	rthoses				L	
L0700	L0710								
Halo Pr	ocedures								
L0810	L0820	L0830	L0859	L0861					
Spinal C	Corset Ortl	hoses							
L0970	L0972	L0974	L0976						
Miscella	neous Dev	vices							
L0978	L0980	L0982	L0984	L0999					
Spinal C	Orthosis–N	<b>1ilwaukee</b>	Brace	1					
L1000									
CTLSO-	- Infant Si	ze Immob	ilizer						
L1001									
	Orthoses fo	or Scoliosi	s						
L1005	L1010	L1020	L1025	L1030	L1040	L1050	L1060	L1070	L1080
L1085	L1090	L1100	L1110	L1120			1	1	
	1	I	I	1					

Orthose	s Procedu	re Codes							
Thoraci	c–Lumbar	-Sacral O	rthoses-I1	nitial and	Additions	3			
L1200	L1210	L1220	L1230	L1240	L1250	L1260	L1270	L1280	L1290
Other S <sub>1</sub>	pinal Orth	oses							L
L1300	L1310	L1499							
Hip Ort	hoses	1							
L1600	L1610	L1620	L1630	L1640	L1650	L1652	L1660	L1680	L1685
L1686	L1690	L1700					·		
Legg Per	rthes Orth	oses							
L1710	L1720	L1730	L1755						
Knee Or	thoses								
L1810	L1812	L1820	L1830	L1831	L1832	L1833	L1834	L1836	L1840
L1843	L1844	L1845	L1846	L1847	L1848	L1850	L1851	L1852	L1860
Ankle-F	oot Ortho	ses/Ankle	Orthoses						
L1900	L1902	L1904	L1906	L1907	L1910	L1920	L1930	L1932	L1940
L1945	L1950	L1951	L1960	L1970	L1971	L1980	L1990	1	1
Knee-Aı	nkle-Foot	Orthoses			-				
L2000	L2005	L2006	L2010	L2020	L2030	L2034	L2035	L2036	L2037
L2038		-				l	I	· I	
Hip-Kno	ee-Ankle-I	Foot Orth	oses						
L2040	L2050	L2060	L2070	L2080	L2090				
Fracture	Orthoses	-Lower L	imb						
L2106	L2108	L2112	L2114	L2116	L2126	L2128	L2132	L2134	L2136
	ns to Lowe				-			·	·
•						g to hospit			T
L2180	L2182	L2184	L2186	L2188	L2190	L2192	L2200	L2210	L2220
L2230	L2232 *	L2240	L2250	L2260	L2265	L2270 *	L2275	L2280	L2300
L2310	L2320	L2330	L2335	L2340	L2350	L2360	L2370	L2375	L2380
L2385	L2387	L2390	L2395	L2397	L2405	L2415	L2425	L2430	L2492
L2500	L2510	L2520	L2525	L2526	L2530	L2540	L2550	L2570	L2580
L2600	L2610	L2620	L2622	L2624	L2627	L2628	L2630	L2640	L2650
L2660	L2670	L2680	L2750	L2755	L2760	L2768	L2780	L2785	L2795
L2800	L2810	L2820	L2830	L2840	L2850	L2861			
	neous Lov	ver-Limb	Orthosis						
L2999									
	thoses/Ins								
L3000	L3001	L3002	L3003	L3010	L3020	L3030	L3031	L3040	L3050
L3060	L3070	L3080	L3090	L3100	L3140	L3150	L3160	L3170	
Orthope	dic Shoes	and Surgi	cal Boots						
L3201	L3202	L3203	L3204	L3206	L3207	L3208	L3209	L3211	L3212
L3213	L3214	L3215	L3216	L3217	L3219	L3221	L3222	L3224	L3225

Orthose	s Procedu	re Codes							
L3230	L3250	L3251	L3252	L3253	L3254	L3255	L3257	L3260	L3265
Heel Lift	ts and We	dges				-	•		
L3300	L3310	L3320	L3330	L3332	L3334	L3340	L3350	L3360	L3370
L3380	L3390	L3400	L3410	L3420	L3430	L3440	L3450	L3455	L3460
L3465	L3470	L3480	L3485						
Addition	ns to Orth	opedic Sho	oes						
L3500	L3510	L3520	L3530	L3540	L3550	L3560	L3570	L3580	L3590
L3595									
Transfer	r of Ortho	sis							
L3600	L3610	L3620	L3630	L3640	L3649				
Shoulde	r Orthoses	s							
L3650	L3660	L3670	L3671	L3674	L3675	L3677	L3678		
Elbow/E	Elbow/Elbow-Wrist-Hand/Elbow-Wrist-Hand-Finger Orthoses								
L3702	L3710	L3720	L3730	L3740	L3760	L3761	L3762	L3763	L3764
L3765	L3766								
Wrist-H	land/Wris	t-Hand-F	inger/Haı	ıd-Finger	Orthoses				
L3806	L3807	L3808	L3809	L3891	L3900	L3901	L3904	L3905	L3906
L3908	L3912	L3913	L3915	L3916	L3917	L3918	L3919	L3921	L3923
L3924	L3925	L3927	L3929	L3930	L3931	L3933	L3935		
Addition	ns to Uppe	er–Limb Jo	int						
L3956									
Shoulde	r-Elbow/S	Shoulder–I	Elbow-Wr	ist-Hand	Orthoses				
L3960	L3961	L3962	L3967	L3971	L3973	L3975	L3976	L3977	L3978
Fracture		–Upper Li	mb						
L3980	L3981	L3982	L3984	L3995					
Miscella	neous Upp	per–Limb	Orthosis						
L3999									
		nent Proce	1						
L4000	L4002	L4010	L4020	L4030	L4040	L4045	L4050	L4055	L4060
L4070	L4080	L4090	L4100	L4110	L4130				
_	f Orthose	s							
L4205	L4210								
		oot Drop S							
L4350	L4360	L4361	L4370	L4386	L4387	L4392	L4394	L4396	L4397
L4398	L4631								

#### 28.3.3 Noncovered Orthotic and Prosthetic Services

The following services are not a benefit of the CSHCN Services Program:

- Replacement or repair of an orthotic or prosthetic device due to confirmed misuse or abuse by the client, the client's family, or the vendor
- Orthoses primarily used for athletic or recreational purposes

#### 28.3.4 Spinal Orthoses

Spinal orthoses include, but are not limited to, cervical orthoses, thoracic rib belts, thoracic-lumbar-sacral orthoses (TLSO), sacroiliac orthoses, lumbar orthoses, lumbar-sacral orthoses (LSO), cervical-thoracic-lumbar-sacral orthoses (CTLSO), halo procedures, spinal corset orthoses, and spinal orthoses for scoliosis.

Spinal orthoses will be considered for prior authorization with documentation of one of the general indications in Section 28.3.1, "Prior Authorization and Documentation Requirements" in this chapter.

#### 28.3.5 Thoracic-Hip-Knee-Ankle (THKA) Orthoses

THKA orthoses will be considered for prior authorization with documentation of one of the general indications outlined in Section 28.3.1, "Prior Authorization and Documentation Requirements" in this chapter.

#### 28.3.6 Lower-Limb Orthoses

Lower-limb orthoses include, but are not limited to, hip orthoses (HO), Legg Perthes orthoses, knee orthoses (KO), ankle-foot orthoses (AFO), knee-ankle-foot orthoses (KAFO), hip-knee-ankle-foot orthoses (HKAFO), fracture orthoses, and reciprocating gait orthoses (RGO).

In addition to the general indication requirements, lower-limb orthoses will be considered for prior authorization with documentation of the following criteria for specific orthotic devices.

#### 28.3.6.1 Ankle-Foot Orthoses (AFO)

AFOs used during ambulation will be considered for prior authorization for clients with documentation of all of the following:

- Weakness or deformity of the foot and ankle
- A need for stabilization for medical reasons
- Anticipated improvement in functioning during activities of daily living (ADLs) with use of the device

AFOs not used during ambulation (static AFO) will be considered for prior authorization for clients with documentation of one of the following conditions:

- Plantar fasciitis
- Plantar flexion contracture of the ankle, with additional documentation that includes all of the following:
  - Dorsiflexion on pretreatment passive range of motion testing is at least ten degrees.
  - The contracture is interfering or is expected to interfere significantly with the client's functioning during ADLs.
  - The AFO will be used as a component of a physician-prescribed therapy plan care, which includes active stretching of the involved muscles or tendons.
  - There is reasonable expectation that the AFO will correct the contracture.

#### 28.3.6.2 Reciprocating Gait Orthoses (RGO)

Reciprocating gait orthoses will be considered for prior authorization for clients with spina bifida or similar functional disabilities.

The prior authorization request must include a statement from the prescribing physician that indicates medical necessity for the RGO, the physical therapy treatment plan, and documentation that the client or family is willing to comply with the treatment plan.

#### 28.3.7 Foot Orthoses

Foot orthoses include, but are not limited to, foot inserts, orthopedic shoes, wedges, and lifts.

Foot orthoses will be considered for prior authorization for clients with documentation of all the following:

- The client has symptoms associated with the particular foot condition.
- The client has failed to respond to a course of appropriate, conservative treatment, including physical therapy, injections, strapping, or anti-inflammatory medications.
- The client has at least one of the following:
  - Torsional conditions, such as metatarsus adductus, tibial torsion, or femoral torsion
  - Structural deformities
  - Hallux valgus deformities
  - In-toe or out-toe gait
  - Musculoskeletal weakness

In addition to the general indication requirements, foot orthoses will be considered for prior authorization with documentation of the following criteria for specific orthotic devices.

#### 28.3.7.1 Foot Inserts

Removable foot inserts will be considered for prior authorization for clients with documentation of at least one of the following medical conditions:

- Diabetes mellitus
- History of amputation of the opposite foot or part of either foot
- History of foot ulceration or pre-ulcerative calluses of either foot
- Peripheral neuropathy with evidence of callus formation of either foot
- Deformity of either foot
- Poor circulation of either foot

The CSHCN Services Program may authorize removable foot inserts independently of orthopedic shoes with documentation that the client has appropriate footwear into which the insert can be placed.

A University of California–Berkeley (UCB) removable foot insert will be considered for prior authorization with documentation that the device is required to correct or treat at least one of the following conditions:

- A valgus deformity and significant congenital pes planus, which is symptomatic for pain
- A structural problem which results in significant pes planus
- Acute plantar fasciitis
- A diagnosis of hemophilia

Authorization requests for removable shoe insert must be submitted on the <u>CSHCN Services Program Prior Authorization and Authorization Request for Durable Medical Equipment (DME) Form.</u>

**Referto:** Section 4.3, "Authorizations" in Chapter 4, "Prior Authorizations and Authorizations" for detailed information about authorization requirements.

#### 28.3.7.2 Prescription Shoes

Prescription shoes (corrective or orthopedic shoes) must be prescribed by a licensed physician (M.D. or D.O.) or a podiatrist. An orthopedic shoe is used by clients whose feet, although impaired, are essentially intact. An orthopedic shoe differs from a prosthetic shoe, which is used by clients who are missing all or most of the forefoot.

Orthopedic shoes will be considered for prior authorization when at least one of the following criteria is met:

- The shoe is permanently attached to a brace.
- The shoe is custom modified by an orthotist or prosthetist/orthotist at the direction of the prescribing physician.
- The shoe is necessary to hold a surgical correction, postoperative casting, or serial or clubfoot.
- Casting (does not have to be attached to a brace). A prescription shoe may be prior authorized up to one year from the date of the surgical procedure.
- Documented by a physician as to specific medical rationale. Lifts for unequal leg length greater than
  one-half inch will be covered with documentation of medical need; the prescription shoe itself and
  the lift may be reimbursable.

Only one pair of prescription shoes will be prior authorized every three months. Two pairs of shoes may be purchased at the same time; in such situations, however, additional requests for shoes will not be considered for coverage for another six months.

If the primary diagnosis is valgus deformities of the feet, medical justification is required.

#### 28.3.7.3 Noncovered Shoes or Shoe Inserts

The following are not considered a prescription shoe:

- A tennis shoe, even if prescribed by a physician and worn with a removable brace.
- A shoe insert when it is not a part of a modified shoe or when the shoe in which it is inserted is not attached to a brace (other than University of California–Berkeley-type, Healthcare Common Procedure Coding System [HCPCS] procedure code L3000).

#### 28.3.7.4 Wedges and Lifts

Wedges and lifts must be prescribed by a licensed physician (M.D. or D.O.) or a podiatrist and must be for treatment of unequal leg length greater than one-half inch.

Prior authorization is required with justification of medical necessity for wedges and lifts.

#### 28.3.8 Upper-Limb Orthoses

Upper-limb orthoses include, but are not limited to, shoulder orthoses (SO), elbow orthoses (EO), elbow-wrist-hand orthoses (EWHO), elbow-wrist-hand-finger orthoses (EWHFO), wrist-hand-finger orthoses (WHFO), wrist-hand orthoses (WHO), hand-finger orthoses (HFO), finger orthoses (FO), shoulder-elbow-wrist-hand orthoses (SEWHO), shoulder-elbow orthoses (SEO), and fracture orthoses.

In addition to the general indication requirements, upper-limb orthoses will be considered for prior authorization with documentation of one of the general indications outlined in Section 28.3.1, "Prior Authorization and Documentation Requirements" in this chapter.

#### 28.3.9 Other Orthopedic Devices

#### 28.3.9.1 Protective Helmets

Protective helmets used for conditions such as neoplasm of the brain, subarachnoid subdural hemorrhage, epilepsy, or cerebral palsy may be reimbursed by the CSHCN Services Program with prior authorization using the following procedure codes:

Procedur	e Codes			
A8000	A8001	A8002	A8003	A8004

Protective helmets will be considered for prior authorization for clients with a documented medical condition that makes the client susceptible to injury during ADLs. Covered medical conditions include the following:

- Neoplasm of the brain
- Subarachnoid hemorrhage
- Epilepsy
- Cerebral palsy

Requests for all conditions other than those listed above require submission of additional documentation that supports the medical necessity of the requested device.

#### 28.3.9.2 Cranial Molding Orthosis

The CSHCN Services Program may cover cranial molding orthosis (procedure code \$1040) for positional plagiocephaly with documentation supporting the use of the cranial molding orthosis to modify or prevent an associated functional impairment. Cranial molding orthosis may only be approved for children who are 3 through 18 months of age.

The CSHCN Services Program may cover cranial molding orthosis for use after surgery for cranial deformities, including craniosynostosis.

Studies indicate repositioning and physical therapy can be effective treatment for positional plagiocephaly. If detected early, repositioning combined with prone positioning while awake can correct the condition in the majority of children. For infants with a diagnosis of positional plagiocephaly who do not meet the criteria described in this chapter, the use of a cranial molding orthosis is considered cosmetic and, therefore, not medically necessary.

The effective use of cranial molding orthosis for the treatment of brachycephaly or a high cephalic index without cranial asymmetry has not been clearly documented, is not medically necessary, and, therefore, is not a benefit of the CSHCN Services Program.

#### 28.3.9.2.1 Definitions of Plagiocephaly

Plagiocephaly is defined as an asymmetric skull deformity that is generally characterized by occipital flattening giving the head an oblique configuration.

Synostotic plagiocephaly occurs when there is a premature union of cranial sutures (coronal or lamboid). This pathological condition generally requires surgical intervention, with or without postoperative use of a cranial orthosis.

Positional plagiocephaly results from external pressure (molding) that causes the cranium, in which the premature union of the cranial sutures (coronal or lamboid) has not occurred, to become asymmetrical.

#### 28.3.9.2.2 Authorization Requirements

Prior authorization is required for cranial molding orthosis which will be reviewed by the CSHCN medical director or designee. Prior authorization requests must be submitted on the CSHCN Services Program Authorization and Prior Authorization Request Form.

Cranial molding orthosis may be considered for prior authorization when they are part of a treatment plan for shaping the skull in cases of post-operative synostotic plagiocephaly or positional plagiocephaly with an associated functional impairment. Documentation that the use of the cranial molding orthosis will modify or prevent the development of such impairment is required.

Documentation supporting medical necessity must include all of the following:

- Plan of treatment and/or follow up schedule
- The assessment and recommendations of the appropriate primary care physician, pediatric subspecialist, craniofacial team, or pediatric neurosurgeon
- A full description of the physical findings, precise diagnosis, age of onset and the etiology of the deformity
- Reports of any radiological procedures used in making the diagnosis
- Client is at least 3 months of age, but not greater than 18 months of age
- Anthropometric measurements documenting greater than 10 mm of cranial asymmetry

The written documentation of medical necessity must also include that aggressive repositioning interventions was attempted, with or without physical therapy, of at least three months' duration without improvement in cranial asymmetry. The attempted aggressive repositioning interventions may include, but is not limited to:

- Repositioning the client's head to the opposite side of the preferred position when the infant is either lying down, reclined, or sitting.
- Gently turning and stretching the client's neck at each diaper change.
- Repositioning the client's bed, thus encouraging the infant to look away from the flattened side to view other objects of interest.
- The trial of repositioning intervention has failed to improve the deformity and is judged to be unlikely to do so.

Repositioning may not be indicated for children who are over 6 months of age. Repositioning therapy for this age group may be waived with documentation of medical necessity.

Requests for clients with a comorbid diagnosis that prohibits repositioning will be evaluated on an individual basis.

Prior authorization requests for subsequent cranial molding orthosis must include documentation of medical necessity including new measurements.

Muscular torticollis (wry neck) characterized by tight or shortened neck muscles that result in a head tilt or turn, is often associated with the secondary development of positional plagiocephaly. Therefore, clients with muscular torticollis and positional plagiocephaly must have documentation of early, aggressive treatment (stretching, positioning and/or physiotherapy) prior to consideration of prior authorization for cranial orthosis.

#### 28.3.9.3 Static and Dynamic Mechanical Stretching Devices

Static and dynamic mechanical stretching devices will be considered for prior authorization for a 3-month trial period when the request is submitted with the following documentation supporting medical necessity:

- Client's condition
- Client's current course of therapy
- Rationale for the use of the static or dynamic mechanical stretching device
- Agreement by the client or family that the client will comply with the prescribed use of the static or dynamic mechanical stretching device

Requests for purchase of the device must include documentation of successful completion of the 3-month trial period, with improvement in the client's condition as measured by one of the following:

- Demonstrated increase in range of motion
- Demonstrated improvement in the ability to complete ADLs or perform activities outside the home

**Note:** If the cost of the rental is expected to exceed the purchase price, purchase of the device should be considered.

Authorization requests for static or dynamic mechanical stretching devices must be submitted on the <u>CSHCN Services Program Prior Authorization and Authorization Request for Durable Medical Equipment (DME) Form.</u>

**Referto:** Section 4.3, "Authorizations" in Chapter 4, "Prior Authorizations and Authorizations" for detailed information about authorization requirements.

#### 28.4 Prostheses and Related Services

#### 28.4.1 Prior Authorization and Documentation Requirements

Prior authorization is required for all prostheses and related services. All requests for prior authorization must include a valid prescription for the prosthetic device that is prescribed by a physician (M.D., D.O.).

**Note:** The prescription must be maintained in the client's medical record, and is valid for a maximum period of 6 months. At the end of the 6-month prescription period, additional prior authorization is required for any repairs, replacements, or related services.

Documentation of medical necessity must include, but is not limited to, documentation that the client meets the following general indications for the device:

- The prosthesis replaces all or part of the function of a permanently inoperative, absent, or malfunctioning part of the limb.
- The prosthesis is required for activities of daily living and/or for rehabilitation purposes.

The provider must maintain the following documentation in the client's medical record:

- The prescription for the requested prosthetic device
- Written documentation of a rehabilitation program prescribed by the treating physician, including expected goals with the use of the prosthesis
- Written documentation that the client or client's family/caregiver is willing to comply with the rehabilitation program

### 28.4.2 Prostheses Procedure Codes

The following prostheses procedure codes may be reimbursed in the home setting to an orthotist, prosthetist, medical supplier (DME), and custom DME provider:

L5000	L5010	L5020	L5050	L5060	L5100	L5105	L5150	L5160	
Above-K	Inee Shor	t Prosthes	es						
L5200	L5210	L5220	L5230						
Hip and	Knee Dis	 articulatio	n Prosthe	ses					
L5250	L5270	L5280	L5301	L5312	L5321	L5331	L5341		
Postsurg	gical Prost	theses							
L5400	L5410	L5420	L5430	L5450	L5460	L5500	L5505		
Preparat	tory Prost	heses	1						
L5510	L5520	L5530	L5535	L5540	L5560	L5570	L5580	L5585	L5590
L5595	L5600			_			_	_	
Addition	ns to Lowe	er-Limb P	ostheses						
L5610*	L5611*	L5613*	L5614*	L5616*	L5617	L5618	L5620	L5622	L5624
L5626	L5628	L5629	L5630	L5631	L5632	L5634	L5636	L5637	L5638
L5639	L5640	L5642	L5643	L5644	L5645	L5646	L5647	L5648	L5649
L5650	L5651	L5652	L5653	L5654	L5655	L5656	L5658	L5661	L5665
L5666	L5668	L5670	L5671	L5672	L5673	L5676	L5677	L5678	L5679
L5680	L5681	L5682	L5683	L5684	L5685	L5686	L5688	L5690	L5692
L5694	L5695	L5696	L5697	L5698	L5699	· I			
Replacer	ment Sock	ets							
L5700	L5701	L5702	L5703						
Protectiv	ve Covers								
L5704	L5705	L5706	L5707						
Addition	ns to Lowe	er-Limb P	osthesis-l	Exoskeleta	l and End	oskeletal			
L5710*	L5711*	L5712*	L5714*	L5716*	L5718*	L5722*	L5724*	L5726*	L5728
L5780*	L5781	L5785	L5790	L5795	L5810*	L5811*	L5812*	L5814*	L5816
L5818*	L5822*	L5824*	L5826*	L5828*	L5830*	L5840*	L5845	L5848*	L5850
L5855	L5856*	L5857*	L5858	L5859*	L5910	L5920	L5925	L5930*	L5940
L5950	L5960	L5961*	L5962	L5964	L5966	L5968	1	•	
All Lowe	er-Limb P	rostheses	1			<u>'</u>			
L5970*	L5971*	L5972*	L5973*	L5974*	L5975*	L5976*	L5978*	L5979*	L5980°
L5981*	L5982*	L5984	L5985	L5986	L5987*		•	•	•
Addition	ns to Lowe	er-Limb P	ostheses	•	•				
K1022	L5988	L5990	L5999						
Partial H	land, Wri	st, and Ell	ow Disar	ticulation	Prosthese	es			
L6000	L6010	L6020	L6026	L6050	L6055	L6100	L6110	L6120	L6130

Prosthe	ses Proced	lure Codes							
L6200	L6205	L6250							
Shoulde	r Disartic	ulation an	d Intersca	pular Tho	racic Pro	stheses			
L6300	L6310	L6320	L6350	L6360	L6370				
Immedi	ate Postsu	rgical Wr	ist, Elbow,	or Should	ler Disart	iculation 1	Prosthese	s	
L6380	L6382	L6384	L6386	L6388					
Endoske	eletal Elbo	w, Should	er, and Int	terscapula	r Thoraci	c Prosthes	es		
L6400	L6450	L6500	L6550	L6570					
Prepara	tory Wrist	t, Elbow, a	nd Should	ler Disarti	culation 1	Prostheses			
L6580	L6582	L6584	L6586	L6588	L6590				
Additio	ns to Uppe	er-Limb P	rostheses	1					
L6600	L6605	L6610	L6611	L6615	L6616	L6620	L6621	L6623	L6624
L6625	L6628	L6629	L6630	L6632	L6635	L6637	L6638	L6640	L6641
L6642	L6645	L6646	L6647	L6648	L6650	L6655	L6660	L6665	L6670
L6672	L6675	L6676	L6677	L6680	L6682	L6684	L6686	L6687	L6688
L6689	L6690	L6691	L6692	L6693	L6694	L6695	L6696	L6697	L6698
Termina	al Devices		•	•	•	•		•	
L6703	L6704	L6706	L6707	L6708	L6709	L6711	L6712	L6713	L6714
L6715	L6721	L6722	L6805	L6810	L6880	L6881	L6882		
Replace	ment Sock	ets	•		•	·	•		
L6883	L6884	L6885							
Additio	ns – Glove	for Term	inal Devic	es					
L6890	L6895								
Hand R	estoration	ı							
L6905	L6910	L6915							
Wrist, E	lbow, and	Shoulder	Inner Soc	kets – Ext	ernally Po	owered			
L6920	L6925	L6930	L6935	L6940	L6945	L6950	L6955	L6960	L6965
L6970	L6975								
Electron	ic Hand,	Elbow and	Wrist Pro	osthetic D	evice				
L7007	L7008	L7009	L7040	L7045	L7170	L7180	L7181	L7185	L7186
L7190	L7191	L7259							
Additio		er-Limb P	rostheses						
L7400	L7401	L7402	L7403	L7404	L7405				
	neous Up	per-Limb	Prosthesis						
L7499									
		tic Device							
L7510	L7520								
Prosthe	tic Donnii	ng Sleeve							
L7600	L7700								
* Must be	billed with	the functio	nal modifie	rs in Section	n 28.4.5, "L	ower-Limb	Prostheses"	in this cha	pter.

Prostheses Procedure Codes Prosthetic Sheath, Shrinker, or Sock									
L8400	L8410	L8415	L8417	L8420	L8430	L8435	L8440	L8460	L8465
L8470	L8480	L8485	L8499			1			

#### 28.4.3 Preparatory or Temporary Prostheses

Preparatory or temporary prostheses are a benefit of the CSHCN Services Program.

A preparatory or temporary prosthesis allows for extensive gait training for lower-limb amputees and extensive functional training for upper-limb amputees. A preparatory prosthesis is intended as the final step before the permanent or definitive application. A client with a preparatory prosthesis does not need to be in the hospital, may be involved in chemotherapy or other medical or rehabilitative treatment that affects the size or healing of the residual limb, and may be undergoing changes to the residual limb that would preclude the fitting of the permanent or definitive prosthesis. A preparatory prosthesis is used by the client for varying time periods (4 to 12 months) before the permanent or definitive prosthesis needs to be ordered.

#### 28.4.4 Upper-Limb Prostheses

Upper-limb prostheses will be considered for prior authorization with documentation of all of the indications defined in the Prostheses and Related Services section above. In addition, the following criteria apply for specific prosthetic devices.

#### 28.4.4.1 Myoelectric Prostheses

Myoelectric upper extremity prostheses will be considered for prior authorization for clients with bilateral shoulder disarticulation.

Myoelectric hand prostheses will be considered for prior authorization for clients with traumatic or congenital absence of forearm(s) and hand(s).

#### 28.4.5 Lower-Limb Prostheses

Lower-limb prostheses will be considered for prior authorization with documentation of all of the indications defined in Section 28.4.1, "Prior Authorization and Documentation Requirements" in this chapter. In addition, the following documentation is required for all lower-limb prostheses:

- Written documentation of the client's current and potential functional levels. A functional level is defined as a measurement of the capacity and potential of individuals to accomplish their expected post-rehabilitation daily function. The potential functional ability is based on reasonable expectations of the treating physician and the prosthetist, and may include the following:
  - The client's history, including prior use of a prosthesis, if applicable
  - The client's current condition, including the status of the residual limb, and any co-existing medical conditions
  - The client's desire to ambulate

The following functional modifiers and levels have been defined by the Centers for Medicare & Medicaid Services (CMS):

Functional Modifier		Description
K0	Level 0	Does not have the ability or potential to ambulate or transfer safely with or without assistance, and a prosthesis does not enhance quality of life or mobility.

Functional Modifier	Functional Level	Description
K1	Level 1	Has the ability or potential to use a prosthesis for transfers or ambulation on level surfaces at fixed cadence. Typical of the limited and unlimited household ambulator.
K2	Level 2	Has the ability or potential for ambulation with the ability to traverse low level environmental barriers such as curbs, stairs, or uneven surfaces. Typical of the limited community ambulator.
К3	Level 3	Has the ability or potential for ambulation with variable cadence. Typical of the community ambulator who has the ability to traverse most environmental barriers and may have vocational, therapeutic, or exercise activity that demands prosthetic utilization beyond simple locomotion.
K4	Level 4	Has the ability or potential for prosthetic ambulation that exceeds basic ambulation skills, exhibiting high-impact, stress, or energy levels. Typical of the prosthetic demands of the child, active adult, or athlete.

A client whose functional level is zero is not a candidate for a prosthetic device; the device is not considered medically necessary. Advanced knee, ankle, or foot prostheses procedure codes must be submitted with the appropriate functional modifier in the table above.

#### 28.4.5.1 Microprocessor-Controlled Lower-Limb Prostheses

Microprocessor-controlled lower-limb prostheses (e.g., Otto Bock C-Leg, Intelligent Prosthesis, or Ossur Rheo Knee) will be considered for prior authorization for clients who have a transfemoral amputation from a nonvascular cause, such as trauma or tumor, and a functional level of 3 or above.

The licensed prosthetist or orthotist who provides the device must be trained in the fitting and programming of the microprocessor-controlled prosthetic device.

#### 28.4.5.2 Foot Prostheses

The following foot prostheses will be considered for prior authorization for clients whose documented functional level is 1 or above:

- A solid ankle-cushion heel (SACH) foot
- An external keel SACH foot or single axis ankle/foot

A flexible-keel foot or multi-axial ankle/foot will be considered for prior authorization for clients whose documented functional level is 2 or above.

A flex foot system, energy storing foot, multi-axial ankle/foot, dynamic response, or flex-walk system or equivalent will be considered for prior authorization for clients whose documented functional level is 3 or above.

A prosthetic shoe will be considered for prior authorization if it is an integral part of a prosthesis for clients with a partial foot amputation.

#### 28.4.5.3 Knee Prosthesis

A single-axis, constant-friction knee or other basic knee systems will be considered for prior authorization for clients whose documented functional level is 1 or above.

A fluid, pneumatic, or electronic knee prosthesis will be considered for prior authorization for clients whose documented functional level is 3 or above.

A high-activity knee control frame will be considered for prior authorization for clients whose documented functional level is 4.

#### 28.4.5.4 Ankle Prosthesis

An axial rotation unit will be considered for prior authorization for clients whose documented functional level is 2 or above.

#### 28.4.5.5 Sockets

Prior authorization for test (diagnostic) sockets for an individual prosthesis is limited to a quantity of two test sockets.

Prior authorization for same-socket inserts for an individual prosthesis is also limited to a quantity of two.

Requests for test sockets or same-socket inserts beyond these limitations must include documentation of medical necessity that supports the need for the additional sockets.

#### 28.4.5.6 Accessories

Accessories to prostheses, such as stump stockings and harnesses, will be considered for prior authorization when they are essential to the effective use of the artificial limb.

# 28.5 Repairs, Replacements, and Modifications to Orthoses and Prostheses

Repairs, replacements, and modifications to orthoses and prostheses are a benefit of the CSHCN Services Program when medically necessary criteria are met.

Repairs due to normal wear and modifications due to growth or change in medical status will be considered for prior authorization when the repair or modification is more cost-effective than the replacement of the device.

- Additional information from the provider may be requested to determine cost-effectiveness.
- Documentation supporting medical necessity must be provided when requesting prior authorization.
- Replacement of orthotic or prosthetic devices will be considered for prior authorization with medical justification.
- Orthotic devices are anticipated to last a minimum of 6 months from the receipt of the initial system.
- Prosthetic devices are anticipated to last a minimum of one year from the receipt of the initial definitive/permanent system.
- Preparatory or temporary prostheses may be replaced in less than 12 months of their receipt, but they will undergo medical review if the permanent prosthesis is requested less than 6 months after provision of the preparatory or temporary prosthesis.
- Replacement of an orthosis or prosthesis will be considered when loss or irreparable damage has occurred due to a traumatic event such as a vehicle accident, a residential fire, or theft. A copy of the police or fire report is required when appropriate, along with the measures to be taken to prevent a repeat of similar loss.

Socket replacements will be considered for prior authorization with documentation of functional or physiological need, including, but not limited to, changes in the residual limb, functional need changes, or irreparable damage or wear due to excessive weight or prosthetic demands of very active amputees.

#### 28.5.1 Other Artificial Devices

A prosthesis is defined as "a custom-fabricated or fitted medical device that is not surgically implanted and is used to replace a missing limb, appendage, or other external human body part, including an artificial limb, hand, or foot."

The term "prosthesis" does not include an artificial eye, ear, finger, or toe, a dental appliance, a cosmetic device, including an artificial breast, eyelash, or wig, or other device that does not have a significant impact on the musculoskeletal functions of the body.

**Referto:** Section 40.2.1.9, "Eye Prostheses" in Chapter 40, "Vision Services" for information about eye prostheses.

Section 31.2.40, "Diagnostic and Surgical/Reconstructive Breast Therapies" in Chapter 31, "Physician" and Chapter 17, "Durable Medical Equipment (DME)" for information about breast prostheses.

Chapter 14, "Dental" for information about dental services.

## 28.6 CSHCN Services Program Documentation of Receipt

The <u>CSHCN Services Program Documentation of Receipt form</u> is required and must be completed before reimbursement can be made for any DME delivered to a client. The certification form must include the name of the item, the date the client received the DME, and the signatures of the provider and the client or primary caregiver. Providers must retain individual delivery slips or invoices for each DOS that document the date of delivery for all supplies provided to a client and must disclose them to the CSHCN Services Program or its designee upon request.

The documentation of receipt form is available in both **English** and **Spanish**.

Documentation of delivery must include one of the following:

- Delivery slip or invoice signed and dated by client/caregiver. The delivery slip or invoice must contain the client's full name and address to which the supplies were delivered, the item description and the numerical quantities that were delivered to the client.
- A dated carrier tracking document with shipping date and delivery date. The dated carrier tracking document must be attached to the delivery slip or invoice. The dated delivery slip or invoice must include an itemized list of goods that includes the descriptions and numerical quantities of the supplies delivered to the client. This document could also include prices, shipping weights, shipping charges, and any other description.

#### 28.7 Claims Information

Orthotic and prosthetic services must be submitted to TMHP in an approved electronic format or on the CMS-1500 paper claim form. Providers may purchase CMS-1500 paper claim forms from the vendor of their choice. TMHP does not supply the forms.

When completing a CMS-1500 paper claim form, all required information must be included on the claim, as TMHP does not key any information from claim attachments. Superbills, or itemized statements, are not accepted as claim supplements.

The HCPCS/Current Procedural Terminology (CPT) codes included in policy are subject to National Correct Coding Initiative (NCCI) relationships. Exceptions to NCCI code relationships that may be noted in CSHCN Services Program medical policy are no longer valid. Providers should refer to the CMS NCCI web page for correct coding guidelines and specific applicable code combinations. In instances when CSHCN Services Program medical policy quantity limitations are more restrictive than NCCI Medically Unlikely Edits (MUE) guidance, medical policy prevails.

**Referto:** Chapter 41, "TMHP Electronic Data Interchange (EDI)" for information about electronic claims submissions.

Chapter 5, "Claims Filing, Third-Party Resources, and Reimbursement" for general information about claims filing.

Section 5.7.2.4, "CMS-1500 Paper Claim Form Instructions" in Chapter 5, "Claims Filing, Third-Party Resources, and Reimbursement" for instructions on completing paper claims. Blocks that are not referenced are not required for processing by TMHP and may be left blank.

#### 28.8 Reimbursement

Orthotics and prosthetics services may be reimbursed the lower of the billed amount or the amount allowed by Texas Medicaid.

For fee information, providers can refer to the Online Fee Lookup (OFL) on the TMHP website at <a href="https://www.tmhp.com">www.tmhp.com</a>.

The CSHCN Services Program implemented rate reductions for certain services. The OFL includes a column titled "Adjusted Fee" to display the individual fees with all percentage reductions applied. Additional information about rate changes is available on the TMHP website at <a href="https://www.tmhp.com/resources/rate-and-code-updates/rate-changes">www.tmhp.com/resources/rate-and-code-updates/rate-changes</a>.

**Note:** Certain rate reductions including, but not limited to, reductions by place of service, client type program, or provider specialty may not be reflected in the Adjusted Fee column.

#### 28.9 TMHP-CSHCN Services Program Contact Center

The TMHP-CSHCN Services Program Contact Center at 1-800-568-2413 is available Monday through Friday from 7 a.m. to 7 p.m., Central Time, and is the main point of contact for the CSHCN Services Program provider community.